

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bowbeer, Hildy	2. Court or Organization USDC - District of Minnesota	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge - full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address Warren E. Burger Federal Building and U.S. Courthouse 316 N. Robert St. St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Trustee	Trust #2
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1997	3M Company Pension Plan - former employer, no control other than to specify when pension payments will start; intend to recuse from all 3M matters before Court
2. 1997	3M Company Long Term Incentive Plan (stock options and Restricted Stock Units) - former employer; options and RSUs already granted will vest over time
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	New Harbinger Publishing Company - book royalties	\$1,288.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Gray, Plant, Mooty, Mooty & Bennett, PA - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AIPLA	Jan 25-27, 2018	Palm Springs, CA	Speaker	Travel, lodging, meals
2.	Georgetown University	Nov 15-16, 2018	Washington, DC	Speaker	Travel, lodging, meals
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. US Bank (cash)	A	Interest	N	T					
2. USAA Federal Savings Bank (cash) (X) (Y)									
3. Rental Property #1, Collier County, FL	C	Rent	N	W					
4. Northwestern Mutual Whole Life Insurance Policy #1	D	Dividend	N	T					
5. Northwestern Mutual Whole Life Insurance Policy #2	C	Dividend	K	T					
6. Phoenix Life (whole life policy)	B	Dividend	K	T					
7. Optum Bank HSA (cash)	B	Int./Div.	K	T					
8. Trust #1 (H)									
9. Fidelity Individual Account (Money Market) (SPAXX)	D	Interest	O	T					
10. 3M Company Stock (common) (MMM)	D	Dividend	N	T					
11. TOD #1 (H)									
12. Fidelity Government Money Market (SPAXX)	A	Interest	M	T					
13. 3M Company VIP Excess (deferred compensation plan / no control) (cash)		None	N	T					
14. 3M Company Medical Savings Account (cash)	A	Interest	K	T					
15. 3M Company Stock Options		None	P1	T	Sold (part)	03/29/18	N	G	
16.					Sold (part)	12/10/18	O	G	
17. 3M Company Stock (MMM) (X)	B	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Brokerage Account #1 (H)									
19. Dreyfus General Money Market Fund Cl B (GMBXX)	A	Interest	J	T					
20. Russell Tax-Managed US Mid & Small Cap Fund Class S (RTSSX)		None	K	T					
21. Russell Tax-Exempt High Yield Bond Fund Class S (RTHSX)	C	Dividend	L	T					
22. Russell Tax-Managed International Fund Class S (RTNSX)	C	Dividend	M	T					
23. Russell Tax Managed US Large Cap Fund Class S (RETSX)	C	Dividend	N	T					
24. Russell Global Real Estate Securities Fund Class S (RRESX)	B	Dividend	K	T					
25. Russell Tax Exempt Bond Fund Class S (RLVSX)	C	Dividend	M	T					
26. Trust #2 (H)									
27. RBC Prime Market Money Fund (TPMXX)	A	Interest	J	T					
28. Federated Equity Kaufman Sm Cap IS (FKAIX)	A	Dividend	J	T	Buy	01/31/18	J		
29. Federated Strategic Val Div Fd Instl (SVAIX)	A	Dividend	J	T	Buy	11/19/18	J		
30. Hartford Mutual Fds Inc Midcap Fd I (HFMIX)		None			Buy	03/19/18	J		
31.					Sold	11/19/18	J		
32. IShares Core S&P Mid Cap ETF (IJH)	A	Dividend	J	T	Sold (part)	03/19/18	J	A	
33. IShares Core S&P Small Cap ETF (IJR)	A	Dividend	J	T	Sold (part)	03/19/18	J	A	
34. IShares Core S&P 500 ETF (IVV)	A	Dividend	J	T	Buy (add'l)	08/09/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35.					Sold (part)	10/10/18	J	A	
36. Madison Fds Mid Cap Fd Cl Y (GTSGX)	A	Dividend	J	T	Buy	11/20/18	J		
37. MFS Growth Fund CL I (MFEIX)	A	Dividend	J	T	Sold (part)	03/19/18	J	A	
38. MFS SER TR I Value Fund (MEIIX)	A	Dividend	J	T	Buy (add'l)	03/19/18	J		
39.					Sold (part)	10/10/18	J	A	
40.					Sold (part)	11/19/18	J	A	
41. T Rowe Price Blue Chip Growth Fd Inc (TRBCX)		None			Buy	03/19/18	J		
42.					Sold	10/06/18	J		
43. Artisan Partners FDS Inc (APDKX)	A	Dividend			Sold	12/13/18	J		
44. Harding Loevner Funds Intl Eq Port I (HLMIX)	A	Dividend	J	T	Buy	03/19/18	J		
45. Oakmark Intl Fd Adv Cl (OAYIX)		None	J	T	Buy	12/13/18	J		
46. Brandes Emerging Markets Value Fund (BEMIX)	A	Dividend			Sold	03/19/18	J	A	
47. Brandes INVT TR INTC Small Cap (BISMIX)	A	Dividend			Sold	03/19/18	J		
48. IShares TR Core MSCI Total INTL Stock ETF (IXUS)	A	Dividend			Sold (part)	03/19/18	J	A	
49.					Sold (part)	07/06/18	J	A	
50.					Sold	12/12/18	J		
51. Ivy Emerging Markets Equity Fund (IPOIX)		None			Sold	10/10/18	J		

