

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Atlas, Nancy F.	2. Court or Organization U. S. District of Texas, Southern District of Texas	3. Date of Report 07/21/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address United States District Court 515 Rusk Street, Room 9015 Houston, TX 77002		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Associate Member	VICTORY (Houston American Cancer Society)
2. Co-Trustee	Trust 1
3. Co-Trustee (see Section VIII, Section I, Note 1)	Trust 2
4. Co-Trustee (see Section VIII, Section I, Note 1)	Trust 3
5. Co-Trustee	Trust 4
6. Co-Trustee	Trust 5
7. Task Force Co-Chair	American Bar Association Section of Litigation

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Trustee fees
2. 2017	Legal consulting fees
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	PRBANK	01/08/2018-01/12/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
2.	American Bar Association, Section of Litigation ("SOL")	01/18/2018-01/20/2018	Honolulu, HI	Winter Leadership Meeting	transportation, lodging, meals
3.	PRBANK	01/22/2018-01/24/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
4.	PRBANK	01/30/2018-02/04/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
5.	PRBANK	02/26/2018-03/01/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals

FINANCIAL DISCLOSURE REPORT

Page 3 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

6.	PRBANK	03/06/2018-03-12-2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
7.	Federal Judicial Center ("FJC")	03/13/2018-03/14/2018	New Orleans, LA	Bankruptcy Judge Mediation Training	transportation, lodging, meals
8.	PRBANK	04/02/2018-04/05/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
9.	PRBANK	04/16/2018-04/18/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
10.	PRBANK	04/30/2018-05/03/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
11.	PRBANK	05/07/2018-05/09/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
12.	PRBANK	05/14/2018-05/17/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
13.	Federal Judicial Center ("FJC")	05/23/2018-05/25/2018	Austin, TX	Fifth Circuit Judicial Conference	transportation, lodging, meals
14.	Federal Judicial Center ("FJC")	07/10/2018-07/12/2018	Denver, CO	National Workshop for Bankruptcy Judges	transportation, lodging, meals
15.	PRBANK	07/30/2018-08/03/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals
16.	PRBANK	08/06/2018-08/08/2018	New York City, NY	Puerto Rico Mediation Bankruptcy	transportation, lodging, meals

