

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AFRICK, LANCE M.	2. Court or Organization USDC, EASTERN DISTRICT OF LA	3. Date of Report 10/15/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) FULL-TIME U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 500 POYDRAS STREET ROOM C-405 NEW ORLEANS, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. PAST EXECUTIVE COMMITTEE MEMBER AND PAST PRESIDENT	ALLSTATE SUGAR BOWL
2. DIRECTOR	AFRICK FAMILY FOUNDATION, INC.
3. BOARD OF DIRECTORS	COVENANT HOUSE
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	CE OIL & TOOL SUPPLY, INC. - WAGES
2. 2018	CRESCENT DRILLING & PRODUCTION - WAGES
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NEW ORLEANS BAR ASSOCIATION	APRIL 20-22, 2018	POINT CLEAR, ALABAMA	BENCH BAR CONFERENCE	HOTEL AND REGISTRATION
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1. UBS BANK USA	VARIABLE RATE LOAN	O
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Louisiana Local Gov. Enviro (Municipal Bond)	A	Interest			Redeemed	12/03/18	J		
2. Facebook, Inc.		None			Sold	04/02/18	K	E	
3. American Municipal Power OH Callable Bond	B	Interest	K	T					
4. Maryland St. Health & Higher Bond	B	Interest	K	T					
5. Illinois State FGIC Bond	C	Interest	L	T					
6. Columbus OH City Sch Callable Bond	B	Interest	L	T					
7. Florida St A26 (PreviouslyFlorida St. BER Callable Bond (2))	D	Interest	M	T					
8. Atlanta GA Water & Wastewater Rev Bond SER A	C	Interest	L	T					
9. Houston TX HWO (Previously Houston TX Util Sys Callable Bond)	C	Interest	L	T					
10. Florida St Brd Ed Lottery SR A	B	Interest			Redeemed	07/02/18	K		
11. Univ Houston TX Callable Bond	A	Interest			Redeemed	02/15/18	K		
12. FLorida St. Dept Environmen. Callable Bond (3)	C	Interest			Redeemed	07/02/18	L		
13. Kentucky Infrastruct Callable Bond	C	Interest	L	T					
14. Metropolitan Trans AUNY SER A (2)	C	Interest	L	T					
15. Metropolitan Trans Auth Asur D (2)	B	Interest	K	T					
16. Pennsylvania Higher Ed Callable Bond	C	Interest			Redeemed	11/01/18	L		
17. Texas State SRA CRO (formerly Texas State)	C	Interest			Redeemed	08/02/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Connecticut St Gen Ser A Callable Bond	D	Interest			Redeemed	04/20/18	L		
19. Florida St G44 (Previously Florida St Brd Educ Pub Callable Bond)	B	Interest	L	T					
20. John Hancock Variable Ins. Trust (Managed Volatility Growth Portfolio)		None	N	T	Sold (part)	01/01/18	J		
21.					Sold (part)	02/01/18	J		
22.					Sold (part)	03/01/18	J		
23.					Sold (part)	04/01/18	J		
24.					Sold (part)	04/28/18	J		
25.					Sold (part)	05/28/18	J		
26.					Sold (part)	06/28/18	J		
27.					Sold (part)	07/28/18	J		
28.					Sold (part)	08/28/18	J		
29.					Sold (part)	09/28/18	J		
30.					Sold (part)	10/28/18	J		
31.					Sold (part)	11/28/18	J		
32.					Sold (part)	12/28/18	J		
33. Blackrock MUN Target Term Trust BTT	C	Dividend	L	T					
34. Nuveen Inter Duration NID	C	Dividend	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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35. Pimco Dynamic PCI	C	Dividend	K	T					
36. Louisiana St H52 (Previously Louisiana St RFDG SER C)	C	Interest	L	T					
37. Pueblo Cnty Colo CTFS Partn Cnty Judicial Complex	B	Interest	K	T					