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52. T Rowe Price Intl Funds Inc Emerging Mkts Stock Fd (PRMSX)		None			Buy	03/19/18	J		
53.					Sold	10/10/18	J		
54. Oppenheimer Dev Mkts Fds Cl Y (ODVYX)	A	Dividend	J	T	Buy	10/10/18	J		
55. Vanguard FTSE Dev Mkts ETF (VEA)	A	Dividend	J	T	Buy	12/12/18	J		
56. AB Bd Fd Income Fd Advisor (ACGYX)	A	Dividend	J	T	Buy	08/09/18	J		
57. AB Global Bond Fund (ANAYX)	A	Dividend	J	T					
58. JPMorgan Tr I Global Bd Opp I (GBOSX)	A	Dividend	J	T	Buy	07/06/18	J		
59. Dodge & Cox Income Fund (DODIX)		None			Sold	03/19/18	J		
60. Ivy Apollo Strategic Income Fund (IIPOX)	A	Dividend	J	T					
61. Lord Abbett Bd Deb Fd Inc F (LBDFX)	A	Dividend	J	T	Buy	03/19/18	J		
62. Lord Abbett Short Dur Inc Fd F (LDLFX)	A	Dividend	J	T	Buy	03/19/18	J		
63. Natixis Fds Loomis Sayles Sr Fltg Rate & Fxd Inc Y (LSIIX)	A	Dividend			Buy	06/05/18	J		
64.					Sold	08/09/18	J		
65. Loomis Sayles Investment Grade Bd Fd Y (LSIIX)	A	Dividend	J	T	Buy	06/05/18	J		
66. Loomis Sayles Strategic Income Fund (NEZYX)	A	Dividend			Sold	06/05/18	J		
67. Putnam Fds Tr Short Dur Inc Fd Y (PSDYX)	A	Dividend	J	T	Buy	03/19/18	J		
68. Templeton Income TR Global Total Return Fund (TTRZX)	A	Dividend			Sold	07/06/18	J		

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69. MFS Ser Tr XIII Global Real Estate Fd I (MGLIX)	A	Dividend	J	T	Buy	03/19/18	J		
70.					Buy (add'l)	07/06/18	J		
71. Diamond Hill FDS Small Cap (DHMIX)		None			Sold	01/31/18	J	A	
72. IRA #1 (H)									
73. Northwestern Mutual General Money Market Fund Class B	A	Interest	K	T					
74. Invesco Balanced-Risk Commodity Strategy Fund Class Y (BRCYX)	A	Dividend	K	T					
75. American Century Diversified Bond Investor Class (ADFIX)	A	Dividend			Sold	07/16/18	L		
76. Dodge & Cox Income Fund (DODIX)	B	Dividend	L	T					
77. Europacific Growth Fund Class F-2 (AEPFX)	D	Dividend	L	T					
78. Fidelity Advisor Mid Cap Fund Class I (FIIMX)	C	Dividend	K	T					
79. Fidelity Advisor New Insights Fund Class I (FINSX)	E	Dividend	M	T	Sold (part)	07/16/18	J	C	
80. Goldman Sachs Intl Sm Cap Insights Fd Cl I (GICIX)	A	Dividend	K	T	Buy	07/16/18	K		
81. The Oakmark International Fund Class I (OAKIX)	C	Dividend	L	T					
82. The Oakmark International Small Cap Fund (OAKEX)		None			Sold	07/16/18	K	A	
83. John Hancock Disciplined Value Mid Cap Fund Class 1 (JVMIX)	C	Dividend	K	T					
84. MFS Value Fund Class 1 (MEIIX)	C	Dividend	M	T					
85. New World Fund - Class F2 (NFFFX)	A	Dividend	K	T					

