

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hughes, Lynn N.	2. Court or Organization Southern District of Texas	3. Date of Report 05/14/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address Suite 11122 515 Rusk Street Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Independent Executor	Estate (relative, no interest, no compensation)
2. Advisory Director	World Affairs Council of Houston
3. Advisory Director	Bilateral US-Arab Chamber of Commerce
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wells Fargo Bank, N.A.	Line of Credit	L
2.			
3.			
4.			
5.			

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. *****Land*****									
2. Tract One, Colorado County, Texas; grazing, 12/30/91-\$185,500	D	Rent	M	R					
3. Tract Two, Colorado & Wharton Counties, Texas; rice, 12/30/91-\$332,112	E	Rent	N	R					
4. TwoTract Two, Grant of Easement (Y) Wharton County, Texas									See Note Two
5.									
6. *****Minerals*****									
7. San Juan County, New Mexico - Hillcorp (formerly Production Gathering)	A	Royalty	J	W					
8. San Juan County, New Mexico - Blue Door (Y)									See Note One
9. San Juan County, New Mexico - McLane Family (Y)									See Note One
10. Coleman County, Texas - Sunoco (Y)									See Note One
11. Nueces County, Texas - Urban (formerly Linn)	A	Royalty	J	W					
12. San Juan County, New Mexico - CML Exploration (Y)									See Note One
13. Wharton County, Texas - Everest (Y)									See Note One
14. San Juan County, New Mexico - Flint Hills (Y)									See Note One
15. San Juan County, New Mexico - ConocoPhillips	A	Royalty	J	W					
16. Wood County, Texas - Estate Southwest Operating (Y)									See Note One
17. Wharton County, Texas - NCEY (Y)									See Note One

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

18. Andrews County, Texas - Vanguard (Y)									See Note One
19. San Juan County, New Mexico - XTO Energy	A	Royalty	J	W					
20. San Juan County, New Mexico - BP (formerly Southland)	A	Royalty	J	W					
21. San Juan County, New Mexico - Southland (formerly Energen) (Y)									See Note One
22. San Juan County, New Mexico - SG Interests (Y)									See Note One
23. San Juan County, New Mexico - Turner (Y)									See Note One
24. Wharton County, Texas - Century Assets (Y)									See Note One
25. San Juan County, New Mexico - Cross Timbers	A	Royalty	J	W					
26.									
27. *****Mutual Funds*****									
28. *WF Command Asset Program -- GPR									
29. Invesco Developing Markets	D	Dividend	P1	T					
30. Harbor Fund IntlFund (Hanx)	F	Dividend			Sold	06/15/18	O	E	
31. Keeley Funds Small Cap	G	Dividend			Sold	04/30/18	O	E	
32. Mainstay Large Cap	B	Dividend			Sold	04/30/18	K	B	
33. Wells Fargo Emerging	A	Dividend	N	T					
34. Pioneer Mid-Cap Value	A	Dividend	N	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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35. PGIM Mid Cap Growth (Prudential)	A	Dividend	O	T					
36. PGIM Jenn Equity (Prudential)	A	Dividend	N	T					
37. Columbia Contraraian Core Class A	A	Dividend	N	T					See Note Three
38.									
39. *WF Command Asset Program -- LNH									
40. Delaware Smid Cap Growth	D	Dividend	J	T					
41. Delaware Group Global & Intl Equity Fund	B	Dividend	N	T					
42. Delaware Group US Growth	C	Dividend	N	T					
43. Delaware Group Small Cap Value	B	Dividend	O	T					
44. Delaware Group Equity Funds II	D	Dividend	J	T					
45. Delaware Group Equity Funds II Cl DD	D	Dividend	J	T					
46. Prudential Invt Port 12 Global Real Estate	B	Dividend	L	T					
47.									
48. *****Equities*****									
49. Prosperity Bancshares	B	Dividend	L	T					
50. Chevron	D	Dividend	M	T					
51. Wells Fargo & Co. (GPR)	G	Dividend	P1	T					

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(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
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52. Wells Fargo & Co. (LNH)	E	Dividend	L	T					
53.									
54. *****Alternative Investments*****									
55. ABS Global Long Short	E	Distribution	M	T					
56. Aurora Diversified ASP	A	Distribution			Sold	12/14/18	J	A	
57. Graham Alt Inv ASP	A	Distribution	M	T					
58. Corbin Pinehurst Partners ASP	B	Distribution	N	T					
59. WNT Futures ASP	A	Distribution	M	T					
60. Blue Trend ASP	B	Distribution	L	T					
61.									
62. ****Money Market Funds ****									
63. Wells Fargo Advisors	A	Interest	L	T					See Note Two
64.									
65. *****Bank Accounts*****									
66. JP Morgan- Chase	A	Interest	K	T					
67. Wells Fargo Bank - 1	F	Interest	O	T					
68. Wells Fargo Bank - 2	A	Interest	N	T					

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69.									
70. *****Municipal Bonds*****									
71. Roma ISD	A	Interest			Matured	08/15/18	K		
72. New Braunfels	A	Interest			Matured	02/01/18	K		
73. University of Texas	A	Interest			Matured	08/15/18	K		
74.									
75. *****Estate*****									
76. USB Cashfund Money Market	D	Interest	J	T					
77. RMA Money Market Portfolio	A	Interest	J	T					
78. FT Templeton Growth C	B	Interest	L	T					
79.									
80. *****End*****									
81.									
82.									

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3 Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note One: Interest is still owned, however no income for reporting year.

Note Two: Sweep account for cash that accumulates in brokerage account.

Note Three: This stock is in an account that is not within my control and was inadvertently omitted from last year's disclosure.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Lynn N. Hughes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544