38. Boynton Beach Fla Util Sys Rev	B	Interest	K	T					
39. Miami Dade Cnty Fla SCH BRD CTFS SER B	A	Interest			Redeemed	05/01/18	L		
40. Louisiana LOC GOVT Environmental Assur SR B	B	Interest	K	T					
41. Center Coast MLP & Infrastructure FD	C	Dividend	J	T					
42. New Jersey G96 (Previously New Jersey ST Trans TRFD SER AA (2))	D	Interest	M	T					
43. Midland Cnty TEX Fresh WTR Supply DIST (2)	D	Interest	N	T					
44. Univ WI BZ5 (Previously University WIS Hosps & Clinics Auth Rev SER A)	C	Interest	L	T					
45. Apple Inc.	A	Dividend	J	T					
46. NJ Eco WS8 (Previously NJ Eco SR NN Y25)	A	Interest	K	T					
47. Nuveen Ltd Municipal Bond Fund	B	Dividend	L	T	Sold (part)	04/04/18	J		
48.					Sold (part)	05/25/18	J		
49. Johnson & Johnson	A	Dividend	J	T					
50. Proctor & Gamble PG	A	Dividend	J	T					
51. Apple Inc	A	Dividend	K	T					

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52. New York ST NR3	B	Interest	K	T					
53. Pennsylvania ST TPK Commn TPK REV for Issued DTD	C	Interest	L	T					
54. Univ Ala CM1	D	Interest			Redeemed	09/04/18	M		
55. Boeing Company Symbol BA	A	Dividend	K	T					
56. Britich Amer Tobacco PLC GB SPON ADR	A	Dividend	J	T	Sold (part)	05/24/18	J		
57. Colgate Palmolive Co Symbol CL	A	Dividend	J	T	Sold (part)	05/24/18	J		
58. Crane Co Symbol CR	A	Dividend	J	T					
59. Diago PLC New GB SPON ADR Symbol DEO	A	Dividend	J	T					
60. Dominion Resources Inc		None			Sold	01/31/18	J		
61. Home Depot Inc Symbol HD	A	Dividend	J	T	Buy (add'l)	11/26/18	J		
62. Illinois Tool Works Inc Symbol ITW	A	Dividend	J	T					
63. Intel Corp Symbol INTC	A	Dividend	J	T	Buy (add'l)	11/26/18	J		
64. Johnson Controls Inc. Symbol JCI	A	Dividend			Sold	03/23/18	J		
65. Microsoft Corp Symbol MSFT	A	Dividend	J	T					
66. Medtronic PLC Symbol MDT	A	Dividend	J	T					
67. Nordstrom Inc Symbol JWN		None			Sold	01/31/18	J		
68. Novartis AG SPON ADR Symbol NVS	A	Dividend	J	T					

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69. Nextera Energy Inc COM Symbol NEE	A	Dividend	J	T					
70. Occidental Petroleum CRP Symbol OXY	A	Dividend	J	T					
71. Pepsico Inc Symbol PEP	A	Dividend	J	T					
72. Rockwell Automation Inc NEW Symbol ROK	A	Dividend	J	T					
73. Invesco LTD Symbol IVZ	A	Dividend			Sold	07/02/18	J		
74. Schlumberger LTD Netherland Antilles Symbol SLB	A	Dividend			Sold	01/31/18	J		
75. Texas Instruments Symbol TXN	A	Dividend	J	T					
76. Union Pacific Corp Symbol UNP	A	Dividend	J	T					
77. United Technologies Corp Symbol UTX	A	Dividend	J	T					
78. VF Corp Symbol VFC	A	Dividend	J	T					
79. Yum Brands Inc Symbol YUM	A	Dividend	J	T					
80. New Jersey ST LQ2 (Previously New Jersey ST L22)	A	Interest	J	T	Redeemed (part)	01/03/18	J		
81.					Redeemed (part)	07/06/18	J		
82. Ecolab Inc Symbol ECL	B	Dividend	M	T					
83. Tampa-Hillborough FL2	C	Interest	L	T					
84. Delaware VY ER3	A	Interest	K	T					
85. Jacksonville Elec 4H5	C	Interest			Sold	09/25/18	L	B	

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86. Mass ST GO Consol Ln SR A	B	Interest	K	T					
87. Miami-Dade Cnty GS4	C	Interest	L	T					
88. Connecticut ST LQ6	A	Interest	K	T					
89. New York ST MY6	B	Interest	L	T					
90. Broward CO FL VC9	D	Interest	M	T					
