

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McHugh, Jr., Gerald A.	2. Court or Organization Eastern District of Pennsylvania	3. Date of Report 05/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address 9613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

McHugh, Jr., Gerald A.

Date of Report

05/05/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. November	Author fees - Thompson West Publishing	\$4,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Idaho Law School	November 8-9, 2018	Moscow Idaho	Moot Court and lecture	Transporation, meals, hotel
2.				
3.				
4.				
5.				

Name of Person Reporting	Date of Report
McHugh, Jr., Gerald A.	05/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

McHugh, Jr., Gerald A.

Date of Report

05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vanguard Capital Opportunity Fund	D	Dividend	O	T					
2. Vanguard Health Care Fund	E	Dividend	O	T					
3.					Donated (part)				
4. Vanguard Intermediate Term Tax-Exempt Fund	E	Dividend	O	T					
5. Vanguard Prime Money Market Fund	D	Dividend	L	T					
6. Vanguard Short Term Investment Grade	E	Dividend	P1	T	Redeemed (part)	01/30/18	N		
7.					Redeemed (part)	08/06/18	K		
8.					Redeemed (part)	10/25/18	L		
9.					Redeemed (part)	12/12/18	K		
10. Vanguard Wellington Fund	E	Dividend	O	T	Sold (part)	07/03/18	L		
11.					Donated (part)				
12. PNC Bank Cash Accounts	A	Interest	K	T					
13. Vanguard Star Fund	D	Dividend	O	T					
14. Vanguard Dividend Growth Fund	C	Dividend	L	T					
15. Vanguard Equity Income Fund	D	Dividend	L	T					
16. Vanguard PRIMECAP Core Fund	F	Dividend	O	T	Buy (add'l)	09/13/18	O		
17. Vanguard Selected Value Fund	D	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Table with 2 columns: Name of Person Reporting, Date of Report. Row 1: McHugh, Jr., Gerald A., 05/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

Table with 10 columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Rows include Vanguard Short Term Investment Grade (IRA), Vanguard Small- Cap Growth Index, T. Rowe Price Equity Income, T. Rowe Price Growth Stock, T. Rowe Price Small-Cap Value, T. Rowe Price Spectrum International Fund, T. Rowe Price Target Retirement 2020, Vanguard Brokerage (H), Wellington Fund, and three rows of Donated (part) transactions.

Table with 6 columns: 1. Income Gain Codes, 2. Value Codes, 3. Value Method Codes, and corresponding code descriptions. Includes codes A through W and their respective value ranges or methods.

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

McHugh, Jr., Gerald A.

Date of Report

05/05/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The substantial withdrawals from Vanguard Short Term Investment Grade Corporate Fund were [REDACTED], who purchased an abandoned property in our neighborhood to save it from demolition, rehab it, and preserve it as a single family home rather than a rental property. Upon sale of that property, the proceeds will be re-invested in Vanguard. I have no ownership interest in the project, which [REDACTED] is conducting through a Limited Liability Company [REDACTED] established solely for this purpose.

The T. Rowe Price redemptions were from retirement accounts established while I was in practice. All of the proceeds were rolled over to my IRA, Vanguard Primecap Core Fund.

The Vanguard Brokerage account was funded as a vehicle for making charitable contributions, with a transfer from the Wellington Fund.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

McHugh, Jr., Gerald A.

Date of Report

05/05/2020

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gerald A. McHugh, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544