

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Grimberg, Steven D.	2. Court or Organization U.S. District Court, Northern District of Georgia	3. Date of Report 05/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address 1767 United States Courthouse 75 Ted Turner Drive, SW Atlanta, GA 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Managing Director, General Counsel-Americas	Nardello & Co.
2. Team Leader	Emory University School of Law, Kessler Eidson Trial Techniques Program
3. Officer (President, Past President)	Lamar Inn of Court
4. Director	Timber Ridge Elementary Foundation
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	Nardello & Co. - wages and bonus	\$346,931.00
2. 2019	Emory University School of Law - wages	\$3,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Booz Allen Hamilton, Inc. - wages
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. First Citizens Bank	Co-signer on line of credit secured by real property (not primary residence)	J
2. Integrity Restoration and Remodeling Contractors, LLC	Home renovation contractor	None
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. First Citizen Bank cash accounts	A	Interest	K	T					
2. 401K #1 (H)									
3. Voya Target Solution 2060	A	Int./Div.	L	T					
4. IRA #1 (H)									
5. Fidelity Government Cash Reserves	A	Dividend	J	T					
6. Baird Aggregate Bond Fund Instl	A	Dividend	J	T					
7. Broadview Opportunity Fund	A	Dividend			Merged (with line 20)	08/30/19	J		
8. Credit Suisse Commnd Rtrn Strtgy Instl Sh	A	Dividend	J	T					
9. Janus Henderson Flexible Bond I	A	Dividend	J	T					
10. John Hancock Disciplined Value I	A	Dividend	K	T					
11. Longleaf Partners	A	Dividend	J	T					
12. Amg Managers Montag & Caldwell Growth I		None	J	T					
13. Northern Small Cap Value	A	Dividend	J	T					
14. Oakmark Intl Advisor Fund	A	Dividend	J	T					
15. Primecap Odyssey Growth Fund	A	Dividend	J	T					
16. T Rowe Price Emerg Markets Stock Fund I	A	Dividend	J	T					
17. Pimpco Total Return Instl	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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18. WCM Focused Intl Growth Fund Instl	A	Dividend	K	T					
19. Voya Global Real Estate Class W	A	Dividend			Sold	02/19/19	J		
20. Madison Small Cap Fund Cl Y	A	Dividend	J	T					
21. 401K #2 (H)									
22. Voya: Bond Fund	A	Interest	J	T					
23. Voya: S&P 500 Index Fund	A	Dividend	K	T					
24. Voya: US Structured Research Strat	A	Dividend	K	T					
25. Russell Large Cap Value Index	A	Dividend	K	T					
26. Russell Large Cap Growth Index	A	Dividend	K	T					
27. Russell Small Cap Index	A	Dividend	K	T					
28. Voya: Euro Pacific Growth Fund	A	Dividend	J	T					
29. Voya EAFE Stock Index	A	Dividend	J	T					
30. IRA #2 (H)									
31. Fidelity Government Cash Reserves	A	Dividend	J	T					
32. Baird Aggregate Bond Fund Instl	A	Dividend	J	T					
33. Broadview Opportunity Fund	A	Dividend			Merged (with line 45)	08/30/19	J		
34. Credit Suisse Commnd Rtrn Strtgy Instl Sh	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Harbor Cap App Inst	A	Dividend	J	T					
36. Janus Henderson Flexible Bond I	A	Dividend	J	T					
37. John Hancock Disciplined Value I	A	Dividend	J	T					
38. Longleaf Partners	A	Dividend	J	T					
39. Northern Small Cap Value	A	Dividend	J	T					
40. Oakmark Intl Advisor Fund	A	Dividend	J	T					
41. Primecap Odyssey Growth Fund	A	Dividend	J	T					
42. T Rowe Price Emerg Markets Stock Fund I	A	Dividend	J	T					
43. Pimpc Total Return Instl	A	Dividend	J	T					
44. WCM Focused Intl Growth Fund Instl	A	Dividend	J	T					
45. Madison Small Cap Fund CL Y	A	Dividend	J	T					
46. IRA/SEP (H)									
47. American Funds: American High-Income Trust Fund	A	Dividend	J	T					
48. American Funds: EuroPacific Growth Fund	A	Dividend	J	T					
49. American Funds: The Growth Fund of America	A	Dividend	J	T					
50. American Funds: Smallcap World Fund	A	Dividend	J	T					
51. Georgia Path2College 529 Plan #1: Aggressive Managed Alloc Option 9-10		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Georgia Path2College 529 Plan #2: Aggressive Managed Alloc Option 5-8		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Steven D. Grimberg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544