

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) NIELSEN, WILLIAM F.	2. Court or Organization USDC, ED OF WA	3. Date of Report 04/08/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE, SENIOR	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address POST OFFICE BOX 1493 SPOKANE, WASHINGTON 99210-1493		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board Member	Spokane YMCA Endowment Committee
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

NIELSEN, WILLIAM F.

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

Name of Person Reporting	Date of Report
NIELSEN, WILLIAM F.	04/08/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. WFN SP WOLF									
2. Cash KMS	A	Interest	J	T					
3. Auto Data Proc. Com	B	Dividend	M	T					
4. Berkshire Hath		None	O	T					
5. Eaton	B	Dividend	L	T					
6. Home Depot	C	Dividend	M	T					
7. Honeywell Intl	C	Dividend	M	T					
8. Illinois Tool Wks	A	Dividend	L	T					
9. Intel Com	B	Dividend	L	T					
10. Intuitive Surg		None	M	T					
11. Johnson & Johnson Com	B	Dividend	L	T					
12. Stryker	A	Dividend			Sold	11/19/18	L		
13.									
14. WFN SP									
15. Cash--First Interstate Bank (buyout of NW Bancorp)	A	Interest	J	T					
16. Cash--Wash. Trust Bank	A	Interest	J	T					
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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18. WFN SP83									
19. Alcoa		None			Sold	01/24/18	J	B	
20. Ameriprise Finc	A	Dividend	J	T					
21. Apple	A	Dividend	K	T					
22. Arconic	A	Dividend	J	T					
23. Berk Hathwy Com		None	N	T					
24. Caterpillar	A	Dividend	J	T					
25. Chicago Bridge (overlooked sale in 2017 Rept.) no longer reportable	A	Dividend			Sold	12/28/17	K		
26. Cisco	B	Dividend	K	T					
27. Coca Cola	B	Dividend	K	T					
28. Cognizant Tech	A	Dividend	K	T					
29. Colgate Com	C	Dividend	L	T					
30. Corning Inc.	A	Dividend			Sold	06/13/18	J		
31. Cracker Barrell	A	Dividend	K	T	Buy	06/13/18	K		
32. Cummins	A	Dividend	K	T	Buy	10/17/18	K		
33. Cypress Semi	A	Dividend	K	T					
34. Danaher Corp.	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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35. Deere Corpo	A	Dividend	K	T	Buy	08/28/18	K		
36. Disney Com	C	Dividend	M	T					
37. Eaton (duplicate of Line 5) no longer reportable	A	Dividend	K	T					
38. Encana Corp	A	Dividend	J	T					
39. First Interstate Bank (buyout of NW Bankcorp)	B	Dividend	L	T					
40. Fortive Corp	A	Dividend	J	T					
41. Home Depot	C	Dividend	M	T					
42. Illumina Inc.		None	K	T					
43. International Paper	B	Dividend	K	T					
44. Johnson & Johnson	C	Dividend	M	T					
45. Magna Int'l	A	Dividend	K	T					
46. McDonalds Corp	A	Dividend	L	T					
47. McKesson Corp	A	Dividend	K	T					
48. Novo Nordisk ADR	A	Dividend	K	T					
49. NW Bancorp (now First Interstate Bank) no longer reportable		None	K	T					
50. Oracle Corp.	A	Dividend	K	T					
51. Pfizer	A	Dividend	K	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52. Proctor & Gamble Co.	B	Dividend	L	T					
53. Resideo Tech		None	J	T	Spinoff (from line 7)	10/29/18	J		Honeywell Spinoff
54. Royal Dutch Shell	B	Dividend			Sold	04/19/18	L	A	
55. Schlum Berger	A	Dividend			Sold	06/13/18	J		
56. Spirit Aerosystems	A	Dividend	K	T					
57. Starbuck's	A	Dividend	K	T					
58. S.W. Airlines Com	B	Dividend	M	T					
59. 'Synchrony Finl	B	Dividend	K	T					
60. Taiwan Mfgr	A	Dividend	K	T					
61. TJX Co., Inc.	A	Dividend	K	T					
62. Target	A	Dividend	J	T					
63. Tenneco	A	Dividend	J	T	Buy	04/11/18	K		
64. Tractor Supply	B	Dividend	L	T					
65. Union Pacific	B	Dividend	L	T					
66. Walgreen Co.	B	Dividend	L	T					
67. Waters Corp.		None	L	T					
68. Wells Fargo & Co.	B	Dividend	L	T					

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69. Weyerhaeuser	A	Dividend			Sold	11/19/18	J		
70. Zimmer Biomet	A	Dividend			Sold	04/16/18	K		
71.									
72. WFN SPDRSEA									
73. Bank of America	A	Dividend			Donated				
74. Cash RBC Wealth Management	A	Interest			Closed	02/28/18	J		
75. Chevron Corp.	A	Dividend			Donated				
76. Dow Chem	A	Dividend			Donated				
77. Dollar Tree		None			Donated				
78. Emerson Elec	A	Dividend			Donated				
79. Expedia	A	Dividend			Donated				
80. Hanes Brands Inc.	A	Dividend			Donated				
81. J.P. Morgan Chase	A	Dividend			Donated				
82. Norwegian Cruise Line		None			Donated				
83. Oracle Corp Com	A	Dividend			Donated				
84. Paccar	A	Dividend			Donated				
85. Proctor & Gamble	B	Dividend			Donated				

