

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Hanen, Andrew S.	2. Court or Organization United States District Court - Texas	3. Date of Report 09/15/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address United States Courthouse 515 Rusk Street, Rm. 9110 Houston, Texas 77002		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Self-Employed Attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Stanford/Federalist Society	4/11/2018-4/12/2018	Palo Alto, CA	Speech	Travel, Meals, & Hotel
2.	State Bar of Texas CLE	5/18/2018	San Antonio, TX	Speech	Travel
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Hanen, Andrew S.	09/15/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Account #1									
2. - Bank of America RASP	A	Interest	J	T					
3. - Apple	A	Dividend	K	T					
4. - I Shares Biotech	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
5. - I Shares Biotech					Sold (part)	04/02/18	J	A	
6. - I Shares Biotech					Buy (add'l)	08/31/18	J		
7. - Vanguard Consumer STPL	A	Dividend	J	T	Buy (add'l)	03/08/18	J		
8. - Vanguard Consumer STPL					Sold (part)	04/02/18	J	A	
9. - Vanguard Consumer STPL					Sold (part)	06/25/18	J	A	
10. - Vanguard Consumer STPL					Sold (part)	10/22/18	J	A	
11. - Vanguard Materials	A	Dividend	J	T	Buy (add'l)	03/08/18	J		
12. - Vanguard Materials					Buy (add'l)	06/25/18	J		
13. - Vanguard Materials					Sold (part)	08/31/18	J	A	
14. - Vanguard Consumer (Dis.)	A	Dividend	K	T	Sold (part)	06/25/18	J	A	
15. - Vanguard Consumer (Dis.)					Sold (part)	08/31/18	J	A	
16. - Vanguard Consumer (Dis.)					Sold (part)	10/22/18	J	A	
17. - Vanguard Ind	A	Dividend	J	T	Sold (part)	03/08/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 5 of 18

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Hanen, Andrew S.

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09/15/2020

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18. - Vanguard Ind					Buy (add'l)	06/25/18	J		
19. - Vanguard Ind					Buy (add'l)	08/31/18	J		
20. - Health Care Select SPDR Fund	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
21. - Health Care Select SPDR Fund					Sold (part)	04/02/18	J	A	
22. - Health Care Select SPDR Fund					Buy (add'l)	06/25/18	J		
23. - Health Care Select SPDR Fund					Buy (add'l)	08/31/18	J		
24. - Health Care Select SPDR Fund					Sold (part)	10/22/18	J	A	
25. - Spdr Financial	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
26. - Spdr Financial					Buy (add'l)	06/25/18	J		
27. - Spdr Financial					Buy (add'l)	08/31/18	J		
28. - Vanguard Info	A	Dividend	K	T	Sold (part)	03/08/18	J	A	
29. - Vanguard Info					Sold (part)	06/25/18	J	A	
30. - Vanguard Info					Sold (part)	10/22/18	J	A	
31. - First TR Exchange Trade (See Note 1)		None	J	T	Buy (add'l)	03/08/18	J		
32. - First TR Exchange Trade					Sold (part)	06/25/18	J	A	
33. - First TR Exchange Trade					Buy (add'l)	08/31/18	J		
34. - First TR Exchange Trade					Sold (part)	10/22/18	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 18

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Hanen, Andrew S.

Date of Report

09/15/2020

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35. - First Trust Cloud	A	Dividend	J	T	Buy (add'l)	03/08/18	J		
36. - First Trust Cloud					Buy (add'l)	04/02/18	J		
37. - First Trust Cloud					Buy (add'l)	08/31/18	J		
38. - First Trust Cloud					Sold (part)	10/22/18	J	A	
39. - Sector Spdr Energy	A	Dividend	J	T	Sold (part)	03/08/18	J	A	
40. - Sector Spdr Energy					Sold (part)	06/25/18	J	A	
41. - Real Estate Select	A	Dividend	J	T	Sold (part)	06/25/18	J	A	
42. - Vanguard Telecomm	A	Dividend			Buy	03/08/18	J		
43. - Vanguard Telecomm					Sold	08/31/18	J	A	
44. - Comm. Services Select	A	Dividend	J	T	Buy	10/22/18	K		
45. Retirement Account #1									
46. - Fidelity Short Term Bond Fund	A	Dividend			Sold	07/05/18	M	E	
47. - BMO Strategic Income Fund (See Note 2)	D	Dividend	M	T					
48. - Microsoft Bonds	A	Interest	K	T					
49. - Goldman Sachs Bonds	B	Interest	K	T					
50. - George Washington Uni. Bond	A	Interest			Redeemed	04/27/18	K	A	
51. - Fidelity Cash Reserves	B	Interest	J	T					

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 18

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Hanen, Andrew S.

