

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2019

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gibney, John A.	2. Court or Organization District Court-Eastern District of Virginia	3. Date of Report 08/05/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2019 to 12/31/2019
	5b. ☐ Amended Report	
7. Chambers or Office Address United States Courthouse 701 East Broad Street Suite 6014 Richmond, Virginia 23219		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Emeritus, Board of Directors	Virginia Judges and Lawyers Assistance Program
2. Adjunct Faculty Member	College of William and Mary
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Gibney, John A.

Date of Report

08/05/2020

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2019	College of William and Mary salary for teaching	\$2,999.97
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2019	Hanover County Attorney's Office - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	April 14-16, 2019	Dallas, Texas	Director's meeting	meals and lodging
2. Virginia Bar Association	September 12-14	Leesburg, Virginia	Speak at CLE	mileage, meal, and lodging
3.				
4.				
5.				

Name of Person Reporting	Date of Report
Gibney, John A.	08/05/2020

V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Gibney, John A.

Date of Report

08/05/2020

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Account									
2. AT&T	C	Dividend	L	T					
3. Coca-Cola	A	Dividend	J		Donated (part)				
4. CSX	C	Dividend	M	T	Sold (part)	10/04/19	K	E	
5.					Donated (part)				
6. PNC Financial Services Common Stock	B	Dividend	L	T					
7. PPL Corp	C	Dividend	L	T	Sold (part)	12/13/19	K	D	
8. Exxon(See Part VIII, Note 1)	D	Dividend	K	T	Sold (part)	01/12/19	K	C	
9.					Sold (part)	06/05/19	K	D	
10. American Funds, AMCAP CL A	D	Dividend	M	T					
11. American Funds, American Balanced Fund CI A	A	Dividend	K	T					
12. American Funds, American Mutual Fund CI A	B	Dividend	K	T					
13. American funds, Capital World Growth and Income Fund	A	Dividend	K	T					
14. American Funds, Fundamental Investors Fund CI A	A	Dividend	K	T					
15. American Funds, New Perspective Fund CI A	D	Dividend	M	T					
16. American Funds, New World Fund CL A	A	Dividend	K	T					
17. American Funds, Washington Mutual Investors Fund CI A	D	Dividend	L	T					

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. BB&T Interest Account	A	Interest	J	T					
19. Vanguard Total Stock Mkt	A	Dividend	K	T					
20. Bank of America Bank Accounts	A	Interest	J	T					
21. Northwestern Mutual Whole Life Insurance Policy	E	Dividend	N	T					
22. IRA 1(H)									
23. -John Hancock Income Securities Trust Fund	A	Dividend	J	T					
24. -Monmouth Real Estate Investment Corp.	A	Dividend	K	T					
25. -American Funds, Amcap CI A Fund (See Part VIII, Note 2)	A	Dividend	J	T	Sold (part)	01/29/19	J		
26. -American Funds, American Balanced CI A Fund	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
27.					Buy (add'l)	08/05/19	J		
28. -American Funds, American Mutual Fund CI F3	A	Dividend	K	T	Buy (add'l)	01/29/19	J		
29. -American Funds, Capital World -Growth and income CI A Fund	A	Dividend	K	T	Sold (part)	01/29/19	J		
30. -American Funds, Fundamental Investors CI A Fund	A	Dividend	J	T	Sold (part)	01/29/19	J		
31. -American Funds, Intermediate Bond Fund	A	Dividend	L	T	Buy	01/28/19	L		
32.					Buy (add'l)	08/05/19	J		
33. -American Funds, New Perspective CI A Fund	A	Dividend	K	T	Sold (part)	01/29/19	J		
34.					Sold (part)	08/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
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35. -American Funds, New World Cl A Fund	A	Dividend	L	T	Sold (part)	01/28/19	J		
36. -American Funds Growth Fund of America	A	Dividend	K	T	Sold (part)	01/28/19	K		
37. -American Funds, Small Cap World	A	Dividend	K	T	Sold (part)	01/28/19	J		
38.					Sold (part)	08/05/19	J		
39. -BB&T Interest Bearing Account	A	Interest	J	T					
40. IRA 2(H)									
41. -American Funds, Amcap Cl A Fund (See Part VIII, Note 3)	A	Dividend	L	T	Sold (part)	01/29/19	K		
42. -American Funds, American Balanced Cl A Fund	B	Dividend	M	T	Sold (part)	01/29/19	J		
43. -American Funds, American Mutual Cl A Fund	B	Dividend	M	T	Buy (add'l)	01/29/19	J		
44. -American Funds, Capital World -Growth and income Cl A Fund	B	Dividend	L	T	Buy (add'l)	01/29/19	J		
45. -American Funds, Fundamental Investors Cl A Fund	B	Dividend	L	T	Sold (part)	01/29/19	K		
46. -American Funds, Growth Fund of America Cl A Fund	A	Dividend	L	T	Sold (part)	01/28/19	J		
47. -American Funds, Intermediate Bond Fund	A	Dividend	L	T	Buy	01/28/19	L		
48.					Buy (add'l)	08/05/19	J		
49. -American Funds, New Perspective Cl A Fund	A	Dividend	M	T	Sold (part)	01/28/19	J		
50.					Sold (part)	01/28/19	J		
51. -American Funds, New World Cl A Fund	A	Dividend	L	T	Sold (part)	01/28/19	J		

