

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) ROSS, ALLYNE R.	2. Court or Organization U.S.D.C., EDNY	3. Date of Report 05/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☐ Amended Report	
7. Chambers or Office Address U.S. DISTRICT COURT, EDNY 225 CADMAN PLAZA EAST ROOM 915 SOUTH BROOKLYN, NY 11201		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☒ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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ROSS, ALLYNE R.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Trustee of WisdomTree Trust (trustee fees)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Trust #1 (H)									
2. Northwestern Mutual Whole Life Insurance	B	Interest	L	T					
3. IRA #1 (H)									
4. Fidelity Government Money Market (SPAXX) (cash)					Open	07/12/18	K		
5. Fidelity Extd Market Index Institutional Premium Class (FSMAX)	D	Dividend	M	T					
6. Fidelity Mid Cap Index Institutional Premium Class (FSMDX)	D	Dividend	N	T					
7. Fidelity Total Emerging Markets FD (FTEMX)	D	Dividend	M	T	Buy (add'l)	01/12/18	J		
8.					Buy (add'l)	01/19/18	J		
9.					Buy (add'l)	01/23/18	J		
10.					Buy (add'l)	01/26/18	J		
11.					Buy (add'l)	07/16/18	K		
12.					Buy (add'l)	08/01/18	J		
13. Wisdomtree TR US Qlt Shared YLD (QSY)	D	Dividend	N	T					
14. BlackRock Global Energy & Resources Trust (BGR)	B	Dividend	J	T					
15. Clearbridge Energy MLP (CEM)	A	Dividend			Sold	07/12/18	J		
16. Fiduciary/Claymore MLP (FMO)	B	Dividend			Sold	07/12/18	K		
17. Tekla Healthcare (HQH)	C	Dividend	K	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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18. Target Corporation (TGT)	A	Dividend			Sold	01/16/18	J	A	
19. ishares Nasdaq Biotech ETF (IBB)	A	Dividend			Sold	01/24/18	J		
20. IRA #2 (H)									
21. Fidelity Small Cap Index Institutional Premium Class (FSSNX)	E	Dividend	O	T					
22. Fidelity Extended Market Index Institutional Premium Class (FSMAX)	E	Dividend	O	T					
23. IRA #3 (H)									
24. Fidelity 500 Index Institutional Premium Class (FXAIX)	C	Dividend	M	T					
25. Fidelity Int'l Enhanced Index Fund (FIENX)	C	Dividend	M	T					
26. IRA #4 (H)									
27. Fidelity Mid Cap Index Institutional Premium Class (FSMDX)	B	Dividend	L	T					
28. Brokerage #1 (H)									
29. Vanguard Explorer Admiral CL (VEXRX)	D	Dividend	M	T					
30. Vanguard Ltd-Term Tax-Exempt Fund (VMLUX)	D	Dividend	N	T					
31. Vanguard High-Yield Tax-Exempt Fund (VWALX)	D	Dividend	N	T					
32. Vanguard Intermediate Term Tax Exempt Adm (VWIUX)	D	Dividend	N	T	Buy (add'l)	02/26/18	J		
33.					Buy (add'l)	10/22/18	J		
34.					Buy (add'l)	10/30/18	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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35. Oppenheimer Developing Markets CL Y (ODVYX)	A	Dividend	M	T					
36. Vanguard Emerging Markets Index Fund (VWO)	D	Dividend	N	T					
37. Vanguard Europe ETF (formerly European Stock Index Fund) (VGK)	D	Dividend	N	T					
38. Vanguard Global Real Estate Fund (VNQI)	C	Dividend	L	T					
39. Vangaurd Mid Cap ETF (formerly Mid-Cap Index Fund) (VO)	E	Dividend	P1	T					
40. IShares Core S&P Small Cap ETF (IJR)	C	Dividend	M	T	Buy (add'l)	01/02/18	J		
41.					Buy (add'l)	01/29/18	J		
42.					Buy (add'l)	03/26/18	J		
43.					Buy (add'l)	05/01/18	J		
44.					Buy (add'l)	05/29/18	J		
45.					Buy (add'l)	06/29/18	J		
46.					Buy (add'l)	07/09/18	J		
47.					Buy (add'l)	08/27/18	J		
48.					Buy (add'l)	10/02/18	J		
49. Wisdom Tree U.S. Small Cap. Div. Growth ETF (DGRS)	C	Dividend	M	T	Buy (add'l)	07/02/18	K		
50. Wisdom Tree Global ex-US Quality Dividend (DNL)	C	Dividend	M	T					
51. BBX Capital Corp New CI A (BBX)	A	Dividend	M	T	Buy	06/21/18	M		

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52. Equifax Inc (EFX)	A	Dividend	K	T					
53. General Electric Co (GE)	A	Dividend	J	T					
54. Brokerage #2 (H)									
55. ML Bank Deposit Program (Cash)	A	Interest	M	T					
56. BIF Money Fund (cash)	A	Dividend			Open	08/08/18	M		
57.					Closed	11/30/18	M		
58. ACS Actividades Cons-Uns (ACSAY)		None	K	T	Buy	06/12/18	K		
59.					Sold	11/26/18	K		
60.					Buy	12/28/18	K		
61. Adobe Systems Inc (ADBE)		None	K	T	Sold (part)	03/27/18	J	B	
62.					Sold (part)	04/13/18	J	A	
63.					Sold (part)	06/28/18	J	A	
64.					Sold (part)	09/28/18	J	A	
65. Aercap Holdings N.V. SHS (AER)		None	K	T	Buy	06/12/18	K		
66.					Sold	11/26/18	K		
67.					Buy	12/28/18	K		
68. Aetna Inc (AET)	A	Dividend			Sold (part)	02/12/18	J	C	

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69.					Sold	11/29/18	J	C	
70. AIA Group LTD (AAGIY)	A	Dividend	K	T	Buy	06/13/18	K		
71.					Sold	11/26/18	K		
72.					Buy	12/28/18	K		
73. Air Liquide ADR France ADR (AIQUY)	A	Dividend			Sold (part)	04/10/18	J	A	
74.					Sold	06/12/18	K	C	
75. Air Prod & Chemical INC (APD)	A	Dividend			Sold (part)	02/12/18	J	A	
76.					Sold (part)	06/11/18	J	A	
77.					Sold (part)	07/25/18	J	A	
78.					Sold (part)	07/26/18	J	A	
79.					Sold	07/30/18	J	A	
80. Airbus SE (EADSY)	A	Dividend	K	T	Sold (part)	04/05/18	J	A	
81.					Buy (add'l)	06/12/18	J		
82.					Sold (part)	07/23/18	J	A	
83.					Sold (part)	11/26/18	J		
84.					Buy (add'l)	12/28/18	J		
85. Akzo Nobel N V Sponsored ADR (AKZOY)	A	Dividend			Buy	04/10/18	J		