91. Jacksonville FL KA3	A	Interest	J	T					
92. Deltona FL BZ2	B	Interest	K	T					
93. Tangipahoa Par DK5	A	Interest	K	T					
94. Memphis Ctr BL7	C	Interest	L	T					
95. California St YB4	B	Interest	L	T					
96. Daytona Beach FL CF2	B	Interest	L	T					
97. NJ ECO V26	D	Interest	M	T					
98. Las Vegas Q60	B	Interest	K	T					
99. Southern ILL Univ Revs	A	Interest	J	T					
100. Tampa FL BH3	C	Interest	L	T					
101. Vermont St DR3	C	Interest	L	T					
102. Philadelphia PA WL1 (Previously Philadelphia PA WLL)	A	Interest	J	T					

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103. Conneticut ST JU7	C	Interest	L	T					
104. Daytona Beach CC9	D	Interest	M	T					
105. Miami FL HU2	B	Interest			Redeemed	12/20/18	K		
106. Will Co KL8	B	Interest	K	T					
107. Maryland St LSO	A	Interest			Redeemed	06/18/18	J		
108. Miami Dade Cnty QU2	A	Interest			Redeemed	08/01/18	J		
109. Indiana Mun Pwr 2M2	C	Interest	K	T					
110. NJ ECO T78 (Previously NJ ECO DV UTH Due Date 030124)	C	Interest	L	T					
111. Onondaga Cnty NY Indl	B	Interest	K	T					
112. Kansas City Mo SPL OBLIG SR B	C	Interest			Redeemed	04/16/18	M		
113. Texas ST LW7	B	Interest			Redeemed	04/02/18	L		
114. New York St Twy Auth St SR A	D	Interest	M	T					
115. New Jersey Trans Trust SR AA	C	Interest	L	T					
116. New Jersey St A88 (Previously New Jersey St Tok Auth SER A)	B	Interest	K	T					
117. Pennsylvania St Tpk SR A-1	A	Interest			Redeemed	06/01/18	K		
118. Univ Pittsburgh SR B RV	B	Interest	K	T					
119. Connecticut St Gen SER C	D	Interest			Redeemed	11/01/18	M		

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AFRICK, LANCE M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. New Haven Connecticut GO SER 08A	A	Interest			Redeemed	03/01/18	K		
121. Texas St Univ Sys KQ6	A	Interest			Redeemed	03/15/18	K		
122. Oak Island NC Enterprise Asur	A	Interest	J	T					
123. Texas St SR A CT6	C	Interest			Redeemed	08/02/18	L		
124. New Jersey ST CTFS Partn SER A	A	Interest			Redeemed	06/15/18	K		
125. Cucamonga VY CA Wtr SER A	B	Interest			Closed	06/13/18	L		
126. Palm Beach Co Fla Hsg SR A	A	Interest	J	T					
127. Hialeah Fla Hsg Auth REV	A	Interest			Redeemed	08/14/18	J		
128. Mass St Wtr Res Au SR B	A	Interest	K	T					
129. Blackrock Municipal BKK	A	Dividend	K	T					
130. Blackrock Munivest MVT	A	Dividend	K	T					
131. Blackrock Municipal BFK (Previously Blackrock Municipal Income BFI)	B	Dividend	K	T					
132. Invesco High Yield Municipal Fund	A	Dividend	M	T					
133. Aegon AEH	B	Dividend	K	T					
134. UBS Bank USA DEP	A	Interest	K	T					
135. Nuveen Real Asset Income Fund Class C	A	Dividend			Sold	04/16/18	J	A	
136. First Eagle Global Fund Class C	A	Dividend			Sold	04/16/18	J	A	

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

137. American Century Equity Income	A	Dividend			Sold	04/16/18	J	A	
138. Pimco Income Fund Class C	A	Dividend			Sold	04/16/18	J	A	
139. Amazon Com Inc		None	K	T	Buy (add'l)	11/26/18	J		
140. University TEX PERM UNIV FD SER A	C	Interest	M	T					
141. Southwest Higher ED AUTH INC TEX REV	D	Interest	M	T					
142. Texas A&M UNIV REV	D	Interest	M	T					
143. Port Auth NY & NJ RFDG	D	Interest	M	T					
144. New York NY Q53 (Previously New York NY CALLABLE 253)	C	Interest	M	T					