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86. Northern Small Cap Value Fund (NOSGX)	C	Dividend	K	T					
87. PIMCO Income Fund Class P (PONPX)	B	Dividend	K	T					
88. T Rowe Price Emerging Markets Stock Fund (PRMSX)	A	Dividend	K	T					
89. T Rowe Price Real Estate Fund (TRREX)	C	Dividend	K	T					
90. TIAA-CREF Bond Fund Adv Class (TIBHX)	A	Dividend	L	T	Buy	07/16/18	L		
91. IRA #2 (H)									
92. RBC Cash and Federated Money Market Fund	A	Interest	M	T					
93. Federated Equity FDS Kaufman Sm Cap Fd Cl I (FKAIX)	C	Dividend	K	T	Buy	01/31/18	L		
94.					Sold (part)	03/19/18	J	A	
95.					Sold (part)	06/05/18	J	A	
96.					Sold (part)	07/06/18	J	A	
97.					Buy (add'l)	10/25/18	J		
98.					Sold (part)	11/19/18	J	A	
99. Federated Strategic Value Div Fd I (SVAIX)	D	Dividend	L	T	Buy	11/19/18	M		
100. Hartford Mut Fds Inc Midcap Fd I (HFMIX)		None			Buy	03/19/18	L		
101.					Sold (part)	06/05/18	J	A	
102.					Buy (add'l)	10/10/18	K		