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86.					Sold	06/12/18	J		
87. Alexion Pharmaceuticals INC (ALXN)		None	J	T	Sold (part)	11/26/18	J		
88.					Buy (add'l)	12/28/18	J		
89. Allergan PLC (AGN)		None			Sold (part)	02/12/18	J		
90.					Sold	02/21/18	J		
91. Align Tech Inc Del Com (ALGN)		None	J	T	Buy	02/12/18	J		
92.					Buy (add'l)	07/30/18	J		
93.					Buy (add'l)	10/09/18	J		
94.					Buy (add'l)	10/17/18	J		
95.					Buy (add'l)	10/29/18	J		
96.					Sold	11/26/18	J		
97.					Buy	12/28/18	J		
98. Alphabet Inc CL C (GOOG)		None	K	T	Sold (part)	02/12/18	J	B	
99.					Sold (part)	03/27/18	J	B	
100.					Sold (part)	06/28/18	J	A	
101.					Sold (part)	09/28/18	J	A	
102. Altria Group Inc (MO)	A	Dividend	J	T	Buy	02/12/18	J		

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103.					Buy (add'l)	07/13/18	J		
104.					Buy (add'l)	07/18/18	J		
105.					Sold (part)	08/21/18	J		
106.					Sold	11/26/18	J		
107.					Buy	12/28/18	J		
108. Amazon.com INC (AMZN)		None	K	T	Sold (part)	02/12/18	J	B	
109.					Sold (part)	03/27/18	J	B	
110.					Sold (part)	06/28/18	J	B	
111.					Sold (part)	09/28/18	J	B	
112. Ambev SA SHS ADR (ABEV)	A	Dividend			Buy	06/12/18	K		
113.					Sold	06/28/18	K		
114. American International Group Com New (AIG)	A	Dividend	K	T	Buy (add'l)	05/11/18	J		
115.					Buy (add'l)	11/09/18	J		
116.					Sold	11/26/18	K		
117.					Buy	12/28/18	K		
118. American Tower Corp. REIT (AMT)	A	Dividend	K	T	Sold (part)	02/12/18	J	A	
119.					Sold (part)	03/27/18	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Anadarko Pete Corp (APC)	A	Dividend	J	T	Buy	05/24/18	J		
121.					Buy (add'l)	05/25/18	J		
122.					Sold	11/26/18	J		
123.					Buy	12/28/18	J		
124. Anheuser Busch INBEV Spon ADR (BUD)	A	Dividend			Sold	06/12/18	J		
125. Anthem Inc. (ANTM)	A	Dividend	K	T					
126. Apple Inc (AAPL)		None	J	T	Buy	12/24/18	J		
127. Arcelormittal SA NY Registered Foreign Stock (MT)	A	Dividend	K	T	Sold (part)	02/14/18	J	B	
128.					Buy (add'l)	06/12/18	J		
129.					Buy (add'l)	10/17/18	J		
130.					Sold (part)	11/26/18	J		
131.					Buy (add'l)	12/28/18	J		
132. Astrazeneca PLC Spon ADR (AZN)	B	Dividend	K	T	Sold (part)	02/12/18	J	A	
133. Australia & New Zealand Banking Group (ANZBY)	A	Dividend			Sold	06/14/18	K	A	
134. AXA Spons ADR (AXAHY)	B	Dividend	K	T	Buy (add'l)	06/12/18	J		
135.					Sold (part)	11/26/18	J		
136.					Buy (add'l)	12/28/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. AXA Equitable Holdings Inc (EQH)		None	J	T	Buy	12/18/18	J		
138.					Buy (add'l)	12/19/18	J		
139. BAE Systems PLC Sponsored ADR (BAESY)	A	Dividend	K	T	Buy	06/12/18	K		
140.					Sold	11/26/18	J		
141.					Buy	12/28/18	K		
142. Baidu Inc Sponsored ADR (BIDU)		None	K	T	Buy	06/12/18	K		
143.					Sold (part)	08/09/18	J		
144.					Sold (part)	08/10/18	J		
145.					Sold	11/26/18	J		
146.					Buy	12/28/18	K		
147. Banco Santander SA ADR (SAN)	A	Dividend	K	T	Buy (add'l)	06/12/18	K		
148.					Sold	11/26/18	K		
149.					Buy	12/28/18	K		
150. BASF SE Sponsored ADR (BASFY)	A	Dividend	K	T	Buy	02/14/18	J		
151.					Sold	06/12/18	J		
152.					Buy	09/26/18	K		
153.					Sold	11/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.					Buy	12/28/18	K		
155. Bayer AG Sp ADR (BAYRY)	A	Dividend			Buy (add'l)	06/15/18	J		
156.					Buy (add'l)	06/22/18	J		
157.					Buy (add'l)	06/25/18	J		
158.					Buy (add'l)	06/26/18	J		
159.					Buy (add'l)	06/27/18	J		
160.					Sold	08/24/18	K		
161. BHP Billiton PLC Sponsored ADR (BBL)	A	Dividend	K	T	Buy	06/12/18	K		
162.					Buy (add'l)	11/14/18	J		
163.					Sold	11/26/18	K		
164.					Buy	12/28/18	K		
165. Biomarin Pharmaceutical Inc (BMRN)		None	J	T					
166. BNP Paribas Sa ADR (BNPQY)	A	Dividend			Sold	06/12/18	K	B	
167. Booking Holdings Inc (BKNG)		None	K	T	Sold (part)	03/27/18	J	A	
168.					Sold (part)	11/26/18	J		
169. BP PLC Spon ADR (BP)	B	Dividend	K	T	Buy	02/12/18	J		
170.					Buy (add'l)	06/08/18	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Buy (add'l)	06/11/18	J		
172.					Sold	11/26/18	K		
173.					Buy	12/28/18	K		
174. Bridgestone Corp LTD ADR Japan (BRDCY)	A	Dividend			Sold	06/13/18	J	A	
175. Brighthouse Finl Inc Reg (BHF)		None			Sold (part)	02/08/18	J		
176.					Sold	11/26/18	J		
177. British AMN Tobaco SPADR (BTI)	A	Dividend	K	T	Buy	02/12/18	J		
178.					Buy (add'l)	06/12/18	K		
179.					Sold (part)	06/15/18	J		
180.					Sold (part)	06/25/18	J		
181.					Sold	11/26/18	J		
182.					Buy	12/28/18	K		
183. Canadian Natural Resources LTD (CNQ)	A	Dividend			Buy	06/12/18	K		
184.					Sold (part)	10/01/18	J		
185.					Sold	10/02/18	J		
186. Cardinal Health Inc Ohio (CAH)	A	Dividend	J	T	Buy	02/12/18	J		
187.					Sold (part)	09/17/18	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188.					Sold	11/26/18	J		
189.					Buy	12/28/18	J		
190. Carlsberg AS Sponsored ADR (CABGY)		None	K	T	Buy	06/12/18	K		
191.					Sold	11/26/18	K		
192.					Buy	12/28/18	K		
193. Carrefour SA (CRRFY)	A	Dividend			Buy	06/12/18	K		
194.					Sold	07/13/18	K		
195. CDW Corp (CDW)	A	Dividend	J	T	Buy	02/12/18	J		
196.					Buy (add'l)	02/28/18	J		
197.					Buy (add'l)	03/01/18	J		
198.					Buy (add'l)	03/23/18	J		
199.					Sold (part)	11/13/18	J	A	
200.					Sold (part)	11/14/18	J	A	
201.					Sold (part)	12/17/18	J	A	
202.					Sold (part)	12/20/18	J	A	
203. Celgene Corp. (CELG)		None	J	T	Sold (part)	02/12/18	J	A	
204.					Sold (part)	08/09/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
205.					Sold (part)	08/29/18	J	A	
206.					Sold (part)	08/31/18	J	A	
207.					Sold (part)	11/05/18	J		
208.					Sold	11/26/18	J		
209.					Buy	12/28/18	J		
210. Chevron Corp (CVX)	A	Dividend			Sold (part)	02/12/18	J	C	
211.					Sold (part)	05/11/18	J	A	
212.					Sold	08/06/18	J	A	
213. Chubb Ltd CHF (CB)	A	Dividend	K	T	Sold (part)	02/12/18	J	A	
214.					Sold (part)	03/27/18	J	A	
215.					Sold (part)	11/26/18	J		