145. Washington St for Issues 2017	D	Interest	M	T					
146. New York NY CITY TRANS FIN AUTH REV	B	Interest	L	T					
147. Austin TX CMNTY COLLEGE DIST PUB FAC REV	C	Interest	M	T					
148. Port ST Lucie FLA UTIL REV RFDG	D	Interest	M	T					
149. Pennsylvania ST E57	D	Interest	M	T					
150. Miami-Dade CNTY FLA SER A RK8	D	Interest	M	T					
151. Houston TEX CMNTY COLLEGE SYS REV	B	Interest	K	T					
152. Arizona ST TRANSN BRD RV	D	Interest	M	T					
153. Allen TX INDPT SCH DIST RFDG	C	Interest	L	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
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AFRICK, LANCE M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. New York ST DORM AUTH ST SRB RV D46	D	Interest	M	T					
155. Indiana ST FIN AUTH WASTEWATER UTIL REV	D	Interest	M	T					
156. Univ HI JR8 (Previously University HI SER E RV)	D	Interest	M	T					
157. Texas WTR YG1 (Previously Texax WTR DEV BRD REV)	D	Interest	M	T					
158. Honolulu Hi Cnty -B SER B	D	Interest	M	T					
159. New York ST URBAN DEV SR A RV	D	Interest	M	T					
160. San Antonio TX WTR Syst SR B	D	Interest	M	T					
161. Lake Dallas TEX ISD Perma	D	Interest	M	T					
162. Cameron CNTY TEX ISD Perma	D	Interest	M	T					
163. UNIV MI UNV RV	D	Interest	M	T					
164. NO Harris GV2 (Previously North Harris CNTY TX WTR AU REV)	D	Interest	M	T					
165. Bexar CNTY TX XW5	C	Interest	L	T					
166. Houston TX Util GU5	D	Interest	M	T					
167. Texas ST for Issues Q44	D	Interest	M	T					
168. New York NY for Previous A-1 FC8	D	Interest	M	T					
169. Pennsylvania St TPK SQ3	D	Interest	M	T					
170. Washington St for Issues SR C	D	Interest	M	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Pennsylvania St TPK Comm Build AT BAM	D	Interest	M	T					
172. Mi Mun Bd AV Clean Water	A	Interest	J	T					
173. New Jersey Health Care F	B	Interest	K	T					
174. City of NY A-1 Z58	B	Interest	K	T					
175. Austin TX EH1 (Previously Austin TX Htl Occupony EX1)	A	Interest	K	T					
176. Chicago IL O Hare Intl A	A	Interest	K	T					
177. North TX Twy Auth Sr B Q64		None			Redeemed	01/02/18	K	A	
178. Dallas TX Indpt Sch RPO	A	Interest	J	T					
179. Dutchess Cnty Assur RV	A	Interest	J	T					
180. Flour Bluff TX (Previously Flat Bluff TX)	B	Interest	K	T					
181. Ohio State Sr B Pt7	D	Interest	M	T					
182. Tanger Factory Outlet Centers Inc	A	Dividend	J	T					
183. Yum China Hldgs Inc	A	Dividend	J	T					
184. Titus Co TX Asur	A	Interest	J	T					
185. El Monte CA UN High Sch Assur SR A	B	Interest	K	T					
186. Marion Co FL Pub SrA Assur	A	Interest	J	T					
187. Broward Cnty Fl Sch Brd YU5	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. Chester Co PA Pref	A	Interest	J	T					
189. Maryland St for Issues D SrB	B	Interest	K	T					
190. Marysville CA JT Uni Agr	A	Interest	K	T					
191. Orange Cnty FL Sch Brd NSO (Previously Oange Cnty FL Sch Brd NSO)	A	Interest	J	T					
192. Texas St Technical Colle Assur CB6	A	Interest	J	T					
193. Texas St Technical Colle Assur C65	C	Interest	L	T					
194. New York St Dorm Auth AY7	A	Interest	J	T					
195. Miami-Dade Cnty Fl Aria WT1	A	Interest	K	T					
196. Univ CT Genl Oblig SR A	A	Interest	K	T					
197. Harris Co TX Hlth JC9	A	Interest	J	T					
198. Rhode IS St Econ DV Corp	A	Interest	J	T					