Name of Person Reporting	Date of Report
Atlas, Nancy F.	07/21/2020

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☐ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1. (Section V, Note 1)	See Section VIII	\$0.0
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BBVA Compass Bank, Houston, TX	A	Interest	N	T					
2. DFSIX: MFO DFA Intl Small Co Portfolio Fund	A	Dividend	J	T	Sold (part)	06/22/18	J	A	
3. Dreyfus Appreciation Fund	E	Dividend	M	T	Buy (add'l)	03/29/18	J		
4.					Buy (add'l)	06/29/18	J		
5.					Buy (add'l)	09/28/18	J		
6.					Buy (add'l)	12/20/18	K		
7. Estate #2									
8. -NOGXX- MFB Northern US Govt Money Market FDS	A	Interest	O	T	Buy	10/30/18	N		
9. Real Property, Hidalgo Cy, Tx (X)		None			Sold	12/18/18	N		
10. -US Treas Bills 02-28-2019	A	Interest	P1	T	Buy	10/30/18	P1		
11. GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index FD	A	Int./Div.	J	T	Sold (part)	06/15/18	J	A	
12. IRA #2									
13. -Chevron Corp Bnds 2.193% Due 11-15-2019	B	Interest	K	T					
14. -Comcast Corp. New 5.7% Due 05-15-2018 BEO	B	Interest			Matured	05/15/18	K		
15. -Deere John Cap Corp Medium Term NTS-Book 3.15% Due 10-15-2021	B	Interest	L	T					
16. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	B	Int./Div.	K	T	Sold (part)	10/22/18	K	C	
17. -JP Morgan Chase & Co 4.5% Due 01-24-2022 REG	B	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -NFRA - MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	J	T	Sold (part)	10/22/18	K	B	
19. -NGREX - MFB Northern FDS Global Real Estate Index FD	B	Dividend	J	T	Sold (part)	10/19/18	K	B	
20. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	D	Interest	L	T	Sold (part)	10/09/18	K		
21. -NOBOX-MFB Northern FDS BD Index FD (Y) (FN 1)									
22. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	B	Dividend	K	T	Sold (part)	10/19/18	L	A	
23. -NOGXX - MFB Northern US Govt Money Market FDS	B	Interest	N	T					
24. -NOINX - MFB Northern Intl Equity Index FD	C	Dividend	L	T	Sold (part)	10/19/18	L	C	
25. -NOMIX - MFB Northern Mid Cap Index FD	A	Dividend	K	T	Sold (part)	10/19/18	K	C	
26.					Buy (add'l)	12/21/18	J		
27. -NOSIX - MFB Northern FDS STK Index FD	C	Dividend	M	T	Sold (part)	10/19/18	L	E	
28.					Buy (add'l)	12/21/18	J		
29. -NSIDX- MFB Northern FDS Small Cap Index FD	A	Dividend	K	T	Sold (part)	10/19/18	K	C	
30.					Buy (add'l)	12/21/18	J		
31. -Pfizer Inc. 5.2% 08-12-2020 REG	C	Interest	L	T					
32. -TDTT- MFC Flexshares TR IBOX 3 Yr Target Duration TIPS Index FD	A	Interest	K	T					
33. -Wells Fargo & Co 3.5% Due 03-08-2022 REG	B	Interest	K	T					
34. IRA #3									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -American Express Credit 2.6% 09-14-2020	C	Interest	L	T					
36. -Chevron Corp Bnds 2.193% Due 11-15-2019	B	Interest	L	T					
37. -Comcast Corp. New 5.7% Due 05-15-2018 BEO	B	Interest			Matured	5/15/18	L		
38. -Deere John Cap Corp Medium Term NTS-Book 3.15% Due 10-15-2021	B	Interest	L	T					
39. -Gen Elec Cap Corp 4.625% Due 01-07-2021	C	Interest	M	T					
40. -GUNR - MFC Flexshares TR Morningstar Global Upstream Nat Res Index	D	Int./Div.	M	T	Sold (part)	10/22/18	L	D	
41. -JP Morgan Chase & Co 4.5% Due 01-24-2022 REG	C	Interest	L	T					
42. -Medtronic Inc. 3.15% Due 03-15-2022 REG	C	Interest	L	T					
43. -NFRA - MFC Flexshares Tr Stock Global Broad Infrastructure Index FD	C	Dividend	L	T	Sold (part)	10/22/18	L	C	
44. -NGREX- MFB Northern FDS Global Real Estate Index FD	C	Dividend	K	T	Sold (part)	10/19/18	L	C	
45. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	E	Interest	M	T	Sold (part)	10/19/18	M		
46. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	C	Dividend	M	T	Sold (part)	10/19/18	M	C	
47. -NOGXX - MFB Northern US Govt Money Market FDS	C	Interest	P1	T					
48. -NOINX - MFB Northern Intl Equity Index FD	D	Dividend	M	T	Sold (part)	10/19/18	M	D	
49. -NOMIX - MFB Northern Mid Cap Index FD	B	Dividend	M	T	Sold (part)	10/19/18	K	D	
50.					Buy (add'l)	12/21/18	J		
51. -NOSIX - MFB Northern FDS STK Index FD	D	Dividend	O	T	Sold (part)	10/19/18	N	F	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52.					Buy (add'l)	12/21/18	J		
53. -NSIDX - MFB Northern FDS Small Cap Index FD	A	Dividend	K	T	Sold (part)	10/19/18	L	D	
54.					Buy (add'l)	12/21/18	J		
55. -Shell Intl Fin B V 2.0% Due 11-15-2018 REG	B	Interest			Matured	11/15/18	L		
56. -TDDT - MFC Flexshares TR IBOXX 3 Yr Target Duration TIPS Index	B	Interest	L	T					
57. -Toyota Mtr Cr Corp Medium Term NTS Book Entry Mtn 2.125% Due 07-18-2	B	Interest	L	T					