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103.					Buy (add'l)	10/25/18	J		
104.					Sold	11/19/18	L		
105. Ishares Core S&P Mid Cap ETF (IJH)	A	Dividend	K	T	Sold (part)	03/19/18	L	D	
106.					Sold (part)	06/05/18	J	A	
107.					Buy (add'l)	10/10/18	J		
108.					Buy (add'l)	10/25/18	J		
109. IShares Core S&P Small Cap ETF (IJR)	A	Dividend	K	T	Buy (add'l)	01/31/18	J		
110.					Sold (part)	03/19/18	L	D	
111.					Sold (part)	06/05/18	J	A	
112.					Buy (add'l)	10/10/18	J		
113.					Buy (add'l)	10/25/18	J		
114. Ishares Core S&P 500 Index ETF (IVV)	C	Dividend	L	T	Sold (part)	01/31/18	J	B	
115.					Buy (add'l)	03/19/18	J		
116.					Sold (part)	06/05/18	J	A	
117.					Sold (part)	07/06/18	J	A	
118.					Buy (add'l)	08/09/18	L		
119.					Sold (part)	10/10/18	L	E	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	10/25/18	K	D	
121.					Sold (part)	11/19/18	K	D	
122. Madison Fds Mid Cap Fd Cl Y (GTSGX)	C	Dividend	K	T	Buy	11/19/18	L		
123. MFS Growth Mutual Fund (MFEIX)	B	Dividend	K	T	Sold (part)	01/31/18	J	A	
124.					Sold (part)	03/19/18	L	E	
125.					Sold (part)	08/09/18	J	A	
126.					Buy (add'l)	10/10/18	K		
127.					Buy (add'l)	10/25/18	J		
128.					Sold (part)	11/19/18	K	C	
129. MFS SER TR I Value Fund Cl I (MEIIX)	C	Dividend	L	T	Buy (add'l)	03/19/18	L		
130.					Buy (add'l)	06/05/18	J		
131.					Sold (part)	08/09/18	J	A	
132.					Sold (part)	10/10/18	K	D	
133.					Buy (add'l)	10/25/18	J		
134.					Sold (part)	11/19/18	L	C	
135. T Rowe Price Blue Chip Growth Fund (TRBCX)		None			Buy	03/19/18	L		
136.					Sold (part)	06/05/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137.					Sold (part)	08/09/18	J		
138.					Buy (add'l)	10/25/18	J		
139.					Sold	12/06/18	L		
140. Artisan Partners FDS Inc INTL Value FD ADV SH (APDKX)	C	Dividend			Buy (add'l)	03/19/18	K		
141.					Buy (add'l)	06/05/18	J		
142.					Buy	07/06/18	J		
143.					Buy (add'l)	10/10/18	J		
144.					Buy (add'l)	10/25/18	J		
145.					Sold (part)	11/19/18	J	A	
146.					Sold	12/13/18	L		
147. Harding Loevner Fds Intl Eq Port I (HLMIX)	B	Dividend	L	T	Buy	03/19/18	L		
148.					Buy (add'l)	07/06/18	J		
149.					Sold (part)	08/09/18	J	A	
150.					Buy (add'l)	10/10/18	J		
151.					Sold (part)	11/19/18	J		
152. Brandes Emerging Markets Mutual Fund (BEMIX)	A	Dividend			Sold (part)	01/31/18	J	A	
153.					Sold	03/19/18	K	D	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Brandes INVT TR INTL Small Cap Equity FD CL I (BSMIX)	B	Dividend			Buy (add'l)	01/31/18	J		
155.					Sold	03/19/18	L		
156. IShares Core MSCI Total Int'l Stock ETF (IXUS)	C	Dividend			Sold (part)	01/31/18	J	C	
157.					Sold (part)	03/19/18	M	E	
158.					Sold (part)	07/06/18	K	C	
159.					Buy (add'l)	10/10/18	J		
160.					Sold (part)	10/25/18	K	A	
161.					Sold	12/12/18	M		
162. Ivy Emerging Markets Equity Fund (IPOIX)		None			Buy (add'l)	06/05/18	J		
163.					Buy (add'l)	07/06/18	J		
164.					Sold	10/10/18	K		
165. T Rowe Price Intl Fds Inc Emerging Mkts Stock Fd (PRMSX)		None			Buy	03/19/18	K		
166.					Buy (add'l)	06/05/18	J		
167.					Buy (add'l)	07/06/18	J		
168.					Sold	10/10/18	K		
169. Oakmark Intl Fd Adv Cl (OAYIX)		None	L	T	Buy	12/13/18	L		
170. Oppenheimer Dev Mkts Fds Cl Y (ODVYX)	A	Dividend	L	T	Buy	10/10/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171.					Sold (part)	11/19/18	J	A	
172. Vanguard FTSE Dev Mkts ETF (VEA)	B	Dividend	M	T	Buy	12/12/18	M		
173. AB Bond Fund Inc Income Fd Adv (ACGYX)	A	Dividend	L	T	Buy	08/09/18	L		
174.					Sold (part)	10/25/18	J		
175.					Buy (add'l)	11/19/18	J		
176. AB Global Bond Fund (ANAYX)	C	Dividend	L	T	Buy (add'l)	01/31/18	J		
177.					Sold (part)	03/19/18	K		
178.					Sold (part)	06/05/18	K		
179.					Buy (add'l)	08/09/18	J		
180.					Sold (part)	10/25/18	J		
181.					Buy (add'l)	11/19/18	J		
182. JPMorgan Tr I Gbl Bd Opp Fd I (GBOSX)	C	Dividend	L	T	Buy	07/06/18	L		
183.					Sold (part)	08/09/18	K	A	
184.					Sold (part)	10/25/18	J		
185.					Buy (add'l)	11/19/18	J		
186. Dodge and Cox Income Fund (DODIX)		None			Buy (add'l)	01/31/18	J		
187.					Sold	03/19/18	M		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Ivy Apollo Strategic Income Fund CL I (IIPOX)	C	Dividend	L	T	Buy (add'l)	01/31/18	J		