199. Michigan Mun BD Auth	A	Interest	K	T					
200. Columbia SC Wtrwks Swr Sr A	B	Interest	K	T					
201. Massachusetts St 5Q4 (Previously Massachusetts St Dev Fin B-s)	C	Interest	L	T					
202. New Jersey Health Care Radia	A	Interest	J	T					
203. Illinois HSG Dev Au Mult	A	Interest	J	T					
204. Oklahoma Agric & Mechani	B	Interest	K	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Louisiana St Transn Auth SrA	D	Interest	N	T					
206. Tennessee St SrA	A	Interest	K	T					
207. Southwind HSG Inv Evnsvl	A	Interest	J	T					
208. North Carolina Estn Mun RV Radia	A	Interest	J	T					
209. New Jersey Hlth FF5 (Previously New Jersey Hlth Care FAC)	A	Interest	K	T					
210. New Haven Connecticut Co XZ6	A	Interest			Redeemed	03/01/18	J		
211. Texas St LCL	A	Interest			Redeemed	04/02/18	J		
212. Miami-Dade Cnty Fla VAO	A	Interest			Redeemed	05/01/18	J		
213. Miami-Dade Cnty Fla Sch UXI	A	Interest			Redeemed	05/01/18	J		
214. Miami-Dade Cnty FL UY9	A	Interest			Redeemed	05/01/18	J		
215. Wisconsin St Ser C	A	Interest			Redeemed	05/01/18	J		
216. Broward Cnty Fl Sch VK7	A	Interest			Redeemed	07/02/18	J		
217. Metro Atlanta Rapid Tran PH1	A	Interest			Redeemed	07/02/18	J		
218. New Jersey ST Edl Facs A P27	A	Interest			Redeemed	07/02/18	J		
219. Wayne St Univ Mi Univ W44	A	Interest			Redeemed	11/15/18	J		
220. St of WA GO SrE GE4	B	Interest	K	T					
221. St of WA GO Sr E GM6	A	Interest	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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222. Conneticut St for Issue Sr A RBO	A	Interest	K	T					
223. Albany Daugherty AB6	A	Interest	J	T					
224. Univ HI Brd Reg Sr E JP2	B	Interest	K	T					
225. Texas St Univ Sys TV6	B	Interest	L	T					
226. Harris Co JD7 (Previously Harris Co TX Hlth TD7)	A	Interest	J	T					
227. Albany Dougherty AA8	A	Interest	J	T					
228. New Jersey St EG1	B	Interest	K	T					
229. Beaumont Unified DG6	A	Interest	J	T					
230. Orange Cnty FL Health AY1	A	Interest	K	T					
231. Oregon St Dept CT9	A	Interest	J	T					
232. Louisiana LDC Govt NG5	D	Interest	M	T					
233. New Jersey Trans Trust PZ8	B	Interest			Redeemed	11/19/18	K		
234. Univ Houston TX 8M1 (Y)									
235. Massachusetts H&E JS1	B	Interest	K	T					
236. Illinois St K42	C	Interest			Redeemed	10/05/18	L		
237. Livonia MI Pub Sch SUO	A	Interest	K	T					
238. Harris Co Tx HW7 (Previously Harris Co TX Hlth FACS HU7)	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Cleveland OH Income KGO	B	Interest	L	T					
240. Austin TX EL2	A	Interest	J	T					
241. Chi IL MVO	A	Interest	J	T					
242. New Jersey Trans QA2	A	Interest			Redeemed	11/19/18	J		
243. North TX Twy TB2	A	Interest			Redeemed	01/02/18	J	A	
244. Douglass Co DF2	A	Interest	K	T					
245. Dallas TX RS4	A	Interest	K	T					
246. Sacramento Cnty CA JD5	A	Interest			Redeemed	07/02/18	J		
247. Pennsylvania St Tpk CW1	B	Interest	K	T					
248. Alameda Corridor GN9	C	Interest	L	T					
249. Miami-Dade Cnty BW5	A	Interest	J	T					
250. Riverside CA Elec QQ5	A	Interest	K	T					
251. New York St TU7	A	Interest			Redeemed	04/09/18	J		
252. Connecticut St Health 6U4 (Previously Conneticut St Health Sr J)	A	Interest	J	T					
253. City of NY NAO (Previously City of NY NAD)	B	Interest	K	T					