216. Cisco Systems Inc Com (CSCO)	A	Dividend	J	T	Buy	02/12/18	J		
217. Citigroup Inc (C)	A	Dividend	K	T	Sold (part)	02/12/18	J	A	
218.					Buy (add'l)	09/17/18	J		
219.					Sold (part)	09/28/18	J	A	
220.					Sold (part)	11/26/18	J		
221.					Buy (add'l)	12/28/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. CNOOC Ltd. Spon ADR (CEO)	A	Dividend			Sold (part)	02/14/18	J	A	
223.					Sold (part)	04/10/18	J	A	
224.					Sold	06/12/18	J	B	
225. CK Hutchinson Holdings (CKHUY)	A	Dividend			Buy	06/13/18	K		
226.					Sold (part)	11/07/18	J		
227.					Sold (part)	11/08/18	J		
228.					Sold (part)	11/09/18	J		
229.					Sold	11/13/18	J		
230. Cognizant Tech Solutions A (CTSH)	A	Dividend	J	T	Buy	06/15/18	J		
231.					Sold	11/26/18	J		
232.					Buy	12/28/18	J		
233. Comcast Corp New Cl A (CMCSA)	A	Dividend	K	T	Buy (add'l)	07/13/18	J		
234.					Sold (part)	12/13/18	J	B	
235. Concho Resources Inc (CXO)		None	J	T	Sold (part)	02/08/18	J	A	
236.					Sold (part)	11/26/18	J		
237.					Buy (add'l)	12/28/18	J		
238. Costco Wholesale Corp (COST)	A	Dividend	K	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
239. Credit Suisse Group Spon ADR		None			Sold (part)	02/14/18	J	A	
240.					Sold	06/12/18	J	A	
241. CRH Plc ADR (CRH)	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
242.					Sold	11/26/18	J		
243.					Buy	12/28/18	J		
244. Ctrip.com Intl LTD ADR (CTRP)		None			Buy	07/05/18	J		
245.					Buy (add'l)	07/06/18	J		
246.					Sold	11/15/18	J		
247. CVS Health Corp (CVS)		None	J	T	Buy	11/29/18	J		
248.					Buy (add'l)	12/13/18	J		
249. Daimler LG ADR (DMLRY)		None	K	T	Buy	06/12/18	K		
250.					Sold	11/26/18	J		
251.					Buy	12/28/18	K		
252. Danone Sponsored ADR (DANOY)		None	K	T	Buy	10/09/18	K		
253.					Sold	11/26/18	K		
254.					Buy	12/28/18	K		
255. DBS Group Holdings LTD SPON ADR (DBSDY)	A	Dividend	K	T	Sold (part)	04/05/18	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
256.					Buy (add'l)	06/13/18	J		
257.					Sold (part)	11/26/18	J		
258.					Buy (add'l)	12/28/18	J		
259. Deutsche Boerse AG SHS (DBOEY)		None	K	T	Buy	06/12/18	K		
260.					Sold	11/26/18	K		
261.					Buy	12/28/18	K		
262. Deutsche Post AG SHS (DPSGY)		None	K	T	Buy	06/12/18	K		
263.					Buy (add'l)	07/13/18	J		
264.					Sold	11/26/18	K		
265.					Buy	12/28/18	K		
266. Devon Energy Corp New (DVN)	A	Dividend	J	T	Buy	05/14/18	J		
267.					Buy (add'l)	05/15/18	J		
268.					Sold (part)	06/26/18	J	A	
269.					Sold	11/26/18	J		
270.					Buy	12/28/18	J		
271. Diageo PLC New GB SPON ADR (DEO)	A	Dividend	J	T	Sold (part)	02/08/18	J	A	
272.					Sold (part)	06/15/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273. DNB ASA Sponsored ADR (DNHBY)		None	K	T	Buy	06/12/18	K		
274.					Sold	11/26/18	K		
275.					Buy	12/28/18	K		
276. Dollar General Corp New	A	Dividend	J	T	Sold (part)	02/08/18	J	A	
277.					Buy (add'l)	12/14/18	J		
278. Dowdupont Inc Com (DWDP)	A	Dividend	J	T	Sold (part)	02/12/18	J	A	
279.					Sold (part)	07/13/18	J	A	
280.					Sold (part)	11/09/18	J		
281.					Sold	11/26/18	J		
282.					Buy	12/28/18	J		
283. Keurig Dr. Pepper Inc (formerly Dr Pepper Snapple Group) (KDP)	D	Dividend			Sold (part)	02/12/18	J	A	
284.					Sold	07/16/18	J		
285. E.On SE ADR (EONGY)		None	K	T	Buy	06/12/18	K		
286.					Sold	11/26/18	K		
287.					Buy	12/28/18	K		
288. Enel SPA ADR (ENLAY)	A	Dividend			Sold	06/12/18	J	C	
289. Engie SHS (ENGIY)	A	Dividend	K	T	Buy	06/12/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
290.					Sold	11/26/18	K		
291.					Buy	12/28/18	K		
292. Equinix Inc. REIT (EQIX)	A	Dividend	J	T					
293. Essilorluxottica (formerly Essilor International) SA ADR (ESLOY)	A	Dividend	K	T	Buy	06/12/18	K		
294.					Sold	11/26/18	K		
295.					Buy	12/28/18	K		
296. Exelon Corp (EXC)	A	Dividend			Sold (part)	02/08/18	J	A	
297.					Sold	08/03/18	J	B	
298. Facebook Inc CL A (FB)		None	K	T	Sold (part)	03/27/18	J	B	
299.					Sold (part)	06/28/18	J	A	
300.					Sold (part)	09/28/18	J	A	
301. Fanuc LTD Unsponsored (FANUY)	A	Dividend	K	T	Buy	08/15/18	K		
302.					Sold	11/26/18	J		
303.					Buy	12/28/18	K		
304. Fast Retailing Co LTD (FRCOY)	A	Dividend			Buy	06/13/18	K		
305.					Sold	09/27/18	K	B	
306. Fastenal Co (FAST)	A	Dividend			Sold (part)	05/08/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
307.					Sold (part)	05/11/18	J	A	
308.					Sold (part)	05/15/18	J	A	
309.					Sold (part)	05/18/18	J	A	
310.					Sold (part)	06/04/18	J	A	
311.					Sold	06/07/18	J	A	
312. Ferguson PLC ADR (FERGY)	A	Dividend			Sold	06/12/18	J	B	
313. Firstenergy Corp (FE)	A	Dividend	K	T					
314. Gallagher Arthur J & Co (AJG)	A	Dividend	J	T	Buy	10/26/18	J		
315.					Buy (add'l)	10/29/18	J		
316. General Electric Co (GE)	A	Dividend			Buy (add'l)	02/12/18	J		
317.					Buy (add'l)	02/26/18	J		
318.					Buy (add'l)	09/19/18	J		
319.					Buy (add'l)	09/20/18	J		
320.					Sold	11/09/18	J		
321. GlaxoSmithKline PLC ADR (GSK)	A	Dividend			Buy	02/14/18	J		
322.					Sold	06/12/18	J	A	
323. Goldman Sachs Group Inc (GS)	A	Dividend	J	T	Sold (part)	02/12/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
324.					Sold	11/26/18	J		
325.					Buy	12/28/18	J		
326. Halliburton Company (HAL)	A	Dividend			Sold (part)	05/14/18	J	A	
327.					Sold	05/15/18	J	B	
328. Hess Corp (HES)	A	Dividend	J	T	Sold (part)	10/17/18	J	B	
329. Home Depot Inc (HD)	A	Dividend	K	T	Buy (add'l)	02/12/18	J		
330.					Sold (part)	03/27/18	J	A	
331.					Buy (add'l)	08/14/18	J		
332.					Sold (part)	11/26/18	J		
333.					Buy	12/28/18	J		
334. Honda Motor Co ADR Japan ADR (HMC)	A	Dividend			Buy (add'l)	02/14/18	J		
335.					Sold	06/12/18	J	B	
336. Honeywell International Inc (HON)	A	Dividend	J	T	Sold (part)	12/13/18	J	B	
337. HSBC Holding PLC (HSBC)	A	Dividend	K	T	Buy (add'l)	06/12/18	J		
338.					Sold	11/26/18	K		
339.					Buy	12/28/18	K		
340. Humana Inc (HUM)	A	Dividend	J	T	Buy	02/12/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