58. -Wells Fargo & Co New Medium Term SR NTS Book Entry Tranche # TR 0016	B	Interest	L	T					
59. -Westpac BKG Corp 2.15% Due 03-06-2020 REG	B	Interest	L	T					
60. IRA #5									
61. -Vanguard Small Cap Index Fund	A	Dividend	K	T					
62. IRA #6									
63. -Vanguard Small Cap Index Fund	C	Dividend	M	T					
64. -Vanguard 500 Index Fund	B	Dividend	L	T					
65. -Vanguard Treasury Money Market Fund	A	Interest	J	T					
66. IRA #7									
67. -NHFIX - MFB Northern Hi Yield Fxd Inc. FD	A	Interest	J	T					
68. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	J	T					
70. -NOINX-Northern Intl Equity Index FD	A	Dividend	J	T					
71. -NOSIX - MFB Northern FDS STK Index FD	A	Dividend	K	T					
72. -NSIDX - MFB Northern FDS Small Cap Index FD (FN 2)	A	Dividend	J	T					
73. IRA #8									
74. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	N	T	Buy	12/12/18	N		
75. LLC # 1									
76. -IJH MFC Ishares Core S&P Mid-Cap ETF	A	Dividend	K	T	Buy	12/28/18	K		
77. -BHYIX-MFO Blackrock FDS V High Yield BD Port Instl C	B	Interest	L	T	Buy	12/31/18	K		
78. -GUNR-MFC Flexshares TR Morningstar Global Upstream Nat Res Ind	A	Int./Div.	K	T	Buy	08/08/18	K		
79.					Buy (add'l)	08/20/18	J		
80.					Sold (part)	12/28/18	J		
81. -IWM-MFC Ishares TR Russell 2000 ETF	A	Dividend	J	T	Buy	08/08/18	J		
82.					Buy (add'l)	08/20/18	J		
83.					Sold (part)	12/28/18	J		
84. -NGREX-MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T	Buy	08/07/18	J		
85.					Sold (part)	12/31/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -NMFIX-MFB Northern Multi Manager Global Listed Infrastructure	A	Dividend	J	T	Buy	08/07/18	J		
87.					Sold (part)	12/31/18	J		
88. -NMMEX-MFB Northern FDS Active M Emerging Markets Equity FD	A	Dividend	K	T	Buy	08/07/18	L		
89.					Buy (add'l)	08/17/18	J		
90.					Sold (part)	12/31/18	K		
91. -NOGXX-MFB Northern Funds US Govt Money Market FD	A	Interest	L	T	Buy	08/31/18	O		
92. -NOINX-MFB Northern Equity Index Funds Intl Eqt Index	C	Dividend	L	T	Buy	08/07/18	L		
93.					Sold (part)	12/31/18	K		
94. -NSITX-MFB Northern Fds Short Inter Tax Exempt FD	A	Interest	N	T	Buy	08/07/18	M		
95.					Buy (add'l)	08/17/18	K		
96.					Buy (add'l)	12/31/18	M		
97. -NTAUX-MFB Northern Funds Tax Advantaged Ultra Short Fixed	A	Interest	M	T	Buy	08/07/18	M		
98.					Buy (add'l)	08/17/18	L		
99. -Real Property, Cameron Cnty, TX		None	O	W					
100. -SPY-MFC SPDR S&P 500 ETF Trust Units Ser 1 S&P	B	Dividend	M	T	Buy	08/08/18	M		
101.					Buy (add'l)	08/20/18	J		
102.					Sold (part)	12/28/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. LLC #2									
104. -BBVA Compass Bank, Houston, TX		None	J	T	Open	05/07/18	K		
105. -Ojo Labs, Inc.				U	Buy	05/07/18	K		
106. Nexus BSP LLC (was Nexus Resources, LLC- Preferred & Blind Squirrel)		Int./Div.	N	U					
107. NFRA-MFC Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	J	T					
108. NGREX- MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T	Sold (part)	06/22/18	J	A	
109. NHFIX-MFB Northern Hi Yield Fxd Inc. FD	A	Interest	J	T	Buy (add'l)	06/15/18	J		
110.					Sold (part)	06/22/18	J		
111.					Buy (add'l)	07/24/18	J		
112.					Buy (add'l)	12/27/18	J		
113. NOEMX- MFB Northern FDS Emerging Mkts Equity Index FD	A	Dividend	J	T	Sold (part)	06/22/18	J	A	
114.					Sold (part)	09/14/18	J	A	
115.					Buy (add'l)	12/27/18	J		
116. NOGXX- MFB Northern US Govt Money Market FDS	A	Interest	J	T					
117. NOINX - MFB Northern Intl Equity Index FD	A	Dividend	J	T	Sold (part)	06/15/18	J	A	
118.					Sold (part)	06/22/18	J	A	
119.					Buy (add'l)	12/27/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. NOITX - MFB Northern FDS Inter Tax Exempt FD	A	Interest	K	T	Buy (add'l)	03/16/18	J		
121.					Buy (add'l)	05/18/18	J		
122.					Sold (part)	06/22/18	J		
123.					Buy (add'l)	07/24/18	J		
124.					Buy (add'l)	09/14/18	J		
125.					Buy (add'l)	10/22/18	J		
126.					Buy (add'l)	12/27/18	J		
127. NOMIX - MFB Northern Mid Cap Index FD	A	Dividend	J	T	Sold (part)	06/22/18	J	A	
128. NOSIX - MFB Northern FDS STK Index FD	A	Dividend	K	T	Sold (part)	03/16/18	J	B	
129.					Buy (add'l)	06/15/18	J		
130.					Sold (part)	06/22/18	J	C	
131.					Sold (part)	10/22/18	J	A	
132.					Buy (add'l)	12/27/18	J		
133. NSIDX - MFB Northern FDS Small Cap Index FD	A	Dividend	J	T	Sold (part)	06/22/18	J	A	
134. ██████████ (FN 3)		None	J	T		01/31/18	J		
135. TDTT - MFC Flexshares TR IBOX 3 Yr Target Duration TIPS Index	A	Interest	J	T	Sold (part)	06/25/18	J		
136.					Sold (part)	07/20/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TPG Partners V, L.P. (FN 4)	C	Int./Div.	K	U					
