

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Shipp, Michael A.	2. Court or Organization U.S. Dist. Ct. - NJ	3. Date of Report 11/20/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address Clarkson S. Fisher U.S. Courthouse 402 East State Street Trenton, NJ 08608		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 6

Name of Person Reporting

Shipp, Michael A.

Date of Report

11/20/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Seton Hall University School of Law Adjunct Professor, teaching income	\$12,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Akin Gump
2. 2018	KPMG, LLP
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYIPLA	March 23, 2018	NY	96th Annual Judges Dinner	Dinner & car service
2. KPMG	October 2018	Barcelona, Spain	New Partners Conference	Airfare & meals
3. Duke University School of Law	May - June 2018	Durham, NC	Bolch Judicial Institute	Classes, lodging, transportation & meals
4.				
5.				

Name of Person Reporting	Date of Report
Shipp, Michael A.	11/20/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Tufts University	Tuition Agreement	L
2.	Nelnet	Student Loans	L
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Bank of America	A	Int./Div.	J	T					
2. Leg Mason Scholars Choice 529 Plan Age-Bsed (not self-directed) H									
3. Age 19 and Up	A	Interest	J	T					
4. Prudential Merged Supplemental Retirement Plan (cash balance account)	C	Int./Div.	M	T					
5. Prudential Common Stock	C	Int./Div.			Sold	09/19/18	L		
6. Prudential Employee Savings Plan (PESP) (401K) (H)									
7. Alliance Bernstein Core Opportunity Fund	A	Int./Div.	M	T	Redeemed	09/19/18			
8. Jennison Opportunistic Equity	A	Int./Div.	J	T	Redeemed	09/19/18			
9. PESP Fixed Rate Fund	A	Int./Div.	K	T	Redeemed	09/19/18			
10. Prudential Financial Inc. Common Stock Fund	A	Int./Div.	K	T	Redeemed	09/19/18			
11. Wellington Trust Company CIF International Opportunities Portfolio	A	Int./Div.	K	T	Redeemed	09/19/18			
12. Merrill Edge Account (H)									
13. CD Wells Fargo Bank NA	A	Interest	M	T					
14. CD Sallie Mae Bk	A	Interest	L	T					
15. Akin Gump Diversified Multi-Asset Moderate Fund (401K)	A	Int./Div.	J	T					
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Shipp, Michael A.

Date of Report

11/20/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Explanations -

This FDR is submitted in response to the Committee's October 14, 2020 Letter of Inquiry.

Part VII, lines 6-11. In accordance with the instructions in the Letter of Inquiry, the aggregate values from the header line were removed and the income and value of each asset were disclosed in Columns B and C. As indicated in my previous reports, I had limited information regarding these accounts. [REDACTED] was not derived from my assests, income, or activities; I do not expect to derive and have not derived a benefit from the PESp; and I have no further knowledge [REDACTED] regarding the PESp.

Part VII, line 35 - The Letter of Inquiry requested a complete asset name for the assest listed in Part VII, line 35. At the time the 2018 FDR was submitted, the 2017 report had not yet been accepted by the Committee. In response to Letters of Inquiry regarding the 2017 report, the name of the fund itself was listed and the titles of the individual assets within the fund deleted. In accordance with the instructions received during the review of the 2017 report, this amended report provides the name of the fund at Part VII, line 35, and does not include the names of the individual assets within the fund.

FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Shipp, Michael A.

Date of Report

11/20/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael A. Shipp**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544