341.					Buy (add'l)	12/13/18	J		
342. Iberdrola SA ADR (IBDRY)	A	Dividend			Sold	06/12/18	J	A	
343. IHS Markit LTD SHS (INFO)		None	J	T	Buy	07/30/18	J		
344.					Buy (add'l)	07/31/18	J		
345.					Buy (add'l)	08/01/18	J		
346.					Buy (add'l)	10/17/18	J		
347.					Sold	11/26/18	J		
348.					Buy	12/28/18	J		
349. Illumina Inc Com (ILMN)		None	J	T					
350. Industria De Diseno (IDEXY)	A	Dividend	K	T	Buy	09/04/18	K		
351.					Sold	11/26/18	K		
352.					Buy	12/28/18	K		
353. Infineon Technologies ADR (IFNNY)	A	Dividend			Sold	06/12/18	J	B	
354. ING Group N V NL Spon ADR (ING)	A	Dividend			Sold	06/12/18	J	B	
355. Intercontinental Hotels Group PLC New Spon ADR (IHG)	A	Dividend			Sold	06/12/18	J	B	
356. Intesa San Paolo Spon ADR (ISNPY)	A	Dividend	K	T	Buy (add'l)	06/12/18	J		
357.					Sold	11/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Buy	12/28/18	K		
359. International Consolidated ADR (ICAGY)	A	Dividend	J	T	Buy	11/23/18	J		
360.					Buy (add'l)	11/26/18	J		
361. International Paper Co Common Stock (IP)	A	Dividend	J	T					
362. Intrapublic Group of CO (IPG)	A	Dividend			Sold (part)	07/18/18	J	A	
363.					Sold (part)	09/28/18	J		
364.					Sold	10/19/18	J	A	
365. Invesco Ltd (IVZ)		None			Sold	02/14/18	J	A	
366. ITV PLC ADR (ITVPY)	A	Dividend			Sold	06/12/18	J	A	
367. Japan Tobacco Inc ADR (JAPAY)	A	Dividend			Sold	06/13/18	J		
368. Johnson Controls International (JCI)	A	Dividend	J	T	Buy	08/07/18	J		
369.					Buy (add'l)	08/08/18	J		
370.					Buy (add'l)	11/09/18	J		
371.					Buy (add'l)	11/13/18	J		
372.					Sold	11/26/18	J		
373.					Buy	12/28/18	J		
374. JPMorgan Chase & Co (JPM)	B	Dividend	K	T	Sold (part)	02/12/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
375.					Sold (part)	05/11/18	J	C	
376.					Sold (part)	09/28/18	J	A	
377.					Sold (part)	12/13/18	J	C	
378.					Sold (part)	12/18/18	J	B	
379. Julius Baer Group ADR (JBAXY)		None	K	T	Buy	06/12/18	K		
380.					Buy (add'l)	10/17/18	J		
381.					Sold	11/26/18	K		
382.					Buy	12/28/18	K		
383. KBC Group NV ADR (KBCSY)	A	Dividend			Sold	06/12/18	J	B	
384. Kellogg CO (K)	A	Dividend	J	T					
385. Keycorp New (KEY)	A	Dividend			Sold (part)	03/16/18	J	B	
386.					Sold	09/17/18	J	B	
387. Koninkluke Philips NV Spon ADR	A	Dividend	K	T	Sold (part)	02/08/18	J	A	
388.					Buy (add'l)	11/13/18	J		
389.					Sold (part)	11/26/18	J		
390. Kroger Company (KR)	A	Dividend			Sold (part)	07/17/18	J		
391.					Sold	08/03/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

