

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Shea, Edward F.	2. Court or Organization United States District Court	3. Date of Report 05/08/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Senior Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 825 Jadwin Avenue, Ste. 320 Richland, WA 99352		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Officer/Director	- Corporation
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Name of Person Reporting	Date of Report
Shea, Edward F.	05/08/2019

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

[X] NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[X] NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Shea, Edward F.	05/08/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	VISA	Credit card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Life Insurance - Whole Life Insurance	B	Dividend	M	T					
2. Northwestern Mutual Life Insurance - Whole Life Insurance	B	Dividend	L	T					
3. Northwestern Mutual Life Insurance - Whole Life Insurance	B	Dividend	L	T					
4. Prudential Life Insurance - Whole Life Insurance	A	Dividend	K	T					
5. South Boston Savings Bank Life Ins - Whole Life Insurance	A	Dividend	J	T					
6. GESA Credit Union	A	Interest	J	T					
7. Coins		None	J	T					
8. Art		None	K	W					
9. BANK OF AMERICA SAVINGS ACCOUNT	A	Int./Div.	K	T					
10.									
11. Vanguard Health Care Fund, Admin Personal Acct.	A	Dividend	J	T					
12.									
13. E/ SCHWAB RETIREMENT IRA Pershing rollover to Rochedale (H)									
14. KO	A	Dividend	J	T					
15. DUK	A	Dividend	J	T	Sold (part)	05/02/18	J	A	
16. ES	A	Dividend	J	T					
17. VZ	A	Dividend	J	T	Sold (part)	07/24/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. HTA	A	Dividend	J	T	Sold (part)	05/20/18	J	A	
19. MAA *	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
20. RIMOX	D	Dividend	M	T					
21. LAMR	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
22. MGA	A	Dividend	J	T					
23. MO (Inadvertently reported as MA)	A	Dividend	J	T					
24. PAYX	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
25. PM	A	Dividend	J	T	Sold (part)	05/02/18	J	A	
26. TWX, now T (merger on 6/18)	A	Dividend	J	T					
27. DHR	A	Dividend			Sold	07/24/18	J	A	
28. FB		None	J	T					
29. INTC	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
30. GPT	A	Dividend			Sold	03/07/18	J	A	
31. HON	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
32. JNJ	A	Dividend	J	T					
33. LMT	A	Dividend	J	T					
34. NNN	A	Dividend	J	T	Sold (part)	07/24/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. TMO	A	Dividend	J	T	Sold (part)	11/09/18	J	A	? J double check?
36. WMT	A	Dividend	J	T	Sold (part)	11/09/18	J	A	
37. GOOGL		None	J	T	Sold (part)	07/24/18	J	A	
38. AWK	A	Dividend	J	T					
39. BGS	A	Dividend	J	T					
40. CTAS	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
41. DHR	A	Dividend	J	T					
42. XOM	A	Dividend			Sold	07/24/18	J	A	
43. UNH	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
44. AGR	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
45. PGX	A	Dividend	J	T					
46. WPC	A	Dividend	J	T					
47. D	A	Dividend	J	T					
48. EPR	A	Dividend	J	T	Sold (part)	05/02/18	J	A	
49.					Sold (part)	07/24/18	J	A	
50. NOC	A	Dividend	J	T					
51. GIS		None			Sold	03/23/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. XEL	A	Dividend	J	T	Sold (part)	07/24/18	J	A	
53. CINP	A	Dividend	J	T					
54. TBGVX		None			Sold (part)	07/24/18	J	A	
55.					Sold	10/09/18	J	A	
56. Pershing Gov't Act.	A	Interest	J	T					
57. Pershing Cash	A	Interest	J	T					
58. AEP *	B	Dividend	J	T	Sold (part)	07/24/18	J	A	
59. ARCC *	A	Dividend	J	T					
60. NEE *	A	Dividend	J	T					
61. UNP *	A	Dividend	J	T					
62. PFE *	A	Dividend	J	T					
63. WLK *	A	Dividend			Sold	09/21/18	J	A	
64. COG *	A	Dividend	J	T					
65. REZI (Spinoff)		None			Sold	11/16/18	J	A	
66. TXN *	A	Dividend			Sold	11/09/18	J	A	
67. ECL *		None			Sold	04/11/18	J	A	