189.					Sold (part)	06/05/18	K		
190.					Sold (part)	10/10/18	J		
191.					Sold (part)	10/25/18	J		
192.					Buy (add'l)	11/19/18	J		
193. Lord Abbett Bd Deb Fd Inc F (LBDFX)	C	Dividend	L	T	Buy	03/19/18	L		
194.					Sold (part)	06/05/18	K		
195.					Buy (add'l)	08/09/18	J		
196.					Sold (part)	10/25/18	J		
197.					Buy (add'l)	11/19/18	J		
198. Lord Abbett Short Dur Inc Fd F (LDLFX)	B	Dividend	L	T	Buy	03/19/18	L		
199.					Sold (part)	06/05/18	K		
200.					Buy (add'l)	08/09/18	J		
201.					Sold (part)	10/10/18	J		
202.					Sold (part)	10/25/18	J		
203.					Buy (add'l)	11/19/18	J		
204. Natixis Fds Loomis Sayles Sr Fltg Rate Y (LSFYX)	B	Dividend			Buy	06/05/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold	08/09/18	M		
206. Loomis Sayles Strategic Income Fund Class Y (NEZYX)	B	Dividend			Buy (add'l)	01/31/18	J		
207.					Sold (part)	03/19/18	K	B	
208.					Sold	06/05/18	L	C	
209. Loomis Sayles Investment Grade Bd Fd Y (LSIIX)	B	Dividend	L	T	Buy	06/05/18	L		
210.					Sold (part)	10/10/18	J		
211.					Sold (part)	10/25/18	J		
212.					Buy (add'l)	11/19/18	J		
213. Putnam Fds Tr Short Dur Inc Fd Y (PSDYX)	B	Dividend	L	T	Buy	03/19/18	L		
214.					Sold (part)	06/05/18	K		
215.					Buy (add'l)	08/09/18	J		
216.					Sold (part)	10/10/18	J		
217.					Sold (part)	10/25/18	J		
218.					Buy (add'l)	11/19/18	J		
219. Templeton Income Global Total Return Mutual Fund (TGTRX)	B	Dividend			Buy (add'l)	01/31/18	J		
220.					Sold (part)	03/19/18	K		
221.					Buy (add'l)	06/05/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222.					Sold	07/06/18	L		
223. MFS SER Tr XIII Global Real Estate Fd I (MGLIX)	C	Dividend	L	T	Buy	03/19/18	L		
224.					Sold (part)	06/05/18	J	A	
225.					Buy (add'l)	07/06/18	K		
226.					Buy (add'l)	08/09/18	J		
227.					Buy (add'l)	10/10/18	J		
228.					Sold (part)	10/25/18	J	A	
229. Diamond Hill FDS Small Cap FD CL I (DHMIX)		None			Sold	01/31/18	L	C	
230. Brokerage Account #2 (H)									
231. RBC Money Market Bank Deposit Program	A	Interest	M	T					
232. Franklin Temp ETF Tr LibQ US Sm Cap Eq (FLQS)		None	K	T	Buy	12/20/18	K		
233. Federated Equity Fds Kaufman Sm Cap Fd IS (FKAIX)	B	Dividend			Buy	01/31/18	K		
234.					Sold (part)	03/19/18	J	A	
235.					Sold (part)	06/05/18	J	A	
236.					Sold (part)	11/19/18	J	A	
237.					Sold	12/20/18	K		
238. Federated Strategic Val Div Fd Inst (SVAIX)	C	Dividend			Buy	11/19/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239.					Sold	12/20/18	L		
240. Hartford Mut Fds Inc Midcap Fd I (HFMIX)		None			Buy	03/19/18	K		
241.					Sold (part)	06/05/18	J	A	
242.					Sold	11/19/18	K		
243. Ishares Core S&P Mid Cap ETF (IJH)	A	Dividend			Sold (part)	03/19/18	K	D	
244.					Sold (part)	06/05/18	J	A	
245.					Buy (add'l)	12/10/18	K		
246.					Sold	12/24/18	L		
247. IShares Core S&P Small Cap ETF (IJR)	A	Dividend			Buy (add'l)	01/31/18	J		
248.					Sold (part)	03/19/18	K	C	
249.					Sold (part)	06/05/18	J	A	
250.					Buy (add'l)	10/10/18	J		
251.					Sold	12/20/18	K		
252. Ishares Core S&P 500 Index ETF (IVV)	B	Dividend			Sold (part)	01/31/18	J		
253.					Buy (add'l)	03/19/18	J		
254.					Sold (part)	06/05/18	J	A	
255.					Buy (add'l)	08/09/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Sold (part)	10/10/18	K	D	
257.					Sold (part)	10/25/18	J	C	
258.					Sold (part)	11/19/18	J	C	
259.					Sold	12/24/18	L	B	
260. Ishares S&P 500 Value ETF (IVE)	A	Dividend			Buy	12/12/18	L		
261.					Sold	12/24/18	K		
262. Ishares Core Hi Div ETF (HDV)		None	K	T	Buy	12/24/18	K		
263. Ishares Tr Ishares Core Div Growth ETF (DGRO)		None	L	T	Buy	12/24/18	L		
264. Madison Fds Mid Cap Fd Cl Y (GTSGX)		None			Buy	11/19/18	K		
265.					Sold	12/10/18	K		
266. MFS Growth Mutual Fund (MFEIX)	B	Dividend	K	T	Sold (part)	03/19/18	K	D	
267.					Buy (add'l)	04/12/18	J		
268.					Sold (part)	06/05/18	J	A	
269. MFS SER TR I Value Fund Cl I (MEIIX)	B	Dividend			Buy (add'l)	03/19/18	K		
270.					Buy (add'l)	04/12/18	J		
271.					Sold (part)	08/09/18	J	A	
272.					Sold (part)	10/10/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273.					Buy (add'l)	10/16/18	J		
274.					Sold (part)	10/25/18	J	A	
