

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bolden, Victor A.	2. Court or Organization United States District Court for the District of Connecticut	3. Date of Report 05/07/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 915 Lafayette Boulevard Bridgeport, Connecticut 06604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2005	NAACP Legal Defense and Educational Fund, Inc. Defined Benefit Pension Plan
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABA Section of Litigation	January 11, 2018 to January 13, 2018	Honolulu, Hawaii	Attended the Winter Leadership Meetingq	Lodging, transportation and meals
2. Federal Bar Council	February 10, 2018 to February 17, 2018	Charlestown, Nevis	Attended Winter Bench and Bar Conference	Lodging, transportation and meals
3. ABA Section of Litigation	February 22, 2018 to February 23, 2018	Phoenix, Arizona	Attended leadership planning meetnig	Lodging, and transportation
4. ABA Section of Litigation	May 4, 2018 to May 5, 2018	San Diego, California	Attended the Section of Litigation Annual conference/Spring Leadership meeting	Lodging

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5.	ABA Section of Litigation	September 27, 2018 to September 29, 2018	Louisville, Kentucky	Attended the Fall Leadership meeting	Lodging, transportation and meals
6.	Federal Bar Council	October 19, 2018 to October 21, 2018	Westbrook, Connecticut	Attended Fall Bench and Bar Retreat	Lodging, and meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Fairfield College Preparatory School	Tuition Agreement	J
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4. T. Rowe Price International Fund	B	Int./Div.	K	T					
5. T. Rowe Price Mid-Cap Value Fund	D	Int./Div.	M	T	Distributed (part)	12/10/18	K		
6. T. Rowe Price New America Growth Fund	D	Int./Div.	L	T	Distributed (part)	12/10/18	K		
7. T. Rowe Price New Era Fund	A	Int./Div.	K	T					
8. T. Rowe Price New Horizons Fund	E	Int./Div.	M	T	Distributed (part)	08/31/18	K		
9.					Distributed (part)	12/10/18	K		
10. T. Rowe Price Small-Cap Value Fund	C	Int./Div.	K	T	Distributed (part)	08/31/18	K		
11. Vanguard 500 Index Fund	B	Int./Div.	L	T					
12. J. P. Morgan Chase Accounts	A	Int./Div.	J	T					
13.									
14.									
15.									
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A =\$1,000 or less

F =\$50,001 - \$100,000

J =\$15,000 or less

N =\$250,001 - \$500,000

P3 =\$25,000,001 - \$50,000,000

Q =Appraisal

U =Book Value

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

O =\$500,001 - \$1,000,000

R =Cost (Real Estate Only)

V =Other

C =\$2,501 - \$5,000

H1 =\$1,000,001 - \$5,000,000

L =\$50,001 - \$100,000

P1 =\$1,000,001 - \$5,000,000

P4 =More than \$50,000,000

S =Assessment

W =Estimated

D =\$5,001 - \$15,000

H2 =More than \$5,000,000

M =\$100,001 - \$250,000

P2 =\$5,000,001 - \$25,000,000

T =Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

With respect to Section II, my portion of this defined benefit plan remains in the pension plan of the NAACP Legal Defense & Educational Fund, Inc., even though I left the organization's employ in January 2009. Due to my salary while employed there, I have been designated a highly compensated employee, ineligible to rollover the value of my portion of this pension into another retirement account at this time.

With respect to Section VII, during this reporting period, I did the following transactions. First, I had a 403(b) account with T. Rowe Price with the following five accounts: T. Rowe Price International Stock Fund, the T. Rowe Price Mid-Cap Value Fund, the T. Rowe Price New America Growth Fund, the T. Rowe Price New Era Fund, and the T. Rowe Price New Horizons Fund. I rolled over all of the funds in these accounts into an IRA and maintained the same five accounts. Second, during the reporting period, I took a partial distribution from the T. Rowe Price New Horizons Fund on August 31, 2018 and partial distributions from the T. Rowe Price New Horizons Fund again on December 10, 2018 as well as from the T. Rowe Price Mid-Cap Value Fund, and the T. Rowe Price New America Growth Fund. Third, I have an IRA consisting entirely of investments in the T. Rowe Price Small-Cap Value Fund. I took a partial distribution from this fund on August 31, 2018.

I also have retirement investments in the Vanguard 500 Index Fund, a Rollover IRA and a Roth IRA. I have not sold or taken any distributions from either of these accounts.

With respect to Section VII, Column A, for income, when I use the Int./Div. code, I am referring to income from capital gains and dividends together.

With respect to Section VII, Column D, when I refer to distributions, these are the distributions referenced above, which happened on two separate occasions. Because I took two distributions from one of the funds during the 2018 calendar year, the T. Rowe Price New Horizons Fund, I have restuctured my Financial Disclosure Form for Section VII, Column D for this reporting year in order to provide space for the two distributions in this one fund, moving to a different line the references to the T. Rowe Price Small-Cap Value Fund, the Vanguard Funds, and my J. P. Morgan Chase account. More specifically, reporting for the T. Rowe Price New Horizons Fund now occurs on Lines 8 and 9 of the Financial Disclosure Form, Section VII, with the bulk of the reporting related to this fund occurring on Line 8, including the disclosure of the first partial distribution on August 31, 2018 and on Line 9, only the disclosure of the second partial distribution on December 10, 2018 is reported. As a result, what had been reported previously on Lines, 9, 10, and 11 (the entries for the T. Rowe Price Small-Cap Value Fund, the Vanguard 500 Index Fund, and my J. P. Morgan Chase account respectively) in the last reporting year is now reported on Lines 10, 11, and 12 (T. Rowe Price Small-Cap Value Fund, the Vanguard 500 Index Fund, and my J. P. Morgan Chase account respectively) in this reporting year.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Victor A. Bolden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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