

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alito, Samuel A.	2. Court or Organization United States Supreme Court	3. Date of Report 06/12/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Supreme Court 1 First Street, NE Washington, DC 20544		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Visiting Faculty	Duke University Law School
2. Honorary Advisory Board Member	Bolch Judicial Institute, Duke Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Name of Person Reporting

Alito, Samuel A.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 3/9/2019	Duke University Law School - Teaching	\$15,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2. Fordham Law School	3/29/2019 to 3/31/2019	New York, NY	Moot Court	Transportation/M meal/Lodging
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Vang Inter Term Tax Ex Fund	D	Dividend	M	T					
2. Van LT Tax Ex Fund	A	Dividend	J	T					
3. Vanguard TE Mny Mkt	B	Dividend	L	T					
4. Vang Small Cap Stock Fund	C	Dividend	M	T					
5. Vang Total Stock Mkt Index F	D	Dividend	N	T					
6. Windsor II	C	Dividend	K	T					
7. Vang Star Mutual Fund	C	Dividend	L	T					
8. Vang Wellington Mut Fund	D	Dividend	N	T					
9. Roth IRA (H)									
10. -Edward Jones Investment (Cash Account)		None	J	T					
11. -AES Corp	A	Dividend	J	T					
12. -BHP Bilton PLC ADR	B	Dividend	J	T					
13. -Loccitane Luxembourg	A	Dividend	J	T					
14. -Sealed Air Corp	A	Dividend	J	T	Buy	01/09/19	J		
15. -TJX Cos Inc	A	Dividend	J	T					
16. Vanguard Target Retirement Acct 2015	A	Dividend	K	T	Buy (add'l)	03/25/19	J		
17. PNC Bank Accounts		None	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Traditonal IRA (H)									
19. - Capital World Growth & Income Fund CLA	A	Dividend	J	T					
20. - Eaton Vance Mutual Funds Trust Gov't Oblig LC A	A	Dividend	J	T					
21. - Goldman Sachs Growth & Incom Strategy Fund CL A	A	Dividend	J	T					
22. - Income Fund of America CL A	A	Dividend	J	T					
23. - Putnam Dynamic Asset- Allocation Growth Fund CL A	A	Dividend	J	T					
24. - Putnam Dynamic Asset Allocation Balanced Fund CL A	A	Dividend	J	T					
25. Investment Account #1 (H)									
26. - Stafford Cnty & Staunton VA	A	Interest	K	T					
27. - Virginia Comwith Transn Brd	A	Interest	J	T					
28. - VA St Res Auth Wtr & Swr Rev	A	Interest	J	T					
29. - VA St Res Auth Wtr & Swr Sys	A	Interest	J	T					
30. - Virginia Beach VA GO Pub Impt	A	Interest	K	T					
31. - 3M Co	A	Dividend	K	T					
32. - Abbott Laboratories	A	Dividend	K	T					
33. - Abbvie Inc	A	Dividend	K	T					
34. - Becton Dickinson & Co	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. - Black Hills Corp	B	Dividend	K	T					
36. - Boeing Co	B	Dividend	K	T	Sold (part)	06/17/19	K	D	
37. - Caterpillar Inc	A	Dividend	J	T					
38. - Cdk Global Inc	A	Dividend	J	T					
39. - ConocoPhillips	A	Dividend	K	T					
40. - Corteva Inc	A	Dividend	J	T	Spinoff (from line 42)	06/01/19	J		
41. - Dow Inc	A	Dividend	J	T	Spinoff (from line 42)	04/01/19	J		
42. - DuPont De Nemours Inc (formerly DowDupont Inc)	A	Dividend	J	T					
43. -Fortis Inc	A	Dividend	K	T					
44. - Jacobs Engineering Group Inc	A	Dividend	K	T					
45. - Johnson & Johnson	A	Dividend	K	T					
46. - Molson Coors Brewing Co	A	Dividend	J	T					
47. - Mondelez International Inc	A	Dividend	K	T					
48. - OGE Energy Corp	A	Dividend	K	T					
49. - Oracle Corp		None			Sold	01/04/19	K	C	
50. - Parker Hannifin Corp	A	Dividend	K	T					
51. - Phillips 66	A	Dividend	K	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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52. - PNC Bank Corp	A	Dividend	K	T					
53. - Procter & Gamble Co	A	Dividend	K	T					
54. - Sealed Air Corp	A	Dividend	J	T					
55. - TJX Cox Inc	A	Dividend	K	T					
56. - United Technologies Corp	A	Dividend	K	T	Sold (part)	06/17/19	J	B	
57. - Vanguard FTSE All-Wrld Exus ETF	A	Dividend	J	T					
58. Investment Account #2 (H)									
59. - Edward Jones Money Market (Y)									
60. - Ishares Russ 1000 Growth ETF	A	Dividend	K	T					
61. - Ishares Russ 1000 Value ETF	A	Dividend	K	T					
62. - Ishares Russ MC Value ETF	A	Dividend	K	T					
63. - Ishares S&P 500 Growth ETF	A	Dividend	K	T					
64. - SPDR S&P Dividend ETF	A	Dividend	K	T					
65. - Vanguard High Div Yield ETF	B	Dividend	L	T	Sold (part)	11/26/19	J	A	
66. - Vanguard Mid Cap Growth ETF	A	Dividend	K	T					
67. - American New World Cl F3	A	Dividend	K	T					
68. - Dimensional DFA Int'l Value Fund	A	Dividend	K	T					