138. 401(K) (FN 5)									
139. -Goldman Sachs Actively Managed Bond Fund		None			Sold (part)	09/19/18	L		
140.					Sold	11/14/18	K		
141. -State Street S&P 500 Ind NL Ser K		None	N	T	Sold (part)	03/21/18	K		
142.					Sold (part)	10/17/18	J		
143.					Sold (part)	11/14/18	L		
144.					Sold (part)	11/14/18	N		
145. -State Street US Bd Ind NL Ser CI K		None	O	T	Sold (part)	07/18/18	J		
146.					Buy (add'l)	11/14/18	L		
147.					Buy (add'l)	11/14/18	O		
148. -State Street US InfProt Bd Ind CI C		None	N	T	Buy (add'l)	03/21/18	K		
149.					Buy (add'l)	06/20/18	K		
150.					Sold (part)	07/18/18	K		
151.					Sold (part)	10/17/18	K		
152.					Buy (add'l)	11/14/18	L		
153.					Buy (add'l)	11/14/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -State Street Global AllCap Eq Ex-US Ind NL Ser CI K		None	O	T	Sold (part)	06/20/18	J		
155.					Buy (add'l)	09/18/18	L		
156.					Buy (add'l)	10/17/18	J		
157.					Sold (part)	11/14/18	K		
158.					Sold (part)	11/14/18	N		
159. -State Street Russell Small/Mid/Cap Ind NL Ser CI K		None	M	T	Buy (add'l)	06/20/18	J		
160.					Buy (add'l)	07/18/18	K		
161.					Buy (add'l)	10/17/18	K		
162.					Sold (part)	11/14/18	L		
163.					Sold (part)	11/14/18	M		
164. VFF II FAF L.P. (FN 6)	F	Int./Div.	M	U					
165. VFF II FAF, L.P. (Cayman)		Int./Div.	K	U					
166. Wegoma Berkshire VII, LLC (FN 7)		Int./Div.		U					
167. TRUST 1									
168. -Dreyfus Appreciation Fund	G	Dividend	O	T					
169. TRUST 4 (FN 8)									
170. -BBVA Compass Bank, Houston, TX Acct. #6			J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. --Pacific Life Insurance Company									
172. --BlackRock - Equity Index (formerly "Equity Index-BlackRock")			L	T					
173. --ClearBridge Invs. LLC Var Mid Cap Core CL II			K	T					
174. --Fidelity VIP Govt Money Market SC			J	T					
175. --Franklin and BlackRock Small-Cap Equity			K	T					
176. --MFS Inv Mgmt. Int'l Large-Cap			L	T					
177. --Oppenheimer Funds Inc. Emerging Markets			K	T					
178. --Pacific Asset Mgmt High Yield Bond			K	T					
179. --PIMCO Inflation Managed			J	T					
180. --PIMCO Managed Bond			L	T					
181. -- Principal Real Estate (formerly "Morgan Stanley Real Estate)			K	T					
182. --QS Investors Int'l Small Cap			J	T					
183. --T Rowe Price Dividend Growth Portfolio			L	T					
184. --Van Eck Worldwide Ins. Trust VIP Global Hard Assets			K	T					
185. TRUST 5									
186. --BBVA Compass Bank, Houston, TX Acct. #7		None			Closed	12/19/18			
187. TRUST 6									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. -Baltimore Cnty MD 4% 08-01-2020 BEO	A	Interest	K	T					
189. -Baltimore Cnty MD 5% Due 03-01-2019 BEO	B	Interest	L	T					
190. -Carroll Cnty MD 5% 11-01-2021 BEO	B	Interest	K	T					
191. -Clatsop Cnty Ore Sch Dist No 010 4.0% Due 06-15-2021 BEO	B	Interest	L	T					
192. -Connecticut St. Revolving FD Gen Rev 3.0% Due 05-01-2020 BEO	B	Interest	L	T					
193. -Denver COLO City & Cnty Sch Dist No 1 5% 12-01-2021 BEO	B	Interest	K	T					
194. -Fairfax Cnty VA 5% 04-01-2020 BEO	B	Interest	K	T					
195. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Index	A	Int./Div.	K	T					
196. -Kansas St Dev Fin Auth Rev 5% 02-01-2021 BEO	B	Interest	K	T					
197. -Maricopa Cnty Ariz Sch Dist No 3 Tempe Elem 3.5% Due 07-01-2022	B	Interest	L	T					
198. -Massachusetts St. 4% 08-01-2019 BEO	B	Interest	L	T					
199. -Minnesota St 5% 10-01-2020 BEO	B	Interest	K	T					
200. -New York NY City Transitional Fin Auth Rev 5% 05-01-2028 BEO	A	Interest			Matured	05/01/18			
201. -New York St Dorm Auth St Pers Income Tax Rev 5% 12-15-2020 BEO	B	Interest	K	T					
202. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD	A	Dividend	L	T					
203. -NOGXX: MFB Northern US Govt Money Market FDS	A	Interest	K	T					
204. -NOINX: MFB Northern Intl Equity Index FD	C	Dividend	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. -North Kansas City MO Sch Dist No 74 5% 03-01-2019 BEO	B	Interest	L	T					
206. -NOSIX: MFB Northern FDS STK Index Fd	B	Interest	L	T	Buy (add'l)	12/21/18	J		
207. -Pennsylvania St 5% 05-01-2020 BEO	B	Interest	L	T					
208. -Phoenix Ariz Civic Impt Corp Tran Excise Tax Rev 5% 07-1-2019 BEO	B	Interest	K	T					
209. -Real Property, Travis Cty, TX	D	Rent	N	Q					
210. -TDTT: MFC Flexshares Tr Iboxx 3 yr Target Duration Tips Index Fd	A	Interest	K	T					
211. TRUST 7									
212. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrstrcture Index FD	B	Dividend	K	T					
213. -NGREX: MFB Northern FDS Global Real Estate Index FD	C	Dividend	L	T					
