

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barash, Martin R.	2. Court or Organization Bankruptcy Court, Central District of California	3. Date of Report 5/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 21041 Burbank Boulevard Woodland Hills, CA 91367		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	American Bankruptcy Institute
2. Director	Los Angeles Bankruptcy Forum
3. Governor	Financial Lawyers Conference
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	University of California, Wages
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	4/18/18-4/22/18	Washington, DC	Educational seminar/board of directors meeting	Transport, lodging, food, seminar registration
2.	California Bankruptcy Forum	5/17/18-5/20/18	Lake Tahoe, CA	Educational seminar	Lodging, food, seminar registration
3.	American Bankruptcy Institute	9/6/18-9/8/18	Las Vegas, NV	Educational seminar	Transport, lodging, food, seminar registration
4.	National Conference of Bankruptcy Judges	10/27/18-10/31/18	San Antonio, TX	Educational seminar, professional association meeting	Transport, lodging, food
5.	American Bankruptcy Institute	12/6/18-12/8/18	Scottsdale, AZ	Educational seminar/board of directors meeting	Lodging, food, seminar registration

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Citibank N.A.	Mastercard/Visa	J
2.	Chase Bank	Visa	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. 401(k) Retirement Plan									
2. --Putman Stable Value Fund	A	Dividend	J	T					
3. --Virtus Kar Small Cap Value Fund	C	Dividend	M	T					
4. --Oppenheimer Developing Markets Y Fund	A	Dividend	M	T					
5. --Vanguard Inflation Protected Securities Investment Fund	B	Dividend	M	T					
6. --Putnam Dynamic Asset Allocation Balanced A Fund	E	Dividend	M	T					
7. --Vanguard Target Retirement 2030	B	Dividend	L	T					
8. 401(k) Rollover/IRA									
9. --Vanguard 500 Index Inv. Fund	C	Dividend	M	T					
10. --Vanguard US Growth Inv Fund	D	Dividend	M	T					
11. 403(b) Plan									
12. --UC Dom EQ Index Fund	A	Distribution	K	T					
13. --UC Intl EQ Index Fund		None	J	T					
14. --University of California Bond Fund		None	J	T					
15. --University of California Savings Fund	A	Dividend	J	T					
16. Retirement Plan									
17. --Dodge & Cox Stock Fund A	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Prudential Core Plus Bond Fund Unit Class 6	A	Dividend	J	T					
19. --Fidelity 500 Index INST	A	Dividend			Closed	10/16/18	K		Fund Exchange - See Note B
20. --Fidelity 500 Index	A	Dividend	K	T	Open	10/16/18	K		Fund Exchange - See Note B
21. Retirement Plan #2									
22. --UC Dom EQ Index Fund	A	Distribution	J	T					
23. --UC Intl EQ Index Fund		None	J	T					
24. --University of California Savings Fund	A	Dividend	J	T					
25. 529 Plan #1									
26. --Scholarshare Passive Age-Based Portfolio 15		None			Closed	06/20/18	N		Fund Exchange - See Note C
27. ---Scholarshare Passive Age-Based Portfolio 16		None	N	T	Open	06/20/18	N		Fund Exchange - See Note C
28. 529 Plan #2									
29. --Scholarshare Passive Age-Based Portfolio 13-14		None	M	T					
30. 529 Plan #3									
31. --Scholarshare Passive Age-Based Portfolio 9-10		None			Closed	09/20/18	K		Fund Exchange - See Note D
32. --Scholarshare Passive Age-Based Portfolio 11-12		None	K	T	Open	08/20/18	K		Fund Exchange - See Note D
33. Other Investments									
34. --AT&T	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. --Comcast	A	Dividend	J	T					
36. --Walt Disney Corporation	A	Dividend	J	T					
37. --First Republic Bank	A	Interest	J	T					
38. --RP Northport, LLC		None	J	W					
39.									
40.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Notes to Part VII:

[A] The value of the mutual funds in all retirement and educational savings accounts shown at the end of the reporting period reflects: (i) the reinvestment of interest and other distributions made by those mutual funds, less deducted costs and fees, and (ii) any additional contributions made to those retirement and educational savings accounts over the course of the year (including any gain or loss on those additional contributions).

[B] On October 16, 2018, this retirement plan replaced the shares in Fidelity 500 Index INST with shares of an equivalent value in Fidelity 500 Index.

[C] On June 20, 2018, this 529 Plan replaced the shares in Scholarshare Passive Age-Based Portfolio 15 with shares of an equivalent value in Scholarshare Passive Age-Based Portfolio 16.

[D] On September 20, 2019, this 529 Plan replaced the shares in Scholarshare Passive Age-Based Portfolio 9-10 with shares of an equivalent value in Scholarshare Passive Age-Based Portfolio 11-12.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Martin R. Barash**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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