392. Kubota Corp ADR (KUBTY)		None	K	T	Buy	07/11/18	J		
393.					Buy (add'l)	07/12/18	J		
394.					Buy (add'l)	07/13/18	J		
395.					Buy (add'l)	07/16/18	J		
396.					Buy (add'l)	07/17/18	J		
397. Lafargeholcim LTD Unsponsored ADR	A	Dividend			Sold	06/12/18	J	A	
398. Lloyds Banking Group PLC (LYG)	A	Dividend	K	T	Buy	02/14/18	J		
399.					Buy (add'l)	06/12/18	K		
400.					Buy (add'l)	11/14/18	J		
401.					Buy (add'l)	11/15/18	J		
402.					Sold	11/26/18	K		
403.					Buy	12/28/18	K		
404. Lockheed Martin Corp (LMT)	A	Dividend	J	T	Sold (part)	02/12/18	J	B	
405.					Sold (part)	03/15/18	J	A	
406.					Buy (add'l)	12/13/18	J		
407. Lowe's Companies Inc (LOW)	A	Dividend	J	T					
408. Marathon Oil Corp (MRO)	A	Dividend	J	T	Buy	05/29/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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ROSS, ALLYNE R.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
409.					Sold (part)	06/26/18	J		
410.					Sold	11/26/18	J		
411.					Buy	12/28/18	J		
412. Marathon Petroleum Co (MPC)	A	Dividend	J	T	Buy (add'l)	08/06/18	J		
413.					Sold (part)	11/26/18	J		
414.					Buy (add'l)	12/28/18	J		
415. Marsh & McLennan Cos Inc (MMC)	A	Dividend	J	T					
416. Mastercard Inc (MA)	A	Dividend	K	T	Sold (part)	03/27/18	J	A	
417. Mattel Inc Com (MAT)		None	J	T	Sold (part)	06/26/18	J	A	
418.					Sold	11/26/18	J		
419.					Buy	12/28/18	J		
420. McKesson Corp Com (MCK)	A	Dividend	J	T	Buy (add'l)	03/13/18	J		
421.					Buy (add'l)	11/09/18	J		
422.					Sold	11/26/18	J		
423.					Buy	12/28/18	J		
424. Medtronic PLC SHS (MDT)	A	Dividend	K	T					
425. Merck & Co Inc New Com (MRK)	A	Dividend	K	T	Sold (part)	02/12/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. MetLife Inc (MET)	A	Dividend	K	T	Buy (add'l)	06/15/18	J		
427.					Buy (add'l)	09/17/18	J		
428.					Sold (part)	11/26/18	K		
429.					Buy	12/28/18	K		
430. Microsoft Corp (MSFT)	A	Dividend	K	T	Sold (part)	06/15/18	J	B	
431.					Sold (part)	07/13/18	J	B	
432. Mitsubishi UFJ Financial Group Inc (MTU)	A	Dividend			Sold	06/12/18	K	B	
433. Mondelez International (MDLZ)	A	Dividend	J	T	Buy	08/03/18	J		
434. Monster Beverage Corp New Com (MNST)		None	J	T	Buy (add'l)	03/09/18	J		
435.					Sold (part)	03/27/18	J	A	
436. Morgan Stanley (MS)	A	Dividend	K	T	Sold (part)	02/12/18	J	A	
437.					Sold (part)	11/09/18	J	B	
438. Motorola Solutions Inc (MSI)	A	Dividend	J	T					
439. Murata Manufacturing Co (MRAAY)		None	K	T	Buy	11/01/18	K		
440.					Buy (add'l)	11/14/18	J		
441.					Sold	11/26/18	K		
442.					Buy	12/28/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
443. Nextera Energy Inc Com (NEE)	A	Dividend	J	T	Sold (part)	02/12/18	J	C	
444.					Sold (part)	03/15/18	J	B	
445.					Sold (part)	11/09/18	J	B	
446. Nielsen Holdings PLC SHS (NLSN)	A	Dividend			Sold	08/07/18	J		
447. Nippon Telegraph & Tel Corp Spon ADR (NTTY)	A	Dividend			Sold	06/13/18	J	C	
448. Nordea Bank ABP SHS (formerly AB-Spon) ADR (NRDBY)		None	K	T	Buy	09/06/18	K		
449.					Sold	11/26/18	K		
450.					Buy	12/28/18	K		
451. Norsk Hydro A.S. New Norway Spon ADR (NHYDY)	A	Dividend			Sold (part)	02/14/18	J	B	
452.					Sold	06/12/18	J	B	
453. Northrop Grumman Corp (NOC)	A	Dividend	J	T	Sold (part)	02/12/18	J	D	
454.					Sold (part)	02/26/18	J	C	
455.					Sold (part)	02/27/18	J	A	
456. Novartis AF Spon ADR (NVS)	A	Dividend	K	T	Sold	06/12/18	J		
457.					Buy	10/25/18	J		
458.					Buy (add'l)	10/26/18	J		
459.					Buy (add'l)	11/09/18	J		