275.					Sold (part)	11/19/18	K	C	
276.					Sold	12/12/18	L		
277. Vanguard Index Fds Vang Hi Div Yld ETF (VYM)	A	Dividend	L	T	Buy	12/20/18	L		
278. Ishares Tr Ishares Core MSCI Intl Dev Mkts ETF (IDEV)		None	L	T	Buy	12/24/18	L		
279. T Rowe Price Blue Chip Growth Fd Inc (TRBCX)		None			Buy	03/19/18	K		
280.					Buy (add'l)	04/12/18	J		
281.					Sold (part)	06/05/18	J	A	
282.					Sold	12/06/18	K		
283. Oakmark Internatl Fd Adv Cl (OAYIX)		None	L	T	Buy	12/13/18	L		
284. Oppenheimer Dev Mkts Fds Cl Y (ODVYX)	A	Dividend	K	T	Buy	10/10/18	K		
285.					Sold (part)	10/16/18	J	A	
286.					Sold (part)	11/19/18	J	A	
287. Vanguard FTSE Dev Mkts ETF (VEA)	A	Dividend			Buy	10/16/18	M		
288.					Sold (part)	10/25/18	J		
289.					Sold	11/19/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Buy	12/12/18	L		
291.					Sold	12/24/18	L		
292. Artisan Partners FDS Inc INTL Value FD ADV SH (APDKX)	C	Dividend			Buy (add'l)	03/19/18	K		
293.					Buy (add'l)	07/06/18	J		
294.					Buy (add'l)	10/11/18	J		
295.					Sold	10/16/18	L	B	
296.					Buy	11/19/18	L		
297.					Sold	12/13/18	L		
298. Harding Loevner Fds Inc Intl Eq Port I (HLMIX)		None			Buy	03/19/18	L		
299.					Buy (add'l)	07/06/18	J		
300.					Buy (add'l)	10/10/18	J		
301.					Sold	10/16/18	L		
302.					Buy	11/19/18	L		
303.					Sold	12/12/18	L		
304. Brandes Emerging Markets Mutual Fund (BEMIX)	A	Dividend			Sold	03/19/18	K	D	
305. Brandes INVT TR INTL Small Cap Equity FD CL I (BSMIX)	B	Dividend			Buy (add'l)	01/31/18	J		
306.					Sold	03/19/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Ishares Core MSCI Total Int'l Stock ETF (IXUS)	B	Dividend			Sold (part)	01/31/18	J		
308.					Sold (part)	03/19/18	L	D	
309.					Sold (part)	04/12/18	J	A	
310.					Buy (add'l)	06/05/18	J		
311.					Sold (part)	07/06/18	K	B	
312.					Buy (add'l)	10/10/18	J		
313.					Sold	10/16/18	L		
314. Ivy Emerging Markets Equity Fund (IPOIX)		None			Buy (add'l)	06/05/18	J		
315.					Buy (add'l)	07/06/18	J		
316.					Sold	10/10/18	K		
317. T Rowe Price Intl Fds Inc Emerging Mkts Stock Fd (PRMSX)		None			Buy	03/19/18	K		
318.					Buy (add'l)	07/06/18	J		
319.					Sold	10/10/18	K		
320. AB Global Bond Fund (ANAYX)	B	Dividend	L	T	Buy (add'l)	01/31/18	J		
321.					Sold (part)	06/05/18	J		
322.					Sold (part)	10/16/18	J		
323.					Sold (part)	10/25/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. JPMorgan Tr Gbl Bd Opps Fd Cl 1 (GBOSX)	B	Dividend	L	T	Buy	07/06/18	L		
325.					Buy (add'l)	11/19/18	J		
326. Natixis FDS Loomis Sayles Sr Fltg Rate (LSFYX)	A	Dividend			Buy	06/05/18	L		
327.					Sold	08/09/18	L		
328. Templeton Global Bd Fd Advisor (TGBAX)	A	Dividend			Buy	03/19/18	L		
329.					Sold	04/12/18	J	A	
330.					Sold (part)	06/05/18	J		
331.					Sold	07/06/18	L		
332. AB High Income Municipal Portfolio CL Advisor (ABTYX)	B	Dividend	L	T	Buy	04/12/18	L		
333.					Sold (part)	06/05/18	J	A	
334.					Sold (part)	10/25/18	J		
335.					Buy (add'l)	11/19/18	J		
336. Dodge & Cox Income Mutual Fund (DODIX)		None			Buy (add'l)	01/31/18	J		
337.					Sold	03/19/18	M		
338. Ivy Apollo Strategic Income Fund CL I (IIPOX)	A	Dividend			Buy (add'l)	01/31/18	J		
339.					Sold	03/19/18	L		
340. Loomis Sayles Strategic Income Fund Class Y (NEZYX)	A	Dividend			Buy (add'l)	01/31/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
341.					Sold	03/19/18	L	C	
342. Templeton Income Global Total Return Mutual Fund (TTRZX)	A	Dividend			Buy (add'l)	01/31/18	J		
343.					Sold	03/19/18	L	D	
344. IShares Tr iBonds Dec 2023 Term Muni Bd ETF (IBML)	A	Dividend	L	T	Buy	03/19/18	L		
345.					Sold (part)	10/16/18	J		
346.					Sold (part)	10/25/18	J		
347. IShares Tr National Muni Bond ETF (MUB)	B	Dividend	L	T	Buy	03/19/18	L		
348.					Sold (part)	06/05/18	J	A	
349.					Sold (part)	10/16/18	J		
350.					Sold (part)	10/25/18	J		
351. Vanguard Muni Bond Fund HY Tax Exempt Admiral (VWALX)	B	Dividend	L	T	Buy	03/19/18	L		
352.					Sold (part)	06/05/18	J	A	
353.					Buy (add'l)	08/09/18	K		
354.					Sold (part)	10/17/18	J		
355.					Sold (part)	10/25/18	J		
356. MFS Ser Tr XIII Global Real Estate Fd I (MGLIX)	B	Dividend	K	T	Buy	03/19/18	K		
357.					Buy (add'l)	07/06/18	K		

