

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bluebond, Sheri A.	2. Court or Organization Central District of California	3. Date of Report 3/26/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 255 E. Temple Street Suite 1534 Los Angeles, CA 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Board of Trustees	Los Angeles Bankruptcy Forum
2. Trustee	Trust No. 1
3. Member, Board of Governors	American College of Bankruptcy Foundation
4. Member, Board of Governors	National Conference of Bankruptcy Judges
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Bluebond, Sheri A.

Date of Report

3/26/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	GlassRatner Advisory & Capital Group LLC -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American College of Bankruptcy	03/14 to 03/16/2019	Coronado, CA	Attendance at Annual Conference	Hotel, Parking, Meals, Local Transportation
2.	California Bankruptcy Forum Conference	05/17 to 05/19/2019	Rancho Mirage, CA	Speaker at Annual Conference	Hotel, Meals, Tuition
3.	National Conference of Bankruptcy Judges	10/29 to 11/02/2019	Washington, DC	Attendance at Annual Meeting and Conference	Hotel, Airfare, Meals, Local Transportation
4.					
5.					

Name of Person Reporting	Date of Report
Bluebond, Sheri A.	3/26/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Bluebond, Sheri A.

Date of Report

3/26/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Flyer Technologies, LLC, equity interest	A	Dividend	J	W					
2. Noventis, common stock		None			Sold	01/25/19	J	A	WEX, Inc.
3. Scholarshare Active Age-Based Portfolio		None	N	T					
4. Trust #1 (H)									
5. -Microsoft, common stock	A	Dividend	J	T					
6. -Wells Fargo Bank (Cash Accounts)	A	Interest	J	T					
7. -Stamps.com, common stock		None	K	T					
8. -First Eagle Global Mutual Fund	C	Dividend	K	T					
9. -Fidelity Gov't Cash Reserve fka Fidelity Municipal Money Market Fund	A	Dividend	J	T					
10. -Fidelity California Municipal Income Fund	A	Dividend	K	T	Buy (add'l)	04/23/19	J		
11.					Buy (add'l)	06/24/19	J		
12. -Deutsche (DWS) Mngd Muni Bd Fd	A	Dividend	J	T					
13. -Invesco Int'l Growth Fund	A	Dividend	J	T					
14. -Artisan Value Fund Investor CL		None			Sold (part)	02/06/19	J	A	
15.					Sold	04/23/19	J	A	
16. -Artisan Small Cap Fund Investor CL		None	J	T	Sold (part)	11/21/19	J	A	
17. -Fidelity Growth Company Fund		None	K	T	Sold (part)	02/06/19	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Bluebond, Sheri A.

Date of Report

3/26/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Sold (part)	12/27/19	J	A	
19. -iShares Russell 1000 ETF	A	Dividend	K	T					
20. -iShares TR Russell Midcap ETF	A	Dividend	J	T					
21. -iShares Russell 1000 Growth ETF	A	Dividend	J	T	Sold (part)	01/02/19	J	A	
22. -iShares S&P Sm Cap 600 Growth ETF		None			Sold	01/02/19	J		
23. -iShares S&P Sm Cap 600 Value	A	Dividend	J	T	Buy	01/02/19	J		
24.					Sold (part)	06/25/19	J	A	
25. -iShares Inc. Core MSCI Emerg Markets	A	Dividend	J	T	Buy (add'l)	01/02/19	J		
26.					Sold (part)	06/25/19	J	A	
27. -iShares Russell Midcap Value Index ETF	A	Dividend	J	T					
28. -iShares TR US Treas. Bond ETF	A	Dividend	J	T	Buy	06/25/19	J		
29. -MFS Int'l Diversification Fund A	A	Dividend	K	T	Sold (part)	12/26/19	J	A	
30. -MFS Int'l Intrinsic Value Fund CL A	A	Dividend	J	T	Sold (part)	12/12/19	J	A	
31. -T Rowe Price Tax Free High Yield	A	Dividend	K	T					
32. -T Rowe Price New Era Fund	A	Dividend	J	T	Sold (part)	06/24/19	J	A	
33. -Vanguard Index FDS Vanguard Value	B	Dividend	L	T					
34. -Vanguard Index FDS Vanguard Growth	A	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Bluebond, Sheri A.

