

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kurren, Barry M.	2. Court or Organization U.S. District Court, Hawaii	3. Date of Report 04/25/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States District Court 300 Ala Moana Blvd. Honolulu, Hawaii 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust # 1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Kurren, Barry M.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Tesoro Petroleum Corporation
2. 2019	Hawaii Dental Service
3. 2019	First Insurance Company of Hawaii
4. 2019	First Hawaiian Bank

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Kurren, Barry M.	04/25/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Kurren, Barry M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. -Oakmark International Fund Cl I	B	Dividend	M	T					
3. -Dodge & Cox Stock Fund	C	Dividend	M	T					
4. -Oakmark Equity Income Fund	C	Dividend	N	T					
5. -Jensen Portfolio Class J	B	Dividend	M	T					
6. -Rental Property, Honolulu, HI (\$1,726,800)	F	Rent	P1	S					
7. -Treasury Notes	E	Interest	P1	T					
8. -Hawaii State Revenue Bonds	C	Dividend	K	T	Buy (add'l)	06/11/19	J		
9.					Buy (add'l)	12/16/19	K		
10. -Hawaii State General Obligation Bonds	C	Dividend	M	T	Matured (part)	06/03/19	K	A	
11.					Matured (part)	10/01/19	J	A	
12. -Honolulu General Obligation Bonds	D	Dividend	M	T	Matured (part)	07/01/19	J	A	
13.					Matured (part)	09/03/19	K	A	
14.					Matured (part)	10/01/19	J	A	
15.					Sold (part)	02/12/19	K	A	
16.					Sold (part)	07/09/19	K	A	
17.					Buy (add'l)	01/24/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	06/11/19	K		
19.					Buy (add'l)	08/08/19	K		
20.					Buy (add'l)	10/03/19	J		
21.					Buy (add'l)	10/24/19	J		
22.					Buy (add'l)	12/04/19	J		
23. -Hawaii State Special Purpose Revenue Bonds	D	Dividend	M	T					
24. -Honolulu Revenue Bonds	A	Dividend	K	T					
25. -Honolulu Water Revenue Bonds	C	Dividend	K	T	Sold (part)	01/28/19	K	A	
26. -First Hawaiian Bank Cash Account	E	Interest	P1	T					
27. -Dreyfus Treasury Prime Investor Money Market Fund	A	Dividend	J	T					
28. -New York General Obligation Bond	A	Dividend	J	T					
29. -Massachusetts State General Obligation Bond	B	Dividend	K	T					
30. -Washington State General Obligation Bond	A	Dividend	J	T					
31. -Maui County General Obligation Bond	A	Dividend			Matured (part)	06/03/19	J	A	
32.					Buy (add'l)	01/03/19	J		
33.					Sold	10/29/19	J	A	
34. -California State General Obligation Bonds	A	Dividend	K	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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Name of Person Reporting

Kurren, Barry M.

Date of Report

04/25/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Memphis General Obligation Bond	A	Dividend	K	T					
36. -Florida State Revenue Bond	A	Dividend	K	T					
37. -University of Hawaii Revenue Bonds	C	Dividend	M	T					
38. -Hawaii County General Obligation Bonds	C	Dividend	L	T	Buy (add'l)	06/12/19	J		
39.					Buy (add'l)	11/27/19	J		
40. -Metropolitan Transportation Authority Revenue Bond	A	Dividend			Matured	11/15/19	J	A	
41. -Richardson Texas Independant School District Refunding Bond	A	Dividend	K	T					
42. IRA#1 (H)									
43. -Dreyfus Treasury Prime Money Market Fund	A	Dividend	K	T					
44. -BlackRock Global Allocation Fund	A	Dividend	J	T	Buy (add'l)	07/09/19	J		
45. -Blackstone Alternative Multi Strategy Fund	A	Dividend	J	T					
46. -Ishares S&P Index ETF	D	Dividend	N	T					
47. -Ishares S&P Value Index ETF	A	Dividend	K	T					
48. -Ishares MSCIEFE ETF	B	Dividend	L	T	Buy (add'l)	07/09/19	J		
49. -Ishares TR Core S&P Mid-Cap ETF	A	Dividend	L	T					
50. -Ishares TR Core S&P Small-Cap ETF	A	Dividend	K	T					
51. -Ishares TR Currency Hedged MSCI EAFE ETF	C	Dividend	L	T	Buy (add'l)	07/09/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Vanguard Short-Term Inflation Protected ETF	A	Dividend	J	T					
53. -Vanguard FTSE Emerging Markets ETF	B	Dividend	K	T	Buy (add'l)	07/09/19	J		
54. -Nuveen Real Asset Income Fund	B	Dividend	K	T	Buy (add'l)	07/09/19	J		
55. IRA #2 (H)									
56. -Ishares S&P Index ETF	E	Dividend	O	T					
57. -Dreyfus Treasury Prime Money Market Fund	A	Dividend	K	T					
58. -Blackrock Global Allocation Fund	A	Dividend	K	T	Buy (add'l)	07/09/19	J		
59. -Blackstone Alternative Multi-Strategy Fund	A	Dividend	K	T					
60. -Ishares S&P Value Index ETF	B	Dividend	L	T					
61. -Ishares MSCIEAFE Index ETF	D	Dividend	M	T	Buy (add'l)	07/09/19	J		
62. -Ishares TR Core S&P Mid-Cap ETF	B	Dividend	M	T					
63. -Ishares TR Core S&P Small-Cap ETF	A	Dividend	K	T					
64. -Ishares TR Currency Hedged MSCI EAFE ETF	D	Dividend	M	T	Buy (add'l)	07/09/19	J		
65. -Vanguard Short-Term Inflation Protected ETF	A	Dividend	K	T					
66. -Vanguard FTSE Emerging Markets ETF	C	Dividend	L	T	Buy (add'l)	07/09/19	J		
67. -Nuveen Real Asset Income Fund	C	Dividend	L	T	Buy (add'l)	07/09/19	J		
68. -Honolulu General Obligation Bond		None	K	T	Buy	12/04/19	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. BHP Common Stock	B	Dividend	K	T					
70. First Hawaiian Bank Cash Account	A	Interest	N	T					
71. Hawaii Innovation Growth Fund	A	Dividend	J	T					
72. FHB Common Stock	D	Dividend	M	T					

1 Income Gain Codes:
(See Columns B1 and D4)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

2 Value Codes
(See Columns C1 and D3)

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

3 Value Method Codes
(See Column C2)

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

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M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

E =\$15,001 - \$50,000

T =Cash Market

R =Cost (Real Estate Only)

S =Assessment

W =Estimated

U =Book Value

V =Other

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barry M. Kurren**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544