

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Sharpe, Gary L.	2. Court or Organization U.S. District Court, NDNY	3. Date of Report 04/03/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address James T. Foley Courthouse 445 Broadway Albany, New York 12207		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Sharpe, Gary L.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2018	Howard Hanna Real Estate - Independent Contractor - Commissions
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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Sharpe, Gary L.	04/03/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

Sharpe, Gary L.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1.	New York College Tuition Savings #1 (529)	A	Dividend	K	T				
2.	-Vanguard Aggressive Age-Based Growth Fund	A	Dividend	K	T	Buy (add'l)	01/01/18	J	
3.					Buy (add'l)	02/01/18	J		
4.					Buy (add'l)	03/01/18	J		
5.					Buy (add'l)	04/01/18	J		
6.					Buy (add'l)	05/01/18	J		
7.					Buy (add'l)	06/01/18	J		
8.					Buy (add'l)	07/01/18	J		
9.					Buy (add'l)	08/01/18	J		
10.					Buy (add'l)	09/01/18	J		
11.					Buy (add'l)	10/01/18	J		
12.					Buy (add'l)	11/01/18	J		
13.					Buy (add'l)	12/01/18	J		
14.	New York College Tuition Savings #2 (529)	A	Dividend	J	T				
15.	-Vanguard Aggressive Age-Based Growth Fund	A	Dividend	J	T	Buy (add'l)	01/01/18	J	
16.					Buy (add'l)	02/01/18	J		
17.					Buy (add'l)	03/01/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18.					Buy (add'l)	04/01/18	J		
19.					Buy (add'l)	05/01/18	J		
20.					Buy (add'l)	06/01/18	J		
21.					Buy (add'l)	07/01/18	J		
22.					Buy (add'l)	08/01/18	J		
23.					Buy (add'l)	09/01/18	J		
24.					Buy (add'l)	10/01/18	J		
25.					Buy (add'l)	11/01/18	J		
26.					Buy (add'l)	12/01/18	J		
27. Sun Trust IRA (H)									
28. -Vanguard Small-Cap Growth Index Admiral ("VSGAX")	A	Dividend			Sold	02/05/18	J	A	
29. -Hartford Mid Cap Fd Class I ("HFMIX")	A	Dividend	J	T					
30. -American Europacific Growth Fund Cl F2 ("AEPFX")	A	Dividend	J	T					
31. -AT & T Inc Common ("T")	A	Dividend	J	T					
32. -Corning Inc Common ("GLW")	A	Dividend	J	T					
33. -Helmerich & Payne Inc Common ("HP")	A	Dividend	J	T					
34. - KLA-Tencor Corp Common ("KLAC")	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. -Home Depot Inc Common ("HD")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	
36. -INTL Paper Co Common ("IP")	A	Dividend	J	T					
37. -Macquarie Infrastructure Corp Common ("MIC")	A	Dividend			Sold	02/26/18	J	A	
38. -US Bancorp Del Common ("USB")	A	Dividend	J	T					
39. -Lyondellbasel Industries N V Common ("LYB")	A	Dividend	J	T					
40. -Genuine Parts Co Common ("GPC")	A	Dividend	J	T					
41. -Crown Castle INTL Corp Common ("CCI")	A	Dividend	J	T					
42. -Chevron Corp Common ("CVX")	A	Dividend	J	T					
43. -Johnson & Johnson Common ("JNJ")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	
44. -Raytheon Co Common ("RTN")	A	Dividend	J	T					
45. -Pizer Inc Common ("PFE")	A	Dividend	J	T					
46. -Tapestry Inc Common ("TPR")	A	Dividend	J	T					
47. -Phillip Morris INTL Inc Common ("PM")	A	Dividend			Sold	04/26/18	J	A	
48. -3M Company Common ("MMM")	A	Dividend	J	T					
49. -Wells Fargor Co Common ("WFC")	A	Dividend	J	T					
50. -Digital RLTY TR Inc Common ("DLR")	A	Dividend	J	T					
51. -Duke Energy Corp New Common ("DUK")	A	Dividend	J	T					

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52. -Occidental Petroleum Corp Common ("OXY")	A	Dividend	J	T					
53. -Total S A Sponsored ADR ("TOT")	A	Dividend	J	T					
54. -Abbvie Inc Common ("ABBV")	A	Dividend	J	T					
55. -Amgen Inc Common ("AMGN")	A	Dividend	J	T					
56. -Apple Inc Common ("AAPL")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	
57. -Blackrock Inc Common ("BLK")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	
58. -CMS Energy Corp Common ("CMS")	A	Dividend	J	T					
59. -Intel Corp Common ("INTC")	A	Dividend	J	T	Sold (part)	01/08/18	J	A	
60.					Sold (part)	10/22/18	J	A	
61. -Nextera Energy Inc Common ("NEE")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	
62. -Pepsico Inc Common ("PEP")	A	Dividend	J	T					
63. -Verizon Communications Common ("VZ")	A	Dividend	J	T					
64. -Eaton Corp PLC Common ("ETN")	A	Dividend	J	T					
65. -CME Group Inc Common ("CME")	A	Dividend	J	T					
66. -Dowdupont Inc Common ("DWDP")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	
67. -Cysco Sys Inc Common ("CSCO")	A	Dividend	J	T					
68. -JP Morgan Chase & Co Common ("JPM")	A	Dividend	J	T	Sold (part)	10/22/18	J	A	

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69. --Microsoft Corp Common ("MSFT")	A	Dividend	J	T	Sold (part)	06/21/18	J	A	
70.					Sold (part)	10/22/18	J	A	
71. -Merck & Co Inc New Common ("MRK")	A	Dividend	J	T					
72. -Union Pacific Corp Common ("UNP")	A	Dividend	J	T					
73. -Vanguard BD Index Fd Inc Intermediate Term Bd ETF ("BIV")	A	Dividend	K	T					
74. -Delta Airlines Inc Del Common ("DAL")	A	Dividend	J	T					
75. -Schlumberger Limited Common ("SLB")	A	Dividend	J	T					
76. -Hartford Mid Cap Fd Class I ("HFMIX")	A	Dividend	J	T					
77. -Broadcom Limited Common ("AVGO")	A	Dividend	J	T	Buy	01/08/18	J		
78.					Buy (add'l)	06/21/18	J		
79.					Sold (part)	10/22/18	J	A	
80. -Russell 2000 Growth ETF ("IWO")	A	Dividend	J	T	Buy	02/06/18	J		
81.					Sold (part)	10/22/18	J	A	
82. -United Parcel Svc Inc Class B ("UPS")	A	Dividend	J	T	Buy	02/28/18	J		
83. -Sysco Corp Common ("SSY")	A	Dividend	J	T	Buy	04/24/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Gary L. Sharpe

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544