Date of Report

09/15/2020

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52. - Fidelity MMKT Premium Class (See Note 7)	A	Dividend	J	T	Buy	07/03/18	M		
53.					Sold (part)	07/13/18	J	A	
54.					Sold (part)	10/12/18	K	A	
55.					Sold (part)	10/17/18	K	A	
56.					Sold (part)	10/24/18	L	A	
57. - Fidelity Contra Fund	C	Dividend	K	T	Buy (add'l)	07/05/18	K		
58. - Fidelity Equity	B	Dividend	J	T					
59. - Fidelity Dividend	B	Dividend	J	T					
60. - Fidelity Blue Chip	A	Dividend	J	T					
61. - Simmons Bk CD	A	Interest	K	T	Buy	10/24/18	K		
62. - Modern Bk CD	A	Interest	K	T	Buy	10/12/18	K		
63. - Valley Nat. Bk CD	A	Interest	K	T	Buy	10/17/18	K		
64. - Wells Fargo Bk CD	B	Interest	M	T	Buy	07/13/18	M		
65. - Fidelity 500 Index Fund (See Note 3)	A	Dividend	K	T	Buy	07/03/18	M		
66. - Fidelity 500 Index Fund					Buy (add'l)	07/05/18	K		
67. - Fidelity 500 Index Fund					Sold (part)	07/13/18	J	A	
68. - Fidelity 500 Index Fund					Sold (part)	10/12/18	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

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69. - Fidelity 500 Index Fund					Sold (part)	10/17/18	K	A	
70. - Fidelity 500 Index Fund					Sold (part)	10/24/18	K	A	
71. Brokerage Account #1									
72. - Burleson TX ISD Municipal Bonds	C	Interest			Redeemed	08/01/18	M	A	
73. - Morgan Stanley Private Bank NA Fund	A	Interest	K	T					
74. - JP Morgan Chase FXD	C	Dividend	L	T	Buy	10/04/18	M		
75. - CitiGroup Inc. FXD 5.8	C	Dividend	L	T	Buy	10/04/18	M		
76. - CitiGroup Inc. FXD 5.95		None	L	T	Buy	10/04/18	M		
77. - CitiGroup Inc. FXD 6.125	D	Dividend	M	T	Buy	11/05/18	M		
78. Brokerage Account #2									
79. - N. Tex. Twy. Auth. Municipal Bonds	C	Interest	L	T					
80. - Waco TX CTFS (See Note 4)	B	Interest	K	T					
81. - Bank of America Money Market Fund (See Note 4)	A	Interest	L	T					
82. - Eaton Vance Tax	B	Dividend	L	T	Buy	10/04/18	M		
83. - S+P 500		None	K	T	Buy	10/25/18	K		
84. Compass Bank	A	Interest	O	T					
85. Northwestern Mutual EOL Whole Life Insurance #117 (See Note 8)	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

Hanen, Andrew S.

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86. Northwestern Mutual EOL Whole Life Insurance #714	A	Dividend	J	T					
87. Northwestern Life Mutual Whole Life Insurance #642	A	Dividend	J	T					
88. Northwestern Mutual Whole Life Insurance #817	C	Dividend	L	T					
89. Northwestern Mutual Whole Life Insurance #811	C	Dividend	L	T					
90. Northwestern Mutual Whole Life Insurance #840	C	Dividend	K	T					
91. IRA Account #4									
92. - Treasury Strips	A	Interest			Redeemed	05/15/18	J	A	
93. - Capital Income BLDR	D	Dividend	M	T					
94. - American FDS Portfolio Growth and Index Fund	E	Dividend	N	T					
95. - Apple	A	Dividend	J	T					
96. - Spdr Indus.	A	Dividend	J	T					
97. - Spdr. Consumer STPL	A	Dividend	J	T					
98. - Spdr Energy	A	Dividend	J	T					
99. - Consumer Discretion - Select Sector SPDR FD	A	Dividend	J	T					
100. - Spdr Gold		None	J	T					
101. - Vanguard Info	A	Dividend	J	T					
102. - WF Sweep	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 18

