

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2018**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Morgan, Henry C.	2. Court or Organization U.S. District Court, EDVA	3. Date of Report 01/14/2020
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2018 to 12/31/2018
	5b. ☒ Amended Report	
7. Chambers or Office Address 600 Granby Street, Room 307 Norfolk, Virginia 23510		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions)*

☐ NONE *(No reportable positions.)*

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Regent University, School of Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Regent University, School of Law, teaching income	\$6,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Real Estate Sales, self-employed realtor
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Virginia CLE	1/9/18-01/11/18	Charlottesville, VA	Educational Seminar	Transportation, Lodging, Meals
2.	Virginia CLE	12/5/18-12/6/18	Charlottesville, VA	Educational Seminar	Transportation, Lodging, Meals
3.					
4.					
5.					

Name of Person Reporting	Date of Report
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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐

 NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. UBS Financial Services Accounts (H)					Closed	02/13/18			
2. Raymond James Accounts (H)					Open	02/13/18			
3. UBS Financial Services - IRA Rollover (H)					Closed	02/13/18			
4. Raymond James - IRA (H)					Open	02/13/18			
5. - Dollar Tree Inc. (Common)		None	J	T					
6. - Eaton Vance TX Mining (Mutual Fund) (X)	A	Dividend	J	T	Buy	04/04/18	J		
7. - JPMorgan Chase & Co (Common)	A	Dividend	K	T					
8. - Mylan N V Eur (Common)		None	J	T					
9. - Price T Rowe Group Inc (Common)	A	Dividend	J	T					
10. - TowneBank Portsmouth VA	A	Dividend	J	T					
11. - United Technologies Corp. (Common)	A	Dividend	J	T					
12. - Visa Inc. (Common)	A	Dividend	K	T					
13. UBS Financial Services, Roth IRA 1 (H)					Closed	02/13/18			
14. UBS Financial Services, Roth IRA 2 (H)					Closed	02/13/18			
15. Raymond James - Roth IRA (H)					Open	02/13/18			
16. - Alphabet Inc Class A (Common)		None	J	T					
17. - Alphabet Inc Class C (Common)		None	J	T					

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Amazon.com Inc. (Common)		None	K	T					
19. - AT&T Inc. (Common)	A	Dividend	J	T					
20. - Boeing Company (Common)	A	Dividend	J	T					
21. - Capital One Financial Corp (Common)	A	Dividend	J	T					
22. - Eaton Vance TX Mining (Mutual Fund) (X)	A	Dividend	J	T	Buy	04/04/18	J		
23. - EcoLab Inc. (Common)	A	Dividend	J	T					
24. - Emerson Electric Co. (Common)	A	Dividend	J	T					
25. - Facebook Inc. (Common)		None	J	T					
26. - Intel Corp (Common)	A	Dividend	J	T					
27. - iRobot Corp. (Common)		None	L	T					
28. - Microsoft Corp. (Common)	A	Dividend	K	T					
29. - Rare Element Resources Ltd. (Common)		None	J	T					
30. - Seadrill Ltd US (Common)(Y)									
31. - TowneBank Portsmouth VA (Common)	E	Dividend	P1	T					
32.					Sold (part)	06/05/18	K		
33.					Buy (add'l)	08/06/18	K		
34.					Sold (part)	11/14/18	L		

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35.					Buy (add'l)	12/05/18	K		
36. TowneBank Checking Account	A	Interest	K	W					
37. Davenport Trust Company Accts. (H)									
38. - Abbott Labs (Common)	A	Dividend	J	T					
39. - Abbvie Inc. (Common)		None			Sold	02/09/18	J	A	
40. - Alibaba Group Holding (Common)		None			Buy (add'l)	05/07/18	J		
41.					Sold (part)	10/08/18	J		
42.					Sold	10/08/18	J		
43. - Analog Devices Inc (Common)	A	Dividend	J	T					
44. - Applied Materials (Common)		None			Buy	01/17/18	J		
45.					Sold	07/19/18	J		
46. - AT&T (Common)	A	Dividend			Sold	01/19/18	J	A	
47. - Bank of America (Common)					Buy	01/19/18	J		
48.					Buy (add'l)	11/08/18	J		
49. - Broadcom (Common)	A	Dividend			Sold (part)	01/19/18	J		
50.					Sold	07/12/18	J	A	
51. - Constellation Brands (Common)		None	J	T	Buy	08/21/18	J		

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2 Value Codes (See Columns C1 and D3)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	
3 Value Method Codes (See Column C2)					

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52. - Dollar Tree Corporation (Common)	A	Dividend	J	T					
53. - Facebook Inc Class A	A	Dividend			Sold	01/19/18	K	A	
54. - iRobot Corp (Common)	B	Dividend	J	T	Buy	04/20/18	J		
55.					Buy (add'l)	07/12/18	J		
56. - Johnson & Johnson (Common)	A	Dividend	J	T					
57. - Merck & Company (Common)	A	Dividend	J	T					
58. - Occidental Petroleum (Common)	A	Dividend	K	T					
59. - Philip Morris Intl Inc. (Common)		None			Sold	02/01/18	J		
60. - Qualcomm Inc. (Common)	A	Dividend			Buy	02/01/18	J		
61.					Sold	05/07/18	J	A	
62. - TowneBank Portsmouth (Common)	A	Dividend	J	T					
63. - Waste Connections Inc. (Common)	A	Dividend	J	T					
64. - Weyerhaeuser Company (Common)	A	Dividend			Sold	08/14/18	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts.

The account formerly reported as UBS Financial Services Accounts (H) was closed on 2/13/18. All assets were transferred to a new investment account with Raymond James. The account formerly referred to as UBS Financial Services - IRA Rollover (H) is now reported as Raymond James - IRA (H). The assets from accounts formerly referred to UBS Financial Services, Roth IRA 1 (H) and UBS Financial Services, Roth IRA 2 (H) are now combined and reflected as Raymond James - Roth IRA (H).

Lines 16 and 17 - Alphabet Inc Class A (Common) & Class C (Common) were formerly reported as Google (Common) in prior years.

Line 30 - Seadrill Ltd. is no longer reportable as its value has fallen below the threshold.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Henry C. Morgan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544