254. Broward CO FL Arpt UE6	C	Interest	L	T					
255. Miami-Dade Cnty FL VX3	A	Interest	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256. Houston TX NL6	D	Interest	M	T					
257. Castle Rock CO DD1	B	Interest	K	T					
258. Idaho Health FACS VJ5	A	Interest	K	T					
259. Harris CO JE5	A	Interest	J	T					
260. Miami-Dade Cnty UZ4	C	Interest	L	T					
261. Dallas Ft Worth SR2	B	Interest	K	T					
262. Houston TX Util Sys JN8	A	Interest	J	T					
263. Hamilton Cnty OH YV5	A	Interest	K	T					
264. New York Clty X99	B	Interest	K	T					
265. Laredo TX GX5	B	Interest	K	T					
266. Franklin Cntty BN6	B	Interest	K	T					
267. Ohio St Pub DE1	B	Interest	K	T					
268. Santa Ana CA EU6	A	Interest			Redeemed	05/24/18	J		
269. Lorain Co Ohio JD1	A	Interest			Redeemed	05/08/18	J		
270. Mississippi St 7D5	B	Interest	K	T					
271. Wayne St WU4	A	Interest			Redeemed	11/15/18	J		
272. Illinois Fin GX1	A	Interest			Redeemed	08/15/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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AFRICK, LANCE M.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
273. Atlanta GA Arpt RSQ	A	Interest	K	T					
274. Amgen Inc	A	Dividend	K	T	Buy (add'l)	11/26/18	J		
275. Bristol Myers Squibb CO	A	Dividend	J	T					
276. CVS Health Corp	A	Dividend			Sold	01/31/18	J		
277. Alphabet Inc CL A		None	J	T	Buy (add'l)	11/26/18	J		
278. Exxon Mobil Corp	A	Dividend	J	T					
279. Gen Electric CO	A	Dividend			Sold	01/31/18	J		
280. Lockheed Martin Corp	A	Dividend	J	T					
281. Mondelez Intl Inc	A	Dividend	J	T					
282. Metlife Inc	A	Dividend			Sold	07/02/18	J		
283. Total SA Symbol TOT	A	Dividend	J	T					
284. United Parcel Service Symbol UPS	A	Dividend	J	T					
285. NB Strategic Co (2)	D	Distribution	M	T					
286. UBS Bank USA Dep Acct	A	Interest	M	T					
287. JP Morgan Strategic Income	A	Dividend	K	T					
288. Blackrock BSIIX (Previously Blackrock Strategic Income I)	A	Dividend	K	T					
289. Sterling Capitol Ultra Short Bond Fund	A	Dividend			Sold (part)	01/17/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold	02/01/18	J		
291. Thornburg Limited Term Municipal	B	Dividend	L	T	Sold (part)	04/04/18	J		
292. Amgen Inc AMGN	A	Dividend	J	T					
293. Boeing Company BA	A	Dividend	J	T					
294. British Amer BTI	A	Dividend	J	T					
295. Colgate CL	A	Dividend	J	T					
296. Alphabet Inc GOOGL		None	J	T					
297. Crane Co CR	A	Dividend	J	T					
298. Duagei PLC DEO	A	Dividend	J	T					
299. Home Depot Inc HD	A	Dividend	J	T					
300. Illinois Tool ITW	A	Dividend	J	T					
301. Intel Corp INTL	A	Dividend	J	T					
302. Johnson Controls JCI	A	Dividend			Sold	01/31/18	J		
303. Lockheed Martin LMT	A	Dividend	J	T	Buy (add'l)	11/26/18	J		
304. Microsoft Corp MSFT	A	Dividend	J	T	Buy (add'l)	11/26/18	J		
305. Mondelez MDLZ	A	Dividend			Sold	01/31/18	J		
306. Medtronic MDT	A	Dividend	J	T	Buy (add'l)	11/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307. Novartis NVS	A	Dividend	J	T	Buy (add'l)	02/02/18	J		
308. Nextero NEE	A	Dividend	J	T	Buy (add'l)	11/26/18	J		
309. Pepsico Inc PEP	A	Dividend	J	T					
310. Rockwell Automation ROK	A	Dividend	J	T					
311. Invesco IVZ	A	Dividend			Sold	11/26/18	J		
312. Texas Instruments TXN	A	Dividend	J	T	Buy (add'l)	11/26/18	J		
