

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2019**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Otazo-Reyes, Alicia M.	2. Court or Organization U.S. District Court, Southern District of Florida	3. Date of Report 04/16/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 301 N. Miami Avenue Miami, Florida 33128		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member	Miami Dade College Paralegal Studies Program Advisory Committee
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: Otazo-Reyes, Alicia M., 04/16/2020

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

Table with 3 columns: DATE, SOURCE AND TYPE, INCOME (yours, not spouse's). Rows 1-4 are empty.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

Table with 2 columns: DATE, SOURCE AND TYPE. Rows 1-4 are empty.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

Table with 5 columns: SOURCE, DATES, LOCATION, PURPOSE, ITEMS PAID OR PROVIDED. Rows 1-5 are empty.

Name of Person Reporting	Date of Report
Otazo-Reyes, Alicia M.	04/16/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

04/16/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Individual Assets (H)									
2. Citibank (cash)	B	Interest	N	T					
3. IRA #1 (H)									
4. Bank of America, NA, RASP (cash)	A	Interest	J	T					
5. AB Large Cap Growth Fund (APGYX)	A	Dividend	K	T					
6. AIG Focused Dividend Strategy (FDSWX)	A	Dividend	J	T	Sold (part)	03/06/19	J		
7. Alger Small Cap Focus Fund Class Z (AGOZX)	A	Dividend	K	T	Buy (add'l)	01/07/19	J		
8.					Buy (add'l)	08/30/19	J		
9. BBH Limited Duration Fund Cl I (BBBIX)	A	Dividend	J	T	Buy	03/15/19	J		
10. Blackrock Credit Strategies Income Fund (BSMIX)	A	Dividend	J	T					
11. Blackrock Mid Cap Growth Equity Portfolio Inst. Cl. (CMGIX)		None	J	T	Buy	11/04/19	J		
12.					Buy (add'l)	12/04/19	J		
13. Blackrock High Yield Bd. Portfolio Fund (BHYIX)	A	Dividend	J	T					
14. Columbia Large Cap Enhanced Core Fund (NMINX)		None			Sold	03/15/19	K		
15. Delaware Value Fund (DDVIX)	B	Dividend	K	T					
16. Eaton Vance High Income Opportunities Fund (EIHIX)	A	Dividend	J	T					
17. Eaton Vance Floating Rate MF (EIBLX)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Federated Kaufmann Small Cap Fund Cl IS (FKAIX)	A	Dividend	J	T	Buy	08/30/19	J		
19.					Buy (add'l)	09/30/19	J		
20. Fidelity Adv Growth Opps Fd. Cl I (FAGCX)		None	J	T	Buy	10/28/19	J		
21. Fidelity Adv Intl Cap Apprec Fd. Cl I (FCPIX)	A	Dividend	K	T	Buy	09/05/19	J		
22.					Buy (add'l)	10/28/19	J		
23.					Buy (add'l)	11/04/19	J		
24. First TR Dorsey WRT (FV)		None			Sold (part)	03/07/19	J	B	
25.					Sold	03/18/19	J	B	
26. Franklin Dynatech Fd. Adv. (FDYZX)		None	J	T	Buy	10/28/19	J		
27.					Buy (add'l)	11/05/19	J		
28. Glenmede Large Cap Growth Fund (GTILX)	C	Dividend	K	T					
29. Goldman Sachs Emerging Markets Debt Fund (GSDIX)	A	Dividend			Sold	07/19/19	J		
30. Guggenheim Total Return Bond Fund Instl. Cl. (GIBIX)		None	J	T	Buy	03/15/19	J		
31. Hotchkis and Wiley Small Cap Value Fund (HWSIX)		None			Sold	03/15/19	J		
32. Invesco S&P High Income Low Volatility Fund (SPHD)	A	Dividend			Sold	03/18/19	J	A	
33. iShares NASDAQ Biotech ETF (IBB)		None			Sold	03/07/19	J	A	
34. iShares 1-3 year Treasury Bond ETF (SHY)	A	Dividend	K	T	Buy	03/07/19	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$500,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35.					Sold (part)	09/04/19	J	A	