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103. - American High Income Trust	A	Dividend	K	T	Buy	03/19/18	J		
104. - American High Income Trust					Buy (add'l)	04/04/18	J		
105. IRA Account #5 (See Note 5)									
106. - I Shares S+P 500 (X)	A	Dividend	K	T	Sold (part)	06/28/18	J	A	
107. - I Shares S+P 500 (X)					Sold (part)	10/24/18	J	A	
108. - I Shares S+P 500 (X)					Sold (part)	12/07/18	J	A	
109. - I Shares S+P 500 (X)					Buy (add'l)	12/26/18	J		
110. - I Shares MSCI (X)	A	Dividend	K	T	Buy (add'l)	06/28/18	J		
111. - I Shares MSCI (X)					Buy (add'l)	10/24/18	J		
112. - I Shares MSCI (X)					Sold (part)	12/07/18	J	A	
113. - I Shares MSCI (X)					Buy (add'l)	12/26/18	J		
114. - Pacer FDS (X)	A	Dividend	K	T	Sold (part)	06/28/18	J	A	
115. - Pacer FDS (X)					Buy (add'l)	07/27/18	J		
116. - Pacer FDS (X)					Sold (part)	10/24/18	J	A	
117. - Pacer FDS (X)					Sold (part)	12/07/18	J	A	
118. - Pacer FDS (X)					Buy (add'l)	12/26/18	J		
119. - SPDR Gold Trust (X)		None	J	T	Buy (add'l)	06/28/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. - SPDR Gold Trust (X)					Sold (part)	12/07/18	J	A	
121. - SPDR Gold Trust (X)					Sold (part)	12/26/18	J	A	
122. - Vanguard Int'l Equity (X)	A	Dividend	J	T	Buy (add'l)	06/28/18	J		
123. - Vanguard Int'l Equity (X)					Buy (add'l)	10/24/18	J		
124. - Vanguard Int'l Equity (X)					Sold (part)	12/07/18	J	A	
125. - Vanguard Int'l Equity (X)					Buy (add'l)	12/26/18	J		
126. - Vanguard Mid-Cap (X)	A	Dividend	K	T	Sold (part)	06/28/18	J	A	
127. - Vanguard Mid-Cap (X)					Buy (add'l)	10/24/18	J		
128. - Vanguard Mid-Cap (X)					Sold (part)	12/07/18	J	A	
129. - Vanguard Mid-Cap (X)					Buy (add'l)	12/26/18	K		
130. - Vanguard Small-Cap (X)	A	Dividend	K	T	Sold (part)	06/28/18	J	A	
131. - Vanguard Small-Cap (X)					Buy (add'l)	10/24/18	J		
132. - Vanguard Small-Cap (X)					Sold (part)	12/07/18	J	A	
133. - Vanguard Small-Cap (X)					Buy (add'l)	12/26/18	J		
134. - Wisdomtree Japan ETF (X)	A	Dividend	K	T	Buy (add'l)	06/28/18	J		
135. - Wisdomtree Japan ETF (X)					Buy (add'l)	07/27/18	J		
136. - Wisdomtree Japan ETF (X)					Sold (part)	12/07/18	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. - Wisdomtree Japan ETF (X)					Buy (add'l)	12/26/18	J		
138. - Ariel Appreciation Fund (X)	B	Dividend			Buy (add'l)	07/27/18	J		
139. - Ariel Appreciation Fund (X)					Buy (add'l)	10/24/18	J		
140. - Ariel Appreciation Fund (X)					Sold (part)	12/07/18	J	A	
141. - Ariel Appreciation Fund (X)					Sold	12/26/18	K	A	
142. - Janus Invt FD (X)	B	Dividend	K	T	Sold (part)	06/28/18	J	A	
143. - Janus Invt FD (X)					Sold (part)	10/24/18	J	A	
144. - Janus Invt FD (X)					Sold (part)	12/07/18	J	A	
145. - Janus Invt FD (X)					Sold (part)	12/26/18	J	A	
146. - Loomis Sayles Global (X)	A	Dividend	K	T	Sold (part)	06/28/18	J	A	
147. - Loomis Sayles Global (X)					Buy (add'l)	10/24/18	J		
148. - Loomis Sayles Global (X)					Sold (part)	12/07/18	J	A	
149. - Loomis Sayles Global (X)					Buy (add'l)	12/26/18	J		
150. - Lord Abbett Short Dur. Income Fund (X)	B	Dividend	K	T	Sold (part)	06/28/18	J	A	
151. - Lord Abbett Short Dur. Income Fund (X)					Sold (part)	10/24/18	J	A	
152. - Lord Abbett Short Dur. Income Fund (X)					Sold (part)	12/07/18	J	A	