313. Union Pacific Corp UNP	A	Dividend	J	T					
314. Total SA France TOT	A	Dividend	J	T					
315. United Parcel Service UPS	A	Dividend	J	T					
316. United Technologies UTX	A	Dividend	J	T					
317. YUMI Brands YUM	A	Dividend	J	T					
318. Nuveen Short Duration NVHIX	B	Dividend	K	T	Sold (part)	04/04/18	J		
319. Accenture ACN	A	Dividend	J	T	Buy (add'l)	02/02/18	J		
320. Amazon AMZN		None	J	T					
321. Chevron Corp	A	Dividend	J	T					
322. McDonalds Corp	A	Dividend	J	T					
323. Metlife	A	Dividend			Sold	11/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324. Suncor SU	A	Dividend	J	T					
325. US Bancorp Del	A	Dividend			Sold	07/02/18	J		
326. Louisiana St Gas & Fuels SR6	C	Interest	K	T					
327. Louisiana St Gas & Fuels SZ8	C	Interest	L	T					
328. Atlanta GA WTR & Wastewater PG9	B	Interest	K	T					
329. Atlanta GA WTR & Wastewater PP9	A	Interest	K	T					
330. New York St Z87	B	Interest	L	T					
331. Univ Houston TX DSO	A	Interest			Redeemed	02/15/18	J		
332. Univ Houston TX EA8	A	Interest	J	T					
333. Univ CA SR6 5Z4	A	Interest	K	T					
334. Univ CA RV 6S9	A	Interest	K	T					
335. Dallas Fort Worth TL4	D	Interest	M	T					
336. Florida St XU3	C	Interest	M	T					
337. New Jersey Trans 2M2	C	Interest			Redeemed	08/24/18	L		
338. Ohio St DD3	C	Interest	L	T					
339. Alabama AQ3	C	Interest	L	T					
340. Dallas TX RMO	C	Interest	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

AFRICK, LANCE M.

Date of Report

10/15/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. Univ OK NX2	C	Interest	M	T					
342. Autin TX JU1	C	Interest	L	T					
343. North TX PX5	B	Interest	L	T					
344. Ohio St QK3 (Previously Ohio St 2K3)	B	Interest	L	T					
345. The Comwlth of MA KA3	A	Interest	L	T					
346. Massachuettis St YA3	C	Interest	L	T					
347. Ohio St JW5	C	Interest	M	T					
348. Massachusetts ST EB8	C	Interest	L	T					
349. Atlanta GA ZW1	C	Interest	L	T					
350. Dutchess Cnty JV5	A	Interest	K	T					
351. Pennsylvania St S46	B	Interest	K	T					
352. Central Puget Sand 6FO	C	Interest	L	T					
353. District Columbia GJ8	C	Interest	L	T					
354. Utility Debt BT5	D	Interest	M	T					
355. Washington ST F86	C	Interest	M	T					
356. Texas A&M FNO	C	Interest	M	T					
357. District Columbia ULO	C	Interest	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. Oregon St A72	C	Interest	L	T					
359. New Jersey R93	D	Interest	M	T					
360. Univ VA WM1	C	Interest	L	T					
361. Philadelphia PA ZBO	C	Interest	M	T					
362. NB Strategic LP Invest		None	M	T	Buy (add'l)	02/27/18	K		
363.					Buy (add'l)	05/30/18	J		
364.					Buy (add'l)	08/15/18	K		
365.					Buy (add'l)	11/09/18	J		
366. Alpha Keys		None	J	T					
367. District Columbia RR1	C	Interest	L	T	Buy	01/10/18	L		
368. Virginia Commnth Bond BD1	C	Interest	M	T	Buy	04/26/18	M		
369. Cucamonga VY CA BQ6	B	Interest	L	T	Open	06/13/18	L		
370. Cucamonga VY CA CK8	A	Interest	K	T	Open	06/13/18	K		
371. Pretium Residential 9D6	D	Distribution	M	T	Buy	06/14/18	M		
372. District Columbia DC1	B	Interest	M	T	Buy	08/24/18	M		
373. RI Clean Wtr GC6	B	Interest	M	T	Buy	09/14/18	M		
374. New York St 5A5		None	M	T	Buy	09/17/18	M		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375. Univ NE 4Z5		None	M	T	Buy	11/16/18	M		