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

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86. Starbucks	A	Dividend			Donated				
87. Tenneco	A	Dividend			Donated				
88. Vmware		None			Donated				
89. Weyerhaeuser	A	Dividend			Donated				
90. Whirlpool	A	Dividend			Donated				
91.									
92. MSN SP									
93. Cash--Washington Trust Bank	A	Interest	J	T					
94. R.W. Baird IRA Fund	B	Interest	M	T					
95. Cash--Vanguard Account	A	Interest	L	T					
96. Abbott Lab	A	Dividend	J	T					
97. Alphabet		None	L	T					
98. Amazon		None	M	T					
99. Anheuser Busch	A	Dividend	J	T					
100. Berkshire Hath		None	M	T					
101. Caterpillar	A	Dividend	K	T					
102. Cisco	A	Dividend	K	T					

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103. Costco	A	Dividend	K	T					
104. Cracker Barrell	A	Dividend	K	T	Buy	06/18/18	K		
105. CSX	A	Dividend	K	T					
106. Cyprus Semi	A	Dividend	J	T					
107. Emerson Elec	A	Dividend	K	T					
108. Exxon	A	Dividend	J	T					
109. Hanes Brand	A	Dividend			Sold	03/22/18	J		
110. Home Depot	A	Dividend	M	T					
111. Intel Corp.	A	Dividend	K	T					
112. Johnson-Johnson	B	Dividend	K	T					
113. JP Morgan	A	Dividend	K	T					
114. Lockheed	A	Dividend	K	T					
115. McKesson	A	Dividend	K	T					
116. Medtronic	A	Dividend	K	T					
117. Mondeleze Intl	A	Dividend	K	T					
118. Nielsen Holdings	A	Dividend			Sold	01/01/18	J		
119. Proctor & Gamble	A	Dividend	K	T					

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120. Qualcomm	B	Dividend	K	T					
121. Schlumberger	A	Dividend	J	T					
122. Southwest Airlines	A	Dividend	J	T					
123. Starbucks	A	Dividend	K	T					
124. Stryker	A	Dividend	K	T					
125. Verizon	A	Dividend	K	T					
126. Walgreen	A	Dividend	K	T					
127. Waste Mgmt	A	Dividend	K	T					
128. Weyerhaeuser	A	Dividend	J	T					
129.									
130. Cash-Washington Trust Bank 4226	A	Interest	J	T					
131. RW Baird Fund	B	Dividend	M	T					
132. Vanguard Funds-Extended Mkt VXF	A	Dividend	K	T					
133. Vanguard Funds-Large Cap VV	A	Dividend	J	T					
134. Vanguard Funds-Mega Cap Growth MGK	A	Dividend	K	T					
135. Vanguard Funds-S&P 500 VOO	A	Dividend	K	T					
136. Vanguard VWLUX	A	Dividend	K	T					

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(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Vanguard Funds-Small Cap Value VBR	A	Dividend	J	T					
138. Vanguard Funds-Total Stock Mkt VTI	A	Dividend	K	T					
139. Vanguard Intl VTIAx	A	Dividend	L	T					
140. Vanguard Funds-Value VTV	A	Dividend	J	T					
141. Vanguard VLMTX	A	Dividend	K	T	Buy	11/20/18	K		
142. Vanguard VWLUX (duplicate of Line 137) no longer reportable	A	Dividend	K	T					
143. Apple	A	Dividend	L	T					
144. Illumina		None	K	T					
145. International Paper	A	Dividend	K	T					
146. Johnson Control	A	Dividend	K	T					
147. Microsoft	A	Dividend	K	T					
148. Old Dominion Frt		None	J	T					
149. Sherwin Williams	A	Dividend	K	T					
150. Synchrony Fin	A	Dividend	J	T					
151. UPS	A	Dividend	J	T					
152. Whirlpool	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NIELSEN, WILLIAM F.

Date of Report

04/08/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII explanations:

Line 15, Cash--First Interstate Bank, and Line 39, First Interstate Bank: Buyout of NW Bancorp (Line 50).

Line 25, Chicago Bridge: Sold in 2017, overlooked in 2017 Annual Report and no longer reportable.

Line 37, Eaton, Duplicate of Line5, no longer reportable.

Line 50, NW Bancorp, no longer reportable due Buyout by First Interstate Bank (see Lines 15 and 39).

Line 143, Vanguard VWLUX, duplicate of Line 137, no longer reportable.

2018 Amended Report:

*Line 95, Overlooked in 2017 Annual Report.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

NIELSEN, WILLIAM F.

Date of Report

04/08/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ WILLIAM F. NIELSEN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544