153. - Lord Abbett Short Dur. Income Fund (X)					Sold (part)	12/27/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Oakmark Funds (X)	B	Dividend	K	T	Sold (part)	06/28/18	J	A	
155. - Oakmark Funds (X)					Buy (add'l)	10/24/18	J		
156. - Oakmark Funds (X)					Sold (part)	12/07/18	J	A	
157. - Oakmark Funds (X)					Buy (add'l)	12/26/18	J		
158. - Pioneer Serv. Flexible Oppty. (X)	A	Dividend			Buy (add'l)	06/28/18	J		
159. - Pioneer Serv. Flexible Oppty. (X)					Buy (add'l)	07/27/18	J		
160. - Pioneer Serv. Flexible Oppty. (X)					Buy (add'l)	10/24/18	J		
161. - Pioneer Serv. Flexible Oppty. (X)					Sold (part)	12/07/18	J	A	
162. - Pioneer Serv. Flexible Oppty. (X)					Sold	12/26/18	K	A	
163. - Putnam Income (X)	B	Dividend	K	T	Sold (part)	06/28/18	J	A	
164. - Putnam Income (X)					Sold (part)	12/07/18	J	A	
165. - Putnam Income (X)					Sold (part)	12/26/18	J	A	
166. - Steelpath MLP (X)	A	Dividend			Sold	06/28/18	J	A	
167. - Templeton Income (X)	C	Dividend	K	T	Buy (add'l)	06/28/18	J		
168. - Templeton Income (X)					Sold (part)	10/24/18	J	A	
169. - Templeton Income (X)					Sold (part)	12/07/18	J	A	
170. - Templeton Income (X)					Sold (part)	12/26/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. - Vaneck Vectors	A	Dividend	J	T	Buy	06/28/18	J		
172. - Vaneck Vectors					Buy (add'l)	08/14/18	J		
173. - Vaneck Vectors					Sold (part)	10/24/18	J	A	
174. - Vaneck Vectors					Sold (part)	12/07/18	J	A	
175. - Vaneck Vectors					Sold (part)	12/26/18	J	A	
176. - First Eagle		None	K	T	Buy	12/26/18	K		
177. - Wells Fargo Sweep Account (X)	A	Interest	J	T					
178. IRA Account #6 (See Note 5)									
179. - Boardwalk Pipeline (X)		None			Sold	07/18/18	K	A	
180. - Cross Timbers Roy. Trust (X)	A	Distribution	J	T	Buy (add'l)	11/09/18	J		
181. - Energy Transfer Equity (X)	A	Dividend	K	T					
182. - Enterprise Prods. LP (X)	A	Dividend	K	T	Buy (add'l)	11/28/18	J		
183. - Kinder Morgan Inc. (X)	A	Dividend	K	T	Buy (add'l)	07/23/18	J		
184. - One OK Inc. (X)	A	Dividend	J	T	Sold (part)	05/14/18	J	A	
185. - Permian Basin Roy. Trust (X)	A	Distribution	J	T	Buy (add'l)	11/15/18	J		
186. - Sabine Roy. Trust (X)	A	Distribution	J	T	Buy (add'l)	11/15/18	J		
187. - San Juan Basin Roy. Trust (X)	A	Distribution	J	T	Buy (add'l)	11/09/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Williams Partners LP (See Note 6) (X)	A	Dividend	K	T	Sold (part)	05/14/18	J	A	
189. - Williams Partners LP (X)					Sold (part)	08/13/18	J	A	
190. - Williams Partners LP (X)					Buy (add'l)	10/01/18	J		
191. - Williams Partners LP (X)					Buy (add'l)	11/28/18	J		
192. - First Eagle Overseas Fund (X)	B	Dividend	K	T	Buy (add'l)	10/17/18	J		
193. - Harbor High Yield (X)	B	Dividend	K	T					
194. - Templeton Emerging Mkts (X)	A	Dividend	J	T					
195. - Templeton Global Bond Fund (X)	B	Dividend	K	T	Buy (add'l)	09/28/18	J		
196. - Vanguard Prime (X)	B	Dividend			Buy (add'l)	06/28/18	J		
197. - Vanguard Prime (X)					Buy (add'l)	07/31/18	J		
198. - Vanguard Prime (X)					Sold (part)	09/28/18	J	A	
199. - Vanguard Prime (X)					Sold (part)	11/07/18	J	A	
200. - Vanguard Prime (X)					Sold	12/10/18	L	A	
201. - I Shares JPMorgan Local Currency (X)	B	Dividend	K	T	Buy (add'l)	05/31/18	K		
202. - I Shares JPMorgan Local Currency (X)					Buy (add'l)	10/01/18	J		