68. PX (LIN as of 11/1/18)	A	Dividend	J	T	Buy	09/21/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. ROP		None	J	T	Buy	11/09/18	J		
70. CNRYX		None	K	T	Buy	11/09/18	K		
71. MRK *	A	Dividend	J	T					
72. ACN	A	Dividend	J	T	Buy	04/11/18	J		
73. LLY *	A	Dividend			Sold	02/28/18	J	A	
74. GM Bond	A	Dividend	J	T	Buy	08/14/18	J		
75. AT&T Note	A	Interest	J	T	Buy	08/16/18	J		
76. BRK 4343189	A	Dividend	J	T	Buy	07/30/18	J		
77.									
78.									
79.									
80.									
81.									
82.									
83.  /RETIREMENT ACCOUNT (H)									
84. Shell Int'l Fin	A	Int./Div.	K	T					
85. NBC Univ. Media	A	Int./Div.	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Dow Chem. Note	A	Int./Div.	J	T					
87. Home Depot Note	A	Int./Div.	J	T					
88. Jeffries Group Note *	A	Int./Div.	J	T					
89. Citi GRP Note	A	Int./Div.	J	T					
90. Tyson Note	A	Int./Div.	J	T					
91. A-B Inbev Bond	A	Int./Div.	J	T					
92. Oracle Bond	A	Int./Div.	J	T					
93. VZ Bond	A	Int./Div.	J	T					
94. B.A.M Bond	A	Int./Div.	J	T					
95. Goldman S. Bond	A	Int./Div.	J	T					
96. Blackrock Bond	A	Int./Div.	J	T					
97. Target Bond	A	Int./Div.	J	T					
98. Morgan Stanley Bond	A	Int./Div.	J	T					
99. Apple Bond	A	Int./Div.	J	T					
100. Brookfield PSHP	A	Distribution	J	T	Buy	07/24/18	J		
101. Eaton - ETN	A	Distribution	J	T	Buy	10/12/18	J		
102. T	A	Distribution	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 10 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. ADBE		None	J	T	Buy	07/24/18	J		
104. BABA		None	J	T	Buy	10/25/18	J		
105. APH		None	J	T	Buy	07/24/18	J		
106. BRK.B		None	J	T	Sold (part)	07/24/18	J	A	
107. CME		None	J	T	Sold (part)	07/24/18	J	A	
108. CELG		None			Sold	02/28/18	J	A	
109. CVX	A	Distribution	J	T	Buy	07/24/18	J		
110. CSCO	A	Distribution	J	T	Buy	12/13/18	J		
111. CL	A	Distribution	J	T					
112. CMCSA	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
113. CMA	A	Distribution	J	T	Buy	03/06/18	J		
114. CNSL		None			Sold	02/02/18	J	A	
115. COST	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
116. DIS	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
117. DWDP *		None			Sold	07/24/18	J	A	
118. EW		None	J	T	Sold (part)	07/24/18	J	A	
119. EA		None			Sold	12/13/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 11 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. HD	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
121. JPM	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
122. LLY		None			Sold	02/28/18	J	A	
123. MGA	A	Distribution	J	T					
124. MA	A	Distribution	J	T	Buy	07/24/18	J		
125. MCD	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
126. MSFT	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
127. OKE	A	Distribution	J	T	Buy	07/24/18	J		
128. PNC	A	Distribution	J	T	Buy	07/24/18	J		
129. PEP	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
130. PG	A	Distribution	J	T					
131. PHM	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
132. RTN	A	Distribution	J	T	Buy	03/06/18	J		
133. SIX	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
134. SBUX	A	Distribution			Sold	08/02/18	J	A	
135. STI	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
136. TWX - Merged with T on 6/18/18									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. USB		None			Sold	02/28/18	J	A	
138. VGR	A	Distribution			Sold	10/16/18	J	A	
139. WRK	A	Distribution	J	T	Buy	03/08/18	J		
140. ZTS	A	Distribution	J	T					
141. CCI	A	Distribution	J	T	Buy	02/15/18	J		
142. ESS	A	Distribution			Sold	07/24/18	J		
143. LPT	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
144. HCN	A	Distribution	J	T	Sold (part)	07/24/18	J	A	
145. RIMOX	C	Distribution	J	T					
146. CNRYX-FIERA		None	J	T	Buy	07/24/18	J		
147.					Buy (add'l)	11/09/18	J		
148. TBGVX		None	K	T	Sold (part)	11/09/18	J	A	
149. Pershing Gov't Account	A	Int./Div.	J	T					
150. BA *									
151. PFE *									
152.									
153.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