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
460.					Sold	11/26/18	J		
461.					Buy	12/26/18	K		
462. Novo Nordisk A S ADR (NVO)	A	Dividend	J	T	Sold	11/26/18	J		
463.					Buy	12/26/18	J		
464. Nvidia (NVDA)	A	Dividend	J	T	Buy	04/09/18	J		
465.					Buy (add'l)	04/10/18	J		
466.					Buy (add'l)	04/30/18	J		
467.					Buy (add'l)	05/15/18	J		
468.					Buy (add'l)	08/21/18	J		
469.					Buy (add'l)	08/22/18	J		
470.					Buy (add'l)	11/02/18	J		
471.					Sold	11/26/18	J		
472.					Buy	12/28/18	J		
473. NXP Semiconductors N.V (NXPI)		None	J	T	Buy	12/18/18	J		
474. Oneok Inc (Oklahoma) (OKE)	A	Dividend	J	T	Buy	08/06/18	J		
475.					Buy (add'l)	11/09/18	J		
476.					Sold	11/26/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
477.					Buy	12/28/18	J		
478. Oracle Corp. (ORCL)	A	Dividend	K	T	Sold (part)	09/17/18	J	B	
479. Orange (ORAN)		None	K	T	Buy	06/12/18	K		
480.					Sold	11/26/18	K		
481.					Buy	12/28/18	K		
482. Otsuka Holdings Co LTD (OTSKY)	A	Dividend	J	T	Buy	06/13/18	K		
483.					Sold	11/26/18	K		
484.					Buy	12/28/18	K		
485. Panasonic Corp SHS (PCRFY)	A	Dividend			Buy	02/14/18	J		
486.					Sold	06/13/18	J		
487. Paypal Holdings Inc (PYPL)		None	K	T	Sold (part)	03/27/18	J	A	
488. Pepsico Inc (PEP)	A	Dividend	J	T	Buy	06/15/18	J		
489.					Sold (part)	06/26/18	J	A	
490.					Buy (add'l)	09/17/18	J		
491. Pfizer Inc Common Stock (PFE)	B	Dividend	L	T	Sold (part)	08/17/18	J	B	
492.					Sold (part)	09/28/18	J	A	
493.					Sold (part)	12/18/18	J	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
494. PG&E Corp (PCG)		None			Sold	11/19/18	J		
495. Philip Morris Intl Inc (PM)	A	Dividend	J	T	Buy (add'l)	06/12/18	K		
496.					Sold (part)	07/13/18	J		
497.					Sold (part)	11/02/18	J		
498. Praxair Inc (PX)		None			Sold	02/14/18	J	B	
499. Procter & Gamble Co (PG)	A	Dividend	J	T	Sold (part)	11/09/18	J	A	
500. Prudential Financial Inc (PRU)	A	Dividend			Buy (add'l)	03/19/18	J		
501.					Sold	06/15/18	J	A	
502. Prudential PLC ADR United Kingdom (PUK)	A	Dividend			Sold	06/12/18	K	C	
503. Public Service Enterprise Group Inc Common Stock (PEG)	A	Dividend	K	T	Sold (part)	11/09/18	J	A	
504. Qualcomm Inc Common Stock (QCOM)	A	Dividend	J	T	Sold (part)	11/26/18	J		
505.					Buy (add'l)	12/28/18	J		
506. Quest Diagnostics (DGX)	A	Dividend			Sold (part)	03/19/18	J	B	
507.					Sold	10/25/18	J	B	
508. Repsol YPF S A SPND ADR (RDSMY)		None	K	T	Buy	10/02/18	K		
509.					Buy (add'l)	11/13/18	J		
510.					Sold	11/26/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
511.					Buy	12/28/18	K		
512. Rio Tinto PLC Spon ADR (RIO)	A	Dividend			Sold	06/12/18	J	D	
513. Roche Holding LTD Spons ADR Switzerland ADR (RHHBY)	A	Dividend	K	T	Buy (add'l)	06/12/18	J		
514.					Sold (part)	11/26/18	J		
515. Royal DSM N V Sponsored ADR (RDSMY)	A	Dividend	K	T	Buy	06/12/18	K		
516.					Sold	11/26/18	K		
517.					Buy	12/28/18	K		
518. Royal Dutch Shell PLC Cl A (RDSA)	C	Dividend	K	T	Sold (part)	02/12/18	K	D	
519.					Buy (add'l)	02/14/18	K		
520.					Sold (part)	05/30/18	J	A	
521.					Sold (part)	05/31/18	J	B	
522.					Sold (part)	06/07/18	J	A	
523.					Sold (part)	06/08/18	J	B	
524.					Sold (part)	06/12/18	J	C	
525.					Sold (part)	08/06/18	J	A	
526.					Sold	11/26/18	K		
527.					Buy	12/28/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
528. Ryanair Holdings PLC Spon ADR (RYAAY)		None			Sold	06/12/18	J	C	
529. S&P Global Inc (SPGI)	A	Dividend	J	T					
530. Salesforce.com Inc (CRM)		None	K	T	Sold (part)	03/09/18	J	B	
531.					Sold (part)	03/12/18	J	B	
532.					Sold (part)	03/13/18	J	B	
533.					Sold (part)	03/27/18	J	A	
534.					Sold (part)	04/09/18	J	A	
535.					Sold (part)	06/28/18	J	A	
536.					Sold (part)	09/28/18	J	A	
537. Sanofi Spon ADR (SNY)	A	Dividend			Sold	06/12/18	J		
538. SAP SE SHS (SAP)		None	K	T	Buy	06/12/18	K		
539.					Buy (add'l)	11/07/18	J		
540.					Buy (add'l)	11/08/18	J		
541.					Sold	11/26/18	K		
542.					Buy	12/28/18	K		
543. Schlumberger LTD (SLB)	A	Dividend			Buy	06/12/18	K		
544.					Sold (part)	06/25/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
545.					Sold	11/26/18	J		
546. Schneider Electric SE Unsponsored ADR (SBGSY)	A	Dividend			Sold	06/12/18	J	C	
547. Schwab Charles Corp New (SCHW)	A	Dividend	K	T	Sold (part)	03/27/18	J	A	
548.					Sold (part)	11/23/18	J	A	
549.					Buy	12/28/18	J		
550. Secom Co LTD ADR (SOMLY)	A	Dividend	K	T	Buy	06/13/18	K		
551.					Sold (part)	11/07/18	J		
552. ServiceNow Inc (NOW)		None	K	T	Sold (part)	03/09/18	J	B	
553.					Sold (part)	03/27/18	J	B	
554.					Sold (part)	07/20/18	J	A	
555. Seven and I Holdings Co (SVNDY)	A	Dividend	K	T	Buy	06/13/18	K		
556.					Sold	11/26/18	K		
557.					Buy	12/28/18	K		
558. Shire PLC Spon ADR (SHPG)	A	Dividend			Sold (part)	02/14/18	J		
559.					Sold	06/12/18	J		
560. Siemens A G Spon Adr (SIEGY)	A	Dividend			Sold	06/12/18	J	B	
561. Smith & Nephew PLC Sponsored ADR New (SNN)	A	Dividend	K	T	Buy	06/12/18	K		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
562.					Sold	11/26/18	K		
563.					Buy	12/28/18	K		
564. Splunk Inc.(SPLK)		None	J	T	Sold (part)	02/12/18	J	A	
565.					Sold (part)	02/16/18	J	A	
566.					Sold (part)	02/20/18	J	A	
567.					Sold (part)	04/09/18	J	A	
568.					Sold (part)	06/18/18	J	A	
569. Starbucks Corp (SBUX)	A	Dividend			Sold (part)	02/12/18	J	A	
570.					Sold (part)	07/12/18	J	A	
571.					Sold (part)	07/27/18	J	A	
572.					Sold (part)	09/14/18	J	A	
573.					Sold (part)	09/17/18	J	A	
574.					Sold	09/18/18	J	A	
575. State Street Corp (STT)		None	J	T	Buy	10/17/18	J		
576.					Buy (add'l)	11/09/18	J		
577.					Sold	11/26/18	J		
578.					Buy	12/28/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
579. Sumitomo Mitsui Financial Spon ADR (SMFG)	A	Dividend	K	T	Buy (add'l)	06/12/18	K		
580.					Sold (part)	08/15/18	J	A	
581.					Sold (part)	08/16/18	J	A	
582.					Sold	11/26/18	K		
583.					Buy	12/28/18	K		
584. Sumitomo Mitsui Trust Holdings Spon ADR (SUTNY)	A	Dividend			Sold	06/13/18	J	B	
585. Suncor Energy Inc New CAD (SU)	A	Dividend	K	T	Sold (part)	02/12/18	J	A	
586.					Buy (add'l)	05/11/18	J		
587.					Sold (part)	11/26/18	J	A	
588.					Buy (add'l)	12/28/18	J		
589. SunTrust Banks Inc (STI)	A	Dividend	J	T	Sold (part)	02/12/18	J	A	
590.					Sold (part)	10/17/18	J	C	
591.					Sold (part)	12/19/18	J	B	
592. Swiss RE AG Shs (SSREY)	A	Dividend			Sold (part)	02/14/18	J	A	
593.					Sold	06/08/18	J		
594. Taiwan S Manufacturing ADR (TSM)	A	Dividend	K	T	Buy (add'l)	12/18/18	J		
595. Technip FMC PLC Reg Shs (FTI)	A	Dividend			Sold (part)	02/14/18	J	A	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Sold	06/12/18	J	A	
597. Telecom Italia SPA New Restg (TI)		None			Sold	06/12/18	J		
598. Teva Pharmaceuticals (TEVA)		None			Sold (part)	02/14/18	J		
599.					Sold	06/12/18	J		
600. The Priceline Group Inc (PCLN)		None			Buy (add'l)	02/12/18	J		
601.					Merged (with line 167)	02/27/18	K		
602. Toray Industries Inc ADR (TRYIY)	A	Dividend	K	T	Buy	06/13/18	K		
603.					Sold	11/26/18	K		
604.					Buy	12/28/18	K		
605. Total S.A. France Spon ADR (TOT)	B	Dividend	K	T	Sold (part)	02/12/18	K	D	
606.					Buy (add'l)	02/14/18	K		
607.					Sold (part)	05/30/18	J	B	
608.					Sold (part)	06/12/18	J	B	
609.					Sold	11/26/18	K	A	
610.					Buy	12/28/18	K		
611. Toyota (TM)	A	Dividend			Sold	06/12/18	J	A	
612. Transcanada Corp (Holding Co) (TRP)	A	Dividend			Sold	06/12/18	J		