203. - I Shares TR S+P 500 Growth (X)	A	Dividend	K	T	Sold (part)	05/31/18	J	A	
204. - I Shares TR S+P 500 Growth (X)					Sold (part)	07/23/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205. - I Shares TR S+P 500 Value (X)	A	Dividend	K	T	Buy (add'l)	05/31/18	J		
206. - I Shares Core MSCI Emerging (X)	B	Dividend	K	T	Buy (add'l)	10/01/18	J		
207. - I Shares Core MSCI Emerging (X)					Buy (add'l)	10/18/18	J		
208. - Vanguard Bond (X)	A	Dividend	L	T	Buy (add'l)	10/01/18	J		
209. - Vanguard Bond (X)					Sold (part)	11/28/18	J	A	
210. - Pershing Gov. Acct. (X)	A	Dividend	J	T					
211. - Pfizer (X)		None			Sold	09/24/18	J	A	
212. - Team Inc. (X)		None			Sold	09/20/18	J	A	
213. - Harbor Int'l Fund (X)		None			Buy (add'l)	07/19/18	J		
214. - Harbor Int'l Fund (X)					Buy (add'l)	09/28/18	J		
215. - Harbor Int'l Fund (X)					Sold	12/04/18	K	A	
216. - Powershares ETF (X)	A	Dividend			Sold	05/31/18	J	A	
217. - MFS Int'l	B	Dividend	K	T	Buy	12/04/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting	Date of Report
Hanen, Andrew S.	09/15/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. The fund labeled "First TR Exchange Trade" is now being called "First Trust Dow Jones." It will be referred to by that name in subsequent reports.
2. In past reports this fund was referred to as the BMO Short Term Intermediate Bond, but its name was changed last year after a merger with another fund.
3. The name of this fund when originally purchased was Fidelity Money Market Premium Class, but in November it merged with Fidelity 500 Index Fund and that is the current name.
4. The Waco Texas bonds and the Bank of America Money Market Fund were accidentally omitted from the 2017 report due to a page break, but are included here. Other than the receipt of interest totaling less than \$5,000, there were no transactions concerning the bonds in 2017. The money market was originally called BIF Tax Exempt. It changed its name to Bank of America Money Market Fund during 2018. It is only the sweep portion of the brokerage account in which excess funds are deposited or withdrawn and those deposits or withdrawals were the only activities in 2017 and 2018.
5. IRA Accounts five and six were inherited in the spring of 2018 [REDACTED].
6. Williams Partners LP was merged into Williams Bros. Inc. on August 13, 2018. The merger was not finalized until December of 2018 at which time fractional shares were bought out and the spouse of the undersigned received \$21.66 for those fractional shares.
7. This money market was inadvertently left off of the initial report and the report has been amended to include it.
8. All life insurance policies (Part VII, Lines 85-90) are either whole life or what is called extraordinary life (EOL), which are essentially whole life policies. Any dividends that are generated are used to pay the premium or to buy more coverage. The undersigned does not have any investment authority over these policies, nor does he invest any funds associated with these policies.

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

Hanen, Andrew S.

Date of Report

09/15/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew S. Hanen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544