214. -NMHYX: MFB Northern FundsMulti Manager High Yield Opportunity Fund	D	Dividend	M	T					
215. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD	A	Dividend	L	T					
216. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	K	T					
217. -NOINX: MFB Northn Intl Eqty Index FD	D	Dividend	M	T					
218. -NOMIX: MFB Northn Mid Cap Index FD	B	Dividend	M	T	Buy (add'l)	12/21/18	J		
219. -NOSIX: MFB Northern FDS Stk Index FD	D	Dividend	O	T	Buy (add'l)	12/21/18	J		
220. -NSIDX: MFB Northern FDS Small Cap Index FD	B	Dividend	L	T	Buy (add'l)	12/21/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
221. PCRIX: MFO PIMCO FDS PAC INVT MGMT SER COMMODITY REALRETURN STRATEGY F	B	Dividend	K	T	Buy (add'l)	01/02/18	K		
222. -Real Property, Travis Cty, TX	D	Rent	N	Q					
223. TRUST 8									
224. -GUNR: MFC Flexshres TR Morningstar Global Upstream Nat Res Index FD	B	Int./Div.	L	T					
225. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	K	T					
226. -NGREX: MFB Northern FDS Global Real Estate Index FD	A	Dividend	K	T					
227. -NHFIX: MFB Northn Hi Yield Fxd Inc FD	D	Interest	L	T					
228. -NOEMX: MFB Northn Fds Emerging Mkts Eqty Eqty Index FD	C	Dividend	M	T	Buy (add'l)	01/24/18	J		
229. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	K	T					
230. -NOINX: MFB Northn Intl Eqty Index FD	C	Dividend	M	T	Buy (add'l)	01/24/18	J		
231. -NOITX: MFB Northern Funds Inter Tax Exempt FD	C	Interest	M	T	Sold (part)	01/24/18	K		
232. -NOMIX: MFB Northn Mid Cap Index FD	A	Dividend	K	T	Buy (add'l)	12/21/18	J		
233. -NOSIX: MFB Northern FDS Stk Index FD	C	Dividend	M	T	Buy (add'l)	12/21/18	J		
234. -NSIDX: MFB Northern FDS Small Cap Index FD	A	Dividend	K	T	Buy (add'l)	12/21/18	J		
235. -NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed	A	Interest	L	T					
236. -TDTT: MFC Flexshares Tr Iboxx 3 yr Target Duration Tips Index Fd	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
237. -Vanguard 500 Index Fund	B	Dividend	L	T					
238. TRUST 9									
239. -Alabama Fed Aid Hwy Fin Auth Spl Oblig Rev 5.0% Due 09-01-2025 BEO	B	Interest	K	T					
240. -Bay Area Toll Auth Calif Toll Brdg Rev Rmrkted 2.0% Due 04-01-2053 /	A	Interest	K	T					
241. -Bellmore-Merrick NY Cent High Sch Dist 2% 07-15-2024 BEO	A	Interest	K	T					
242. -California St 5.0% Due 10-01-2024 BEO	A	Interest	K	T	Buy	03/15/18	K		
243. -Chippewa Falls Wis Area Uni Sch Dist. 5.0% Due 03-01-2027/03-01-2026		None	K	T	Buy	08/14/18	K		
244. -Colorado Springs Colo Utils Rev 5% Due 11-15-2025 BEO	A	Interest	K	T	Buy	07/10/18	K		
245. -Connecticut St 5% 10-15-2020 BEO	A	Interest	K	T	Buy	02/08/18	K		
246. -Florida St Brd Ed Lottery Rev 5.25% 07-01-2026 BEO	B	Interest			Sold	01/05/18	L	A	
247. -Florida St Brd Ed Pub Ed 4% 06-01-2023 BEO	B	Interest	L	T					
248. -Kansas St Dev Fin Auth Rev 5% 12-01-2021 BEO	B	Interest	L	T					
249. -Massachusetts St. 5% 07-01-2023 BEO	B	Interest	K	T					
250. -Metro Wastewtr Reclamation Dist Colo Swrrev 5% 04-01-2020 BEO	A	Interest			Sold	04/05/18	K		
251. -Metropolitan Council Minn Minneapolis- StPaul Met Area 5% 03-01-2022	B	Interest	K	T					
252. -New York NY City Transitional Fin Auth Rev 5% 5-01-2028 BEO	A	Interest			Sold	01/15/18	J	A	
253. -New York St Dorm Auth St Pers Income Tax Rev 5% 12-15-2020 BEO	B	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
254. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD	A	Dividend	K	T					
255. -NOGXX - MFB Northern US Govt Money Market FDS	B	Interest	L	T					
256. -NOINX: MFB Northern Intl Equity Index FD	B	Dividend	L	T					
257. -NOSIX: MFB Northern FDS STK Index FD	C	Dividend	M	T	Buy (add'l)	12/21/18	J		
258. -Pennsylvania St 5% 05-01-2020 BEO	B	Interest	L	T					
259. -Phoenix Ariz 4% Due 07-01-2024 BEO	B	Interest	K	T					
260. -San Francisco Calif City & Cnty Pub Utils Commn Wtr Rev 5% Due 11-01	B	Interest	K	T					
261. -TDTT: MFC Flexshares Tr Tr Iboxx 3 yr Target Duration Tips Index FD	A	Interest	K	T					
262. -Texas Transn Commn St Hwy FD Rev 3% Due 10-01-2021 BEO	A	Interest	K	T					
263. -Triborough Brdg & Tunl Auth NY Revs 5% 11-15-2021 BEO	B	Interest	K	T					
264. -Trinity River Auth Tex Regl Wastewtr Sysrev 3.0% Due 08-01-2023 BEO	A	Interest	K	T					
265. -Tucson Ariz 3% 07-01-2021 BEO	A	Interest			Sold	07/06/18	K	A	
266. -Virginia Comwlth Transn Brd Transn Rev 5.0% Due 05-15-2025 BEO	B	Interest	K	T					
267. -Wake Cnty N C 5.0% Due 03-01-2025 BEO	B	Interest	K	T					
268. -Washington St 5% Due 07-01-2024 BEO	B	Interest	K	T					
269. -Washington St 5% Due 08-01-2021 BEO	B	Interest	K	T					
270. TRUST 10									