Date of Report

3/26/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Wells Fargo Muni Bond Fd-Admin CL	A	Dividend	J	T	Sold (part)	12/11/19	J	A	
36. -(Invesco) Oppenheimer Int'l Growth CL1		None			Sold (part)	04/23/19	J	A	
37.					Sold	06/24/19	J	A	
38. -(Invesco) Oppenheimer Developing Markets Class I Fund		None			Sold (part)	02/06/19	J	A	
39.					Sold	06/24/19	J	A	
40. -(Invesco) Oppenheimer International Bond Fund	A	Dividend			Sold	06/24/19	J	A	
41. -Templeton Global Bond Fund Class A	A	Dividend			Buy	02/06/19	J		
42.					Sold	06/24/19	J	A	
43. -Causeway Emerging Markets Fund	A	Dividend	J	T					
44. -Vanguard Sector Index FDS Vanguard	A	Dividend	J	T					
45. -Aberdeen Emerging Markets FD	A	Dividend	J	T	Sold (part)	12/26/19	J	A	
46. -Oakmark Int'l Investor FD (see note in part VIII)	A	Dividend	J	T	Sold (part)	06/24/19	J	A	
47. -Blackrock Nat'l Muni Fund	A	Dividend	K	T	Buy (add'l)	02/06/19	J		
48.					Buy (add'l)	06/24/19	J		
49.					Buy (add'l)	09/09/19	J		
50.					Buy (add'l)	11/21/19	J		
51.					Buy (add'l)	12/23/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. -Mainstay (Mackay)Tax Free Bd Cl A	A	Dividend	J	T					
53. -Flexshares Trust Morningstar Global		None			Sold	01/02/19	J	A	
54. -SPDR Index SHS FDS S&P Global Nat Res ETF		None			Sold	01/02/19	J	A	
55. -Strategic Adv. Tax Short Duration Fund	A	Dividend	K	T	Buy (add'l)	09/09/19	J		
56.					Buy (add'l)	11/21/19	J		
57.					Sold (part)	12/02/19	J	A	
58.					Buy (add'l)	12/23/19	J		
59. -Franklin CA Tax Free Income Class I Fund		None	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
Bluebond, Sheri A.	3/26/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The entries that appear in Part VII of this report refer to my assets (with the exception of line 3, which refers to 529 accounts held for the [REDACTED]). I am not reporting assets that belong to my spouse, as, due to a premarital agreement, these assets are the sole financial interest and responsibility of my spouse and are not derived from any of my assets, income or activities. I, as the reporting person, do not derive or expect to derive a benefit of any kind from my spouse's assets and have no knowledge of the assets or activities related to these assets.

The equity interest that appears on line 1, Flyer Technologies, LLC, was taken in lieu of fees in 1999 and is held since that time by my former law firm, Irell & Manella LLP, on behalf of partners and former partners. This stock had not been included in prior reports, as I did not receive any income from it and the firm believed the interest to be worthless. Unexpectedly, the firm received a distribution in 2019, my share of which is reported on line 1.

The stock that appears on line 2, Noventis (fka PreCash, Inc.), was stock taken in lieu of fees in 2001 and was held since that time by my former law firm, Irell & Manella LLP, on behalf of partners and former partners. This stock had not been included in prior reports, as I did not receive any income and my interest was worth less than the reporting limit. During this reporting period, Noventis was acquired by another company and the stock held by the firm was exchanged for cash. My share of this distribution is reported on line 2.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Bluebond, Sheri A.

Date of Report

3/26/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Sheri A. Bluebond**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544