

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Arpert, Douglas E.	2. Court or Organization District Court-New Jersey	3. Date of Report 08/06/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address 402 E. State Street Trenton, NJ 8608		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Arpert, Douglas E.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Belmar, NJ Board of Education - compensation for services
2. 2018	Wayne Auto Sales, Inc. - installment sale (closely held business)
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Arpert, Douglas E.	08/06/2019

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank - Accounts	A	Interest	J	T					
2. Kearny Financial Corp	A	Dividend	J	T					
3. Merrill Lynch IRA #1									
4. - General Electric	A	Dividend	J	T					
5. - Blackrock Multi Asset Income	A	Distribution	J	T					
6. -Delaware Diversified Income Fund	B	Distribution	K	T					
7. -Delaware Ltd Term Inc Fund	A	Distribution	K	T					
8. -Health Care Select SPDR	A	Distribution	J	T					
9. -Ishares NASDAQ Biotech ETF	A	Distribution			Sold	09/17/18	J	A	
10. -Loomis Sayles Strategic	B	Distribution	K	T					
11. -MFS Global Total Return	A	Distribution	K	T					
12. -MFS Global Equity Fund	A	Distribution	J	T					
13. -Powershares ETF DIVID	A	Distribution			Sold	05/23/18	J	A	
14. -Powershares Dividend Ach (PFM)	A	Distribution			Sold	05/23/18	J	A	
15. -Sector SPDR Consumers STPL	A	Distribution			Sold	05/23/18	J	A	
16. - Vanguard Value ETF	A	Distribution	K	T					
17. -Vanguard Growth ETF	A	Distribution	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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18. -Virtus Multi Sector ST Bond Fnd	B	Distribution	L	T	Buy (add'l)	09/17/18	L		
19. - SPDR US Financial Sector ETF	A	Distribution	J	T	Buy	05/23/18	J		
20. -Invesco Divid Achievers ETF	A	Distribution	K	T	Buy	05/23/18	K		
21. -Invesco Exchange Traded Fd	A	Distribution	J	T	Buy	05/23/18	J		
22. Merrill Lynch IRA #2									
23. -Blackrock Global	A	Dividend			Sold	06/08/18	K	B	
24. - First Eagle Global Class 1	A	Distribution	J	T	Buy	06/08/18	J		
25. -MFS Global Total Return Fund	A	Distribution	K	T	Buy	06/08/18	K		
26. -Chiron Capital Allocation Fund	A	Distribution	J	T	Buy	06/08/18	J		
27. Merrill Lynch CMA									
28. - FIA Card Svcs	A	Interest	K	T					
29. - MFS Global Total Return	A	Dividend	K	T					
30. Bessemer Trust									
31. - OW Muni Bond Fund	A	Distribution	K	T					
32. -OW Large Cap Strategies FD	A	Distribution	K	T					
33. -OW All Cap Core FD	A	Distribution	J	T					
34. -OW Strategic Oppty Fund	A	Distribution	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas E. Arpert**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544