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 21 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271. -DFISX: MFO DFA Intl Small Co Portfolio Fund	B	Dividend			Sold (part)	03/16/18	J	A	
272.					Sold (part)	06/15/18	J	A	
273.					Buy (add'l)	07/20/18	J		
274.					Buy (add'l)	12/19/18	J		
275.					Sold	12/20/18	K		
276. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Index FD	C	Int./Div.	L	T	Sold (part)	03/16/18	J	A	
277.					Sold (part)	07/20/18	K	B	
278.					Sold (part)	10/22/18	J	A	
279. -NFRA: MFC Flexshares Tr Stoxx Global Broad Infrastructure Index FD	A	Dividend	K	T	Buy (add'l)	03/20/18	J		
280.					Sold (part)	07/20/18	J	A	
281.					Buy (add'l)	10/24/18	K		
282. -NGREX: MFB Northern FDS Global Real Estate Index FD	A	Dividend	K	T	Buy (add'l)	03/16/18	J		
283.					Sold (part)	07/20/18	J	A	
284.					Buy (add'l)	10/22/18	K		
285. -NHFIX: MFB Northern Hi Yield Fxd Inc FD	D	Interest			Buy (add'l)	03/16/18	J		
286.					Buy (add'l)	9/14/18	J		
287.					Sold (part)	10/22/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 22 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
288.					Sold	12/20/18	M	D	
289. -NMHYX: MFB Northern Funds Multi Manager High Yield Opportunity Fund	A	Interest	M	T	Buy	12/20/18	M		
290. -NOEMX - MFB Northern FDS Emerging Mkts Equity Index FD	C	Dividend	M	T	Buy (add'l)	07/20/18	K		
291.					Sold (part)	09/14/18	K		
292.					Sold (part)	10/22/18	J		
293. -NOGXX - MFB Northern US Govt Money Market FDS	B	Interest	M	T					
294. -NOINX: MFB Northern Intl Equity Index FD	D	Dividend	N	T	Buy (add'l)	03/16/18	J		
295.					Sold (part)	06/15/18	J	B	
296.					Buy (add'l)	09/14/18	J		
297.					Sold (part)	12/26/18	J		
298. -NOMIX: MFB Northern Mid Cap Index FD	B	Dividend	L	T	Buy (add'l)	07/20/18	J	A	
299.					Sold (part)	10/22/18	J		
300.					Buy (add'l)	12/21/18	J	A	
301.					Sold (part)	12/26/18	J		
302. -NOSIX: MFB Northern FDS STK Index FD	D	Dividend	N	T	Sold (part)	03/16/18	K	C	
303.					Buy (add'l)	06/15/18	J		
304.					Buy (add'l)	07/20/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 23 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
305.					Sold (part)	09/14/18	J	A	
306.					Sold (part)	10/22/18	K	C	
307.					Buy (add'l)	12/21/18	K		
308.					Sold (part)	12/26/18	J	B	
309. -NSIDX: MFB Northern FDS Small Cap Index FD	A	Dividend	K	T	Sold (part)	06/15/18	J	A	
310.					Buy (add'l)	12/21/18	J		
311.					Sold (part)	12/26/18	J		
312. -VEU: MFC Vanguard Intl Equity Index FDS Vanguard FTSE All World Ex	A	Dividend	K	T	Buy	12/21/18	K		
313. TRUST 11 (X) (FN 9)									
314. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Ind FD	A	Int./Div.	J	T					
315. -IWB: MFC Ishares TR Russell 1000 ETF	A	Dividend	K	T					
316. -IWR: MFC Ishares TR Russell Mid-Cap ETF	A	Dividend	J	T					
317. -NGREX: MFB Northern FDS Global Real Estate Index FD	A	Dividend	J	T					
318. -NMFIX: MFB Northern Multi Manager Global Listed Infrastructure	A	Dividend	J	T					
319. -NMHYX: MFB Northern Funds Multi Manager High Yield Opportunity	A	Dividend	K	T					
320. -NMMEX: MFB Northern FDS Multi- Manager Emerging Mkts FD	A	Dividend	J	T					
321. -NOGXX - MFB Northern US Govt Money Market FDS	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
322. -NOINX: MFB Northern Intl Equity Index FD	A	Dividend	K	T					
323. -NOITX: MFB Northern Funds Inter Tax Exempt FD	A	Interest	K	T					
324. -NSITX: MFB Northern FDS Short Inter Tax Exempt	A	Interest	K	T					
325. Trust 12									
326. -Austin Tex 5.0% Due 09-01-2024 BEO	D	Interest			Sold	10/17/18	M		
327. -Beaufort Cnty S C Sch Dist 5.0% Due 03-01-2021	C	Interest	L	T					
328. -Bismarck N D 5.0% Due 05-01-2022	C	Interest	M	T					
329. -Connecticut St 5.0% DUE 10-15-2020 BEO	C	Interest	L	T					
330. -Florida St Brd Ed Lottery Rev 5.25% Due 07-01-2026/ 07-01-2018	C	Interest			Matured	07/12/18	L	A	
331. -Georgia St 5.0% Due 07-01-2021 BEO	C	Interest	L	T					
332. -GUNR: MFC Flexshares TR Morningstar Global Upstream Nat Res Ind	A	Int./Div.	J	T	Sold (part)	10/16/18	J	A	
333. -IWB: MFC Ishares TR Russell 1000 ETF	D	Dividend	N	T	Sold (part)	7/13/18	L	E	
334.					Sold (part)	10/16/18	M	E	
335. - IWR: MFC Ishares TR Russell Mid-Cap ETF	C	Dividend	M	T	Sold (part)	10/16/18	K	D	
336. -Logan City Utah Sch Dist 5.0% Due 06-15-2021 BEO	C	Interest	L	T					
337. -Mecklenburg Cnty N C 5.0% Due 12-01-2021 BEO	C	Interest	M	T					
338. -Metropolitan Transn Auth NY Rev 5.0% Due 11--15-2021 BEO	C	Interest			Sold	10/17/18	M		