1 Income Gain Codes:
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A =\$1,000 or less
F =\$50,001 - \$100,000
J =\$15,000 or less
N =\$250,001 - \$500,000
P3 =\$25,000,001 - \$50,000,000
Q =Appraisal
U =Book Value

B =\$1,001 - \$2,500
G =\$100,001 - \$1,000,000
K =\$15,001 - \$50,000
O =\$500,001 - \$1,000,000
R =Cost (Real Estate Only)
V =Other

C =\$2,501 - \$5,000
H1 =\$1,000,001 - \$5,000,000
L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000
S =Assessment
W =Estimated

D =\$5,001 - \$15,000
H2 =More than \$5,000,000
M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000
T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold (part)	10/25/18	J	A	
359. Diamond Hill FDS Small Cap FD CL I (DHMIX)		None			Sold	01/31/18	K	B	
360. IRA #3 (H)									
361. Northwestern Mutual General Money Market Fund CL B	A	Interest	J	T					
362. American Century Diversified Bond Investor Class (ADFIX)	A	Dividend			Sold	07/16/18	K		
363. Deutsche Enhanced Commodity Strategy Fund (SKIRX)	B	Dividend	J	T					
364. Dodge & Cox Income Fund (DODIX)	A	Dividend	K	T					
365. Europacific Growth Fund Class F-2 (AEPFX)	B	Dividend	K	T					
366. Fidelity Advisor Mid Cap II Fund Class I (FIIMX)	A	Dividend	J	T					
367. Fidelity Advisors New Insights Fund Class I (FINSX)	D	Dividend	K	T	Sold (part)	07/16/18	J	B	
368. Goldman Sachs Intl Sm Cap Insights Fd Cl I (GICIX)	A	Dividend	J	T	Buy	07/16/18	J		
369. The Oakmark International Fund Investor Class (OAKIX)	B	Dividend	K	T					
370. The Oakmark International Small-Cap Fund Investor Class (OAKEX)		None			Sold	07/16/18	J	B	
371. Hartford World Bond Fund Class I (HWDIX)	A	Dividend	J	T					
372. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	B	Dividend	J	T					
373. MFS Value Fund Class I (MEIIX)	A	Dividend	K	T					
374. New World Fund Class F-2 (NFFFX)	A	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Bowbeer, Hildy

