

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bohm, Carlota M.	2. Court or Organization U.S. Bankruptcy Court for the Western District of PA	3. Date of Report 05/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 54th Floor, US Steel Tower 600 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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Bohm, Carlota M.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions)*

A. Filer's Non-Investment Income



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)



NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)



NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	NCBJ	October 28-31,2018	San Antonio, TX	seminar/program	lodging
2.					
3.					
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. PNC Bank Account	A	Int./Div.	L	T					
2. Roam Bally IV, LP	D	Dividend	K	T					
3. Jackson National Annuity - Fixed Income Annuity	A	Dividend	M	T					
4. Energy Select Sector SPDR Fund ETF	B	Dividend	L	T	Buy (add'l)	01/09/18	K		
5. Pimco Income Fund (PONPX)	B	Dividend	K	T	Sold (part)	12/17/18	J	A	
6. AIG/Sun America Focused Dividend Strategy Fund (FDSWX)	C	Dividend	L	T	Sold (part)	12/17/18	J	A	
7. Hennessy Focus 30 Inst (HIMDX)	D	Dividend			Sold	12/17/18	K	A	
8. Loomis Sayles Investment Grade Bond (LSIIX)	A	Dividend	K	T	Buy (add'l)	12/17/18	J		
9.	A	Dividend	K	T	Sold (part)	01/24/18	J	A	
10.	A	Dividend	K	T	Sold (part)	04/23/18	J	A	
11.	A	Dividend	K	T	Sold (part)	07/24/18	J	A	
12.	A	Dividend	K	T	Sold (part)	10/24/18	J	A	
13. AB High Income Fund (AGDYX)	A	Dividend	J	T	Buy (add'l)	12/17/18	J		
14.	A	Dividend	J	T	Sold (part)	12/17/18	J	A	
15. Pioneer Pioneer Fundamental Growth Fund (FUNYX)	C	Dividend	L	T	Sold (part)	12/17/18	J	B	
16. Oppenheimer Developing Mkts Funds (ODVYX)	A	Dividend	K	T	Sold (part)	12/17/18	J	A	
17. Henderson Intl Opportunities (HFOIX)	A	Dividend			Sold	12/17/18	L	B	