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 25 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
339. -Missouri St Environmental Impt & Energy Res Auth Wtr Pollutn Ctl	D	Interest			Sold	10/17/18	M		
340. -New York NY City Transitional Fin Auth Rev 5.0% Due 05-01-2028	A	Interest			Matured	05/01/18	K	A	
341. -New York St Dorm Auth St Pers Income TaxRev Unrefunded Balance	C	Interest	L	T					
342. -NGREX: MFB Northern FDS Global Real Estate Index FD	B	Dividend	L	T	Sold (part)	10/15/18	J		
343. -NMFIX: MFB Northern Multi Manager Global Listed Infrastructure	B	Dividend	K	T	Sold (part)	10/15/18	J	B	
344. -NMHYX: MFB Northern Funds Multi Manager High Yield Opportunity	D	Dividend	M	T	Sold (part)	10/15/18	K	C	
345. -NMMEX: MFB Northern FDS Multi-Manager Emerging Mkts FD	A	Dividend	L	T	Sold (part)	10/15/18	K	C	
346. -NOGXX - MFB Northern US Govt Money Market FDS	C	Interest	O	T					
347. -NOINX: MFB Northern Intl Equity Index FD	D	Dividend	M	T	Sold (part)	07/12/18	K	D	
348.					Sold (part)	07/16/18	K	D	
349.					Sold (part)	10/15/18	K	C	
350. -NTAUX: MFB Northern Funds Tax Advantaged Ultra Short Fixed	B	Interest	L	T	Sold (part)	07/16/18	K		
351. -Pennsylvania St 5.0% Due 05-01-2020 BEO	C	Interest	L	T					
352. -Portland Ore Swr Sys Rev 5.0% Due 06-01-2021	C	Interest	L	T					
353. -Texas St Fltg Rt.5.0% Due 10-01-2022 BEO (was TX St. 5% Due 10-01-22)	C	Interest	M	T					
354. Trust 13									
355. -NOGXX- MFB Northern US Govt Money Market FDS (X) (FN10)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 26 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I Notes:

- (1) Neither I nor my spouse has a beneficial interest in Trust 2 or Trust 3. Nor did we create either trust.

Section V Notes:

- (1) A few times each year, friends invite [REDACTED] and me to sit with them at tables they have purchased at charity events. We occasionally invite friends to do the same, i.e., join us at tables we have purchased for charity events. Since my confirmation, each time a friend has invited us to sit at his/her table, [REDACTED] has made a contribution to the organization hosting the event equal to or greater than the fair market value of two tickets to the event. Each time, the invitation has come from a close friend whose friendship long predated my nomination. A few of those friends are lawyers and could someday represent clients in my court. To my knowledge, none of those friends was a party or represented clients in my court at the time of either their invitation to attend the event or the event at which we sat at their table.

Section VII Notes:

- (1) The "Sold (part)" on 2/27/17 listed on the 2017 FDR should have been listed as "Sold."
- (2) The "Sold" listed on the 2017 FDR for this asset was an error.
- (3) A loan was made, if any, on the date listed in column D(2) in the amount shown in column D(3).
- (4) TPG Partners V, L.P. is a private equity fund that makes periodic capital calls. There were no capital calls this year.
- (5) Lines reflecting no income in this 401(k) are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.
- (6) Vista Equity Partners' VFF II FAF, L.P. is a private equity fund. In response to periodic capital calls, we invested an amount within category J on 1/05/18, 5/21/18, 6/28/18, 10/12/18, and 12/26/18.
- (7) Wegoma Berkshire VII, L.P. is a private equity fund. There were no capital calls this year.
- (8) All the assets in Trust 4 except BBVA Compass Bank are investments funded by the cash value of the Pacific Life Insurance Co. life insurance policy (listed on line 17). Assets in lines 172 - 184 are part of that life insurance policy. Pacific Life informed [REDACTED] that it cannot provide the income for any year. Company representatives explained that because I hold an interest in funds in which the cash value of an insurance policy has been invested, and not an individual taxable investment, there are no individual dividends or realized gains to report; all that is reported are gains or losses to the policy cash value. In addition, according to the company, under applicable government regulations an insurance policy is considered an asset, not an investment.
- (9) This asset was transferred in 10/30/18 from a relative's personal account after [REDACTED] death.
- (10) The asset in Trust 13 was inadvertently omitted from prior reports.

FINANCIAL DISCLOSURE REPORT

Page 27 of 27

Name of Person Reporting

Atlas, Nancy F.

Date of Report

07/21/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Nancy F. Atlas**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544