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. Northern Small Cap Value Fund (NOSGX)	A	Dividend	J	T					
376. Pimco Income Fund Class P (PONPX)	B	Dividend	K	T					
377. T Rowe Price Real Estate (TRREX)	A	Dividend	J	T					
378. TIAA-CREF Bond Fund Advisor Class (TIBHX)	A	Dividend	K	T	Buy	07/16/18	K		
379. Trust #3 (H)									
380. Northwestern Mutual General Money Market Fund CL B (Y)									
381. Macquarie Infrastructure Corp SHS (MIC)	C	Dividend	K	T					
382. Trust #4 (H)									
383. Northwestern Mutual General Money Market Fund CL B	A	Interest	J	T					
384. Deutsche Enhanced Commodity Strategy Fund (SKIRX)	C	Dividend	K	T					
385. Dodge & Cox International Fund (DODFX)		None	L	T	Buy (add'l)	06/15/18	J		
386. Europacific Growth Fund Class F-2 (AEPFX)	C	Dividend	L	T					
387. Fidelity Advisor Mid Cap II Fund Class I (FIIMX)	B	Dividend	K	T					
388. Fidelity Advisor New Insights Fund Class I (FINSX)	D	Dividend	M	T					
389. Fidelity Advisor Intermediate Muni Income Fund Class I (FZIIX)	C	Dividend	M	T	Buy (add'l)	06/15/18	J		
390. Franklin Federal Intermediate-Term Tax-Free Income Fund (FITZX)	C	Dividend	M	T	Buy (add'l)	06/15/18	J		
391. John Hancock Disciplined Value Mid Cap Fund Class I (JVMIX)	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

Bowbeer, Hildy

Date of Report

05/07/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. MFS Value Fund Class I (MEIIX)	C	Dividend	M	T	Buy (add'l)	06/15/18	J		
393. New World Fund Class F-2 (NFFFX)	A	Dividend	K	T					
394. Northern Small Cap Value Fund (NOSGX)	B	Dividend	K	T					
395. T Rowe Price Emerging Markets Stock (PRMSX)	A	Dividend	K	T					
396. T Rowe Price Real Estate (TRREX)	B	Dividend	K	T	Buy (add'l)	06/15/18	J		
397. Gray Plant Mooty Retirement Savings Trust (H)									
398. Dodge & Cox Stock (DODGX)		None	M	T					
399. T Rowe Price Growth Stock (PRGFX)		None	N	T					
400. Vanguard Institutional Index I (VINIX)		None	M	T					
401. American Century Small Cap Value I (ACVIX)		None	N	T					
402. Fidelity Small Cap Growth (FCPGX)		None	N	T					
403. Vanguard Mid Cap Index Institutional (VMCIX)		None	N	T					
404. Morgan Stanley Inst International E (MSIQX)		None	M	T					
405. T Rowe Price International Discove (PRIDX)		None	M	T					
406. American Funds American Balanced R5 (RLBFX)		None	M	T					
407. T Rowe Price Short Term Bond (PRWBX)		None	M	T					
408. Vanguard Total Bond Market Index I (VBMFX)		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409. Retirement Account #2 (H)									
410. Schwab Bank Cash Sweep	A	Interest	L	T					
411. US Bancorp (USB)		None			Sold	04/26/18	K		
412. Entergy Corp (ETR)		None			Sold	04/26/18	J		
413. Piper Jaffray (PJC)		None			Sold	04/26/18	J		
414. Misc Holdings (H)									
415. Gray Plant Mooty Mooty and Bennett PA	B	Dividend	L	T					
416. Rallyvest, LLC	B	Interest	L	T					
417. Rallyvest III, LLC (X)		None	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 87, 363, 380, 383, and 416: Name or ticker changes only; no change of holdings or reportable transactions.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

Bowbeer, Hildy

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Hildy Bowbeer**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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