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2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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69. -Fidelity: Advisor Inter Mun Incm Fd Z	B	Dividend	L	T					
70. -JP Morgan Fed Money Market	A	Dividend	J	T					
71. -MFS International Equity Fund	B	Dividend	K	T					
72. -MFS Municipal High Income R6	B	Dividend	L	T					
73. -T. Rowe Price Tax Free Short Inter	B	Dividend	L	T					
74. -Tiaa-Cref Instl Fd Intl Eqty	A	Dividend	K	T					
75. -USAA Tax Exempt Interm Term	C	Dividend	M	T	Sold (part)	08/27/19	J	A	
76. Investment Account #3 (H)									
77. - Fidelity Gov't MM Inst. Cl	A	Dividend	K	T					
78. - Ishares 1-3 Year Treasury Bd ETF	B	Dividend	L	T					
79. - Ishares Floating Rate Bond ETF	A	Dividend			Sold	04/22/19	L		
80. -SPDR Nuveen Bloomberg Barclays ST Muni Bd ETF	A	Dividend			Sold (part)	06/18/19	K		
81.					Sold	08/26/19	L	A	
82. -Metropolitan West Unconstrained	C	Dividend	L	T	Buy (add'l)	08/27/19	J		
83.					Buy (add'l)	09/23/19	J		
84.					Buy (add'l)	09/27/19	J		
85. -Pacific Funds Floating Rate	B	Dividend			Sold	07/01/19	K		

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2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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86. -T. Rowe Price VA T/F Bond Fd	D	Dividend	M	T	Buy (add'l)	04/08/19	K		
87.					Buy (add'l)	06/04/19	J		
88.					Buy (add'l)	07/01/19	K		
89.					Buy (add'l)	08/27/19	J		
90. - Vanguard Inflation Protected Securities Fund Admiral		None			Sold	04/08/19	K		
91. - Vanguard Funds Inter-Term TE Fd 542	C	Dividend	M	T	Buy	04/22/19	L		
92.					Buy (add'l)	05/21/19	K		
93.					Buy (add'l)	06/24/19	K		
94.					Buy (add'l)	08/27/19	K		
95. - Vanguard Funds Limited Term Tax Exempt Fund 531 Admiral	C	Dividend	M	T	Buy (add'l)	03/01/19	J		
96.					Buy (add'l)	08/27/19	J		
97. - Ishares S&P 100 ETF	B	Dividend	L	T	Sold (part)	12/26/19	J	C	
98. -Ishares Edge MSCI USA Value Factor ETF	A	Dividend			Sold	05/21/19	J	C	
99. -Ishares Core Dividend Growth ETF	A	Dividend	K	T					
100. -Vanguard FTSE Developed Markets ETF	A	Dividend	K	T					
101. - Vanguard FTSE All World Ex-US Index Fund	A	Dividend	K	T					
102. - Vanguard FTSE Europe ETF	A	Dividend			Sold	04/08/19	J	A	

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2. Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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103. - Vanguard Mid Cap ETF	A	Dividend	K	T	Buy (add'l)	03/01/19	J		
104. - Vanguard Large Cap ETF	B	Dividend	M	T					
105. - Vanguard Growth ETF	B	Dividend	L	T	Buy (add'l)	03/01/19	J		
106.					Sold (part)	12/26/19	J	B	
107. - Vanguard Value ETF	B	Dividend	L	T	Sold (part)	12/26/19	J	B	
108. - Wisdomtree Large Cap Dividend Fd ETF	A	Dividend	K	T					
109. -Vanguard Int'l Valu Fd		None			Sold	03/01/19	K		
110. - WCM Focused Int'l Growth	A	Dividend	K		Buy	03/01/19	J		
111.					Buy (add'l)	08/29/19	J		
112. Mineral Interest, Grady Cnty, OK	G	Rent	M	W					
113. Kansas City Life Insurance (X)	A	Interest	J	T					
114.									
115.									
116.									
117.									
118.									

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	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting	Date of Report
Alito, Samuel A.	06/12/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Alito, Samuel A.

Date of Report

06/12/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Samuel A. Alito**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544