376. Port Auth NY & NJ X41		None	M	T	Buy	11/19/18	M		
377. Walt Disney DIS		None	J	T	Buy	11/26/18	J		
378. SPDR S&P XBI	A	Dividend	J	T	Buy	11/26/18	J		
379. Accenture PLC ACN		None	J	T	Buy	11/26/18	J		
380. Stryker Corp SYK		None	J	T	Buy	11/26/18	J		
381. Pfizer Inc. (X)	A	Dividend	J	T					
382. Walt Disney CO DIS		None	J	T	Buy	01/18/18	J		
383.					Buy (add'l)	02/02/18	J		
384. Ecolab Inc ECL	B	Dividend	J	T	Buy	01/18/18	J		
385.					Buy (add'l)	02/02/18	J		
386. Stryker Corp DE SYK	A	Dividend	J	T	Buy	01/18/18	J		
387.					Buy (add'l)	02/02/18	J		
388. Comcast Corp CMCSA	A	Dividend	J	T	Buy	03/23/18	J		
389. Blackrock Inc BLK	A	Dividend	J	T	Buy	07/02/18	J		
390. Chubb LTD CB	A	Dividend	J	T	Buy	07/02/18	J		
391. Suntrust Banks STI	A	Dividend	J	T	Buy	07/02/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. Amgen R AMGN	A	Dividend	J	T	Buy	04/23/18	J		
393. Comcast R CMCSA	A	Dividend	J	T	Buy	04/23/18	J		
394. Apple R AAPL	A	Dividend	J	T	Buy	04/23/18	J		
395. Boeing R BA	A	Dividend	J	T	Buy	04/23/18	J		
396. Chevron R CVX	A	Dividend	J	T	Buy	04/23/18	J		
397. Colgate R CL	A	Dividend	J	T	Buy	04/23/18	J		
398. Alphabet R GOOGL		None	J	T	Buy	04/23/18	J		
399. Crane R CR	A	Dividend	J	T	Buy	04/23/18	J		
400. Diageo R DEO		None	J	T	Buy	04/23/18	J		
401. Walt Disney Co R DIS	A	Dividend	J	T	Buy	04/23/18	J		
402. Ecolab R ECL	A	Dividend	J	T	Buy	04/23/18	J		
403. Home Depot R HD	A	Dividend	J	T	Buy	04/23/18	J		
404. Illinois Tool Works R ITW	A	Dividend	J	T	Buy	04/23/18	J		
405. Intel Corp R INTC	A	Dividend	J	T	Buy	04/23/18	J		
406. Johnson & Johnson R JNJ	A	Dividend	J	T	Buy	04/23/18	J		
407. Lockheed Martin R LMT	A	Dividend	J	T	Buy	04/23/18	J		
408. Microsoft Corp R MSFT	A	Dividend	J	T	Buy	04/23/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. McDonalds Corp R MCD	A	Dividend	J	T	Buy	04/23/18	J		
410. Metlife Inc R MET	A	Dividend			Buy	04/23/18	J		
411.					Sold	07/02/18	J		
412. Medtronic PLC R MDT	A	Dividend	J	T	Buy	04/23/18	J		
413. Nextera Energy R NEE	A	Dividend	J	T	Buy	04/23/18	J		
414. Pepisco Inc R PEP	A	Dividend	J	T	Buy	04/23/18	J		
415. Rockwell Automation R ROK	A	Dividend	J	T	Buy	04/23/18	J		
416. Invesco LTD R IVZ	A	Dividend			Buy	04/23/18	J		
417.					Sold	07/02/18	J		
418. Accenture PLC R ACN		None	J	T	Buy	04/23/18	J		
419. Styker Corp R SYK	A	Dividend	J	T	Buy	04/23/18	J		
420. Suncor Energy R SU	A	Dividend	J	T	Buy	04/23/18	J		
421. Texas Instruments R TXN	A	Dividend	J	T	Buy	04/23/18	J		
422. US Bancorp DEL R USB	A	Dividend			Buy	04/23/18	J		
423.					Sold	07/02/18	J		
424. Union Pacific Corp R UNP	A	Dividend	J	T	Buy	04/23/18	J		
425. Untd Technologies Corp R UTX	A	Dividend	J	T	Buy	04/23/18	J		

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
426. Blackrock Inc R BLK	A	Dividend	J	T	Buy	07/02/18	J		
427. Chubb LTD R CB		None	J	T	Buy	07/02/18	J		
428. Suntrust Banks R STI	A	Dividend	J	T	Buy	07/02/18	J		
429. Pruco Life Insurance ILU		None	J	T	Buy	05/16/18	J		
430.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 110 Part VII. asset Cucamonga VY CA Wtr Ser A was exchanged for lines 351 and 352 on June 13, 2018

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ LANCE M. AFRICK

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544