36. iShares 20+ year Treasury Bond ETF (TLT)	A	Dividend	K	T					
37. iShares S&P Midcap 400 Growth (IJK)	A	Dividend	K	T	Buy (add'l)	01/08/19	J		
38. iShares Core S&P 500 ETF (IVV)	A	Dividend	L	T					
39. iShares S&P Smallcap 600 Growth (IJT)	A	Dividend	K	T					
40. iShares Core S&P US Growth ETF (IUSG)	A	Dividend	K	T					
41. iShares Core S&P US Value ETF (IUSV)	A	Dividend	K	T					
42. iShares Inc Core MSCI Emerging Markets ETF (IEMG)	A	Dividend	J	T					
43. iShares Short Maturity Bond ETF (NEAR)	B	Dividend	K	T	Buy	03/18/19	K		
44.					Sold (part)	09/30/19	K		
45. Ivy Emerging Markets (IPOIX)		None			Sold	08/08/19	J	A	
46. Janus Henderson Global Equity Income Fund Class I (HFQIX)	A	Dividend			Sold	03/06/19	J		
47. Janus Henderson Small Cap Value Fund Cl I (JSCOX)	A	Dividend	J	T	Buy	09/30/19	J		
48. Janus Henderson Enterprise Fund I (JMGRX)	A	Dividend	K	T	Sold (part)	03/06/19	J		
49. JOHCM International Select Fund (JOHIX)		None			Sold	03/15/19	J	B	
50. JP Morgan Emerging Markets Eq. Fd. Cl I (JEMSX)	A	Dividend	K	T	Buy	03/22/19	J		
51.					Buy (add'l)	08/30/19	J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52.					Buy (add'l)	09/05/19	J		
53.					Buy (add'l)	10/28/19	J		
54. JP Morgan Intrepid Mid Cap Fund (WOOPX)		None			Sold	03/06/19	J		
55. Lord Abnett Bond Debenture Fund Cl F (LBDFX)	A	Dividend	J	T					
56. Lord Abnett Short Duration Income Fd Cl F. (LDLFX)	A	Dividend	J	T					
57. Mainstay Large Cap Growth Fund (MLAIX)	D	Dividend	L	T	Sold (part)	03/06/19	J		
58. Metropolitan West Total Return Bond Fund (MWTIX)	A	Dividend			Sold	03/15/19	K		
59. MFS International Intrinsic Value Fund (MINIX)	A	Dividend	J	T					
60. MFS International Diversification Fund Cl I (MDIIX)	A	Dividend	J	T					
61. MFS Value FD CL I MF (MEIIX)	B	Dividend	L	T	Sold (part)	03/06/19	J		
62. Oakmark Intl Fd Cl Adv (OAYIX)		None			Sold	01/03/19	J		
63. Oppenheimer Developing Markets Fund Cl Y (ODVYX)		None			Buy (add'l)	01/07/19	J		
64.					Sold	09/04/19	J		
65. PGIM Short Term Corporate Bond Fd Cl Z (PIFZX)	A	Dividend			Sold	03/07/19	J		
66. PGIM Total Return Bond Fd Cl Z (PDBZX)	B	Dividend	J	T	Buy (add'l)	09/30/19	J		
67. Pimco International Bond Fund (PFBPX)	A	Dividend	J	T	Buy	03/15/19	J		
68. Pimco Global Bond Fund (PGNPX)	A	Dividend	J	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Pimco Income Fund (PONPX)	A	Dividend	K	T					
70. Pioneer Strategic Income Fund (STRYX)	A	Dividend			Sold	03/15/19	J		
71. Principal Equity Income Fund CL I (PEIIX)	A	Dividend	J	T	Buy	09/04/19	J		
72. SPDR Gold Trust (GLD)		None			Sold	03/07/19	J		
73.					Buy	10/03/19	J		
74.					Sold	12/11/19	J		
75. Thornburg Ltd Term Income Fund (THIIX)	A	Dividend	K	T					
76. Touchstone Mid Cap Fund Y Class (TMCPX)	A	Dividend	J	T	Buy	09/30/19	J		
77. Tweedy Brown Global Value Fund (TBGVX)		None	J	T	Buy	10/28/19	J		
78. Wells Fargo Large Cap Core Fund (EGOIX)		None			Sold	09/04/19	K	C	
79. Western Asset Core Plus Bond Fund (WACPX)	A	Dividend	K	T					
80. Brokerage Account #1 (H)									
81. Bank of America, NA, RASP (cash) (X)	B	Interest	L	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting	Date of Report
Otazo-Reyes, Alicia M.	04/16/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Otazo-Reyes, Alicia M.

Date of Report

04/16/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Alicia M. Otazo-Reyes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544