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Page 7 of 11

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52. -American Funds Small Cap World	A	Dividend	K	T	Sold (part)	01/28/19	J		
53.					Sold (part)	08/05/19	J		
54. -Cisco Systems, Inc.	A	Dividend	K	T					
55. -Corning, Inc.	A	Dividend	J	T					
56. -Exxon	A	Dividend	K	T					
57. -Microsoft	A	Dividend	K	T					
58. -Proctor & Gamble	A	Dividend	J	T					
59. -Qiagen NV Regular Shares	A	Dividend			Sold	10/08/19	K	A	
60. -Sector Technology Select Sector SPDR	A	Dividend	J	T					
61. -Walgreen Company	A	Dividend	J	T					
62. -BB&T Interest Bearing Account	A	Interest	K	T					
63. IRA 3(H)									
64. -Vanguard Total Stock Mkt Index	A	Dividend	L	T					
65. IRA 4(W)									
66. -American Funds Amcap Cl A Fund	A	Dividend	K	T	Sold (part)	01/28/19	J		
67. -American Funds American Balanced Fund Cl A	A	Dividend	K	T	Buy (add'l)	01/28/19	J		
68.					Buy (add'l)	08/05/19	J		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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69. -American Funds American Mutual Cl A	A	Dividend	K	T	Buy (add'l)	01/28/19	J		
70.					Buy (add'l)	08/05/19	J		
71. -American Funds Capital World Growth and Income Cl A	A	Dividend	K	T	Buy (add'l)	01/28/19	J		
72.					Sold (part)	08/15/19	J		
73. -American Funds Fundamental Investors Cl A (Note 2)	A	Dividend	K	T	Sold (part)	01/28/19	J		
74. -American Funds Growth Fund of America Cl A	A	Dividend	J	T	Sold (part)	01/28/19	J		
75. -American Funds Intermediate Bond Fund	A	Dividend	K	T	Buy	01/28/19	K		
76.					Buy (add'l)	08/05/19	J		
77. -American Funds New Perspective Fund Cl A	A	Dividend	K	T	Buy (add'l)	01/28/19	J		
78.					Sold (part)	08/05/19	J		
79. -American Funds New World Cl A	A	Dividend	J	T	Sold (part)	01/28/19	J		
80. -American Funds American Small Cap World Cl A	A	Dividend	J	T	Sold (part)	01/28/19	J		
81. 457 Plan (W)									
82. -Lincoln Stable Value Mutual Fund	A	Interest	L	T					
83. -Am FDS Europacific GR R4	A	Dividend	L	T					
84. -Fidelity 500 Index	B		M	T	Buy	06/21/19	M		
85. -JP Morgan Us Equity	A	Dividend	L	T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. -Invesco Equity Income	B	Dividend	M	T					
87. -Vanguard Mid Cap Index ADM	C	Dividend	M	T					
88. -Vanguard Total Bond	A	Dividend	K	T					
89. -Vanguard Institutional Index	D	Dividend			Sold	06/21/19	M		
90. Virginia Credit Union Bank Account	A	Interest	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Gibney, John A.

Date of Report

08/05/2020

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part VII Line 8. Exxon was inadvertently omitted from 2018 report.
2. Part VII beginning at Line 25 and following in all and purchases sales of mutual funds. The amounts in mutual funds are based in part of reinvestment of dividends. For mutual funds held in IRAs and 457 account, purchases of the funds occurred on a monthly basis over many years. Because of these facts, it is impossible to determine the precise amount of gain from a sale. No monthly purchase of any particular asset exceeded \$1,000.00.
3. Part VII Line 41. IRAs 2 and 4 consist in part of rollovers from 401k plans. In 401K plans and the 457 plan, monthly purchases occurred from employer and employee contributions related to salary. This makes it impossible to determine the precise amount of gain from sales.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John A. Gibney**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544