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3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
613. Transunion (TRU)	A	Dividend	J	T	Buy (add'l)	02/15/18	J		
614.					Sold (part)	11/26/18	J		
615. Travelers Cos. Inc/The (TRV)	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
616.					Sold (part)	11/26/18	J		
617.					Buy (add'l)	12/28/18	J		
618. UBS Group AG Namens-Aktien (UBS)	A	Dividend			Buy	02/14/18	J		
619.					Sold	06/12/18	J		
620. Ulta Beauty Inc (ULTA)		None	J	T	Buy (add'l)	02/12/18	J		
621.					Buy (add'l)	02/14/18	J		
622.					Buy (add'l)	02/26/18	J		
623.					Sold (part)	03/23/18	J		
624. Unilever NV NY SHS New (UN)	A	Dividend	J	T	Sold (part)	02/12/18	J	A	
625.					Buy (add'l)	03/15/18	J		
626.					Sold (part)	09/17/18	J	A	
627.					Buy (add'l)	11/09/18	J		
628.					Buy (add'l)	11/13/18	J		
629. Union Pacific Corp (UNP)	A	Dividend	J	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. United Parcel Service Inc Cl (UPS)		None			Sold	02/14/18	J	A	
631. United Health Group Inc (UNH)	A	Dividend	J	T	Sold (part)	02/12/18	J	B	
632. US Bancorp Del (New) (USB)	A	Dividend	J	T	Sold (part)	11/09/18	J	B	
633.					Sold (part)	12/13/18	J	B	
634.					Sold (part)	12/18/18	J	A	
635.					Sold (part)	12/26/18	J	A	
636. Verizon Communciations Inc (VZ)	B	Dividend	L	T	Buy (add'l)	02/14/18	J		
637.					Buy (add'l)	05/11/18	J		
638.					Buy (add'l)	07/13/18	J		
639.					Buy (add'l)	07/17/18	J		
640.					Buy (add'l)	08/03/18	J		
641.					Sold (part)	09/28/18	J	A	
642.					Buy (add'l)	11/09/18	J		
643. Visa Inc. CL A (V)	A	Dividend	K	T	Sold (part)	03/27/18	J	B	
644.					Sold (part)	06/28/18	J	B	
645.					Sold (part)	09/28/18	J	B	
646. Vodafone Group PLC Spon ADR (VOD)	A	Dividend			Sold (part)	02/14/18	J	A	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647.					Sold	06/12/18	J		
648. Waste Connections Inc (WCN)	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
649. Wells Fargo & Co New (WFC)	B	Dividend	K	T	Sold (part)	02/12/18	J	A	
650.					Buy (add'l)	07/13/18	J		
651.					Buy (add'l)	09/17/18	J		
652.					Buy (add'l)	11/09/18	J		
653.					Sold (part)	11/26/18	J		
654.					Buy (add'l)	12/28/18	K		
655. West Pharmaceuticals Svcs Inc (WST)	A	Dividend	J	T	Buy (add'l)	02/12/18	J		
656. Williams Companies Del (WMB)	A	Dividend	K	T	Buy	05/29/18	J		
657.					Buy (add'l)	08/06/18	J		
658.					Buy (add'l)	10/17/18	J		
659.					Sold	11/26/18	K		
660.					Buy	12/28/18	K		
661. Xylem Inc SHS (XYL)	A	Dividend	J	T	Buy	08/28/18	J		
662.					Buy (add'l)	08/29/18	J		
663.					Buy (add'l)	10/17/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

05/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
664.					Sold	11/26/18	J		
665.					Buy	12/28/18	J		
666. Zoetis Inc (ZTS)	A	Dividend	K	T	Buy (add'l)	02/12/18	J		
667.					Buy (add'l)	05/07/18	J		
668. Zurich Ins Group Ltd Spon ADR (ZURVY)	A	Dividend			Sold (part)	02/14/18	J	A	
669.					Sold	06/12/18	J	B	
670. 3M Co. (MMM)	A	Dividend	J	T	Sold (part)	02/12/18	J	B	
671.					Sold (part)	02/26/18	J	B	
672.					Buy (add'l)	05/11/18	J		
673.					Buy (add'l)	08/17/18	J		
674.					Sold (part)	11/26/18	J		
675.					Buy (add'l)	12/28/18	J		
676. JP Morgan International Value SMA Fund (JTIVX)		None			Sold (part)	02/13/18	J		
677.					Sold	06/13/18	M	E	
678. iShares Russell 1000 (IWF)	A	Dividend			Buy	11/26/18	L		
679.					Sold	12/28/18	L		
680. iShares TR Core MSCI EAF (IEFA)	D	Dividend			Buy	11/26/18	O		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681.					Sold	12/28/18	O		
682. Vanguard Value ETF (VTV)	B	Dividend			Buy	11/26/18	N		
683.					Sold	12/28/18	N		
684. Brokerage #3 (H)									
685. Triborough Bridge & Tunnel Authority Bonds (2)	B	Interest			Redeemed	11/15/18	L	A	
686. Massachusetts Bond (576004FN0)	B	Interest			Sold	04/12/18	K	A	
687. NY State Dormitory Authority Bond (6499054J2)	C	Interest	L	T					
688. NYC Transit Finance Authority Future (64971M7C0)	B	Interest			Sold	07/25/18	L	A	
689. NY State Dormitory Bond (649905TP2)	B	Interest	L	T					
690. Metropolitan Transit Authority Bond (59259ND83)	B	Interest	L	T					
691. Dormitory Auth of NY Bond (649906DJ0)	A	Interest	K	T					
692. New York Thruway Bond (65009TL7)	C	Interest			Redeemed	04/09/18	K		
693. NY State Environmental Bond (64985HKC0)	B	Interest	L	T					
694. 34th Street Partnership Bond (884286BX2)	C	Interest	L	T					
695. Bay Shore NY Union Free School District (072581JX0)	B	Interest	L	T					
696. Hudson Yd Infra Corp NY (44420RAD2)	B	Interest	K	T	Buy	01/11/18	K		
697. Erie NY Indl Dev Agy Sch FC RV Ref (29509PJC4)		None	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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Name of Person Reporting

ROSS, ALLYNE R.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. University California Revs (91412G2U8)		None	K	T					
699. Tampa Florida Bond (875290WQ7)	B	Interest	L	T					
700. Erie County NY Bond	B	Interest	L	T					
701. Sales Tax Asset (794665FM6)	B	Interest	L	T	Buy	04/24/18	L		
702. Shenendehowa NY Bond (823194F75)	B	Interest	K	T					
703. Matanuska-Susitna Boro (576544S68)	B	Interest	L	T					
704. NY St Dorm Auth Sales Tax (64990AEY7)	A	Interest	K	T					
705. NYC Transit Bond (64972HVG4)	C	Interest	L	T					
706. NYC Muni WTR in Authority Bond (No.1) (64972GJE5)		None			Sold	01/18/18	K	A	
707. Port Auth N Y & N J Cons (73358WRU0)	B	Interest	L	T	Buy	08/02/18	L		
708. Chicago O'Hare Airport Bond (167593RF1)	B	Interest	K	T					
709. New York NY Mun WFA (64972GPL2)	A	Interest	K	T	Buy	11/20/18	K		
710. NY St Dorm Auth RE (64990CDS7)	C	Interest	L	T					
711. Hudson Yd Infra Corp NY (44420RAT7)	B	Interest	K	T	Buy	02/05/18	K		
712. New York NY City TFA Rev (64971XCN6)		None	K	T	Buy	11/16/18	K		
713. Brokerage #4 (H)									
714. Fidelity Int'l Small Cap (FISMXX)	D	Dividend	M	T	Buy (add'l)	06/14/18	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
715.					Buy (add'l)	09/28/18	L		
716. Fidelity Zero International Index (FZILX)	A	Dividend	K	T	Buy	08/15/18	K		
717. Fidelity Zero Total Market Index (FZROX)	A	Dividend	K	T	Buy	08/15/18	K		
718. Dodge & Cox Global Stock Fund (DODWX)	E	Dividend	O	T					
719. Dodge & Cox Stock (DODGX)	E	Dividend	N	T					
720. Wisdomtree TR US Midcap Dividend (DON)	B	Dividend	M	T					
721. Private Funds (H)									
722. Greenwich Friess Long/Short Fund		None	P1	T					
723. Oak Hill Capital Partners		None	N	T	Buy (add'l)	09/18/18	L		
724. Park Overlook Equities Fund		None	O	T					
725. Trishield Special Situations Fund		None	J	T	Sold (part)	06/21/18	N	G	
726. WFG-WPX Partners LLC	E	Distribution	M	T					

1 Income Gain Codes:	A =\$1,000 or less	B =\$1,001 - \$2,500	C =\$2,501 - \$5,000	D =\$5,001 - \$15,000	E =\$15,001 - \$50,000
(See Columns B1 and D4)	F =\$50,001 - \$100,000	G =\$100,001 - \$1,000,000	H1 =\$1,000,001 - \$5,000,000	H2 =More than \$5,000,000	
2 Value Codes	J =\$15,000 or less	K =\$15,001 - \$50,000	L =\$50,001 - \$100,000	M =\$100,001 - \$250,000	
(See Columns C1 and D3)	N =\$250,001 - \$500,000	O =\$500,001 - \$1,000,000	P1 =\$1,000,001 - \$5,000,000	P2 =\$5,000,001 - \$25,000,000	
	P3 =\$25,000,001 - \$50,000,000		P4 =More than \$50,000,000		
3 Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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Name of Person Reporting

ROSS, ALLYNE R.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII: Updated header names are not indicative of changes in holdings.

Part VII, line 2: No underlying investment options.

Part VII, line 4: Following a reportable transaction, this asset became unreportable without a corresponding reportable transaction. (Y)

Part VII, lines 5, 6, 21, 22, 24, and 28: Name changes/corporate exchanges only; no reportable transactions. See 2017, lines 45, 48, 74, 75, 78, and 84, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

Part VII, lines 4, 81, and 103 (2017): These items are not reportable in 2018; there are no corresponding reportable transactions.

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

05/29/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ ALLYNE R. ROSS

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544