154. SCHWAB JT ACCOUNT (H)									
155. British Petroleum	B	Dividend	K	T					
156. Ferrell - FGP	A	Distribution			Sold	12/04/18	J	A	
157. Minerva Neuro		None			Sold	12/31/18	J	A	
158. SYN		None			Sold	10/11/18	J	A	
159. --Schwab Money Market Fund SWRXX	A	Interest	J	T					
160. --Schwab Invest Money Fund (Pers) SWZXX	A	Int./Div.	K	T					
161. SHPD	B	Dividend	L	T	Sold (part)	10/31/18	J	A	
162.					Sold (part)	12/04/18	J	A	
163.									
164.									
165.									
166.									
167.									
168.									
169.									
170.									

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.									
172.									
173.									
174.									
175.									
176.									
177.									
178.									
179.									
180.									
181.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*

19. MAA was listed in error as sold on 8/23/17. It was not sold in 2017 and should have been listed in column C(1) as J and C(2) as T.
58. AEP was bought in 6/2016 and inadvertently omitted in the 2016 and 2017 reports. B(1) B B(2) Div C(1) J C(2) T for years 2016 and 2017 reports.
59. ARCC was bought in 5/2017 and inadvertently omitted in the 2017 report. B(1) A B(2) Div C(1) J C(2) T for year the 2017 report.
60. NEE was inadvertently omitted in the 2017 report. Column D(1) Buy D(2) 10/18/17 D(3) J for the 2017 report.
61. UNP inadvertently omitted in the 2017 report. Column B(1) A B(2) Div C(1) J C(2) T D(1) Buy D(2) 09/20/17 D(3) J for the 2017 report.
62. PFE inadvertently omitted in the 2017 report. Column B(1) A B(2) Div C(1) J C(2) T D(1) Buy D(2) 11/09/17 D(3) J for the 2017 report.
63. WLK inadvertently omitted in the 2017 report. Column B(1) A B(2) Div C(1) J C(2) T D(1) Buy D(2) 09/21/17 D(3) J for the 2017 report.
64. COG inadvertently omitted in the 2017 report. Column B(1) A B(2) Div C(1) J C(2) T D(1) Buy D(2) 10/05/17 D(3) J for the 2017 report.
66. TXN inadvertently omitted in the 2017 report. Column B(1) A B(2) Div C(1) J C(2) T D(1) Buy D(2) 11/21/17 D(3) J for the 2017 report.
67. ECL inadvertently omitted in the 2016 and 2017 report. Column D(1) Buy D(2) 06/15/16 D(3) J for the 2016 report.
71. MRK inadvertently omitted in the 2017 report. Column D(1) Buy D(2) 05/08/17 D(3) J for the 2017 report.
73. LLY inadvertently omitted in the 2016 and 2017 reports. Column B(1) A B(2) Div C(1) J C(2) T D(1) Buy D(2) 2016 D(3) J.
88. LUK (2017 Report) was renamed Jeffries Financial Group.
117. DWDP previously listed incorrect as DOW
150. BA Inadvertently included in 2017 report as all was sold on 10/28/16.
151. PFE Inadvertently included in 2017 report of [REDACTED] IRA.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Shea, Edward F.

Date of Report

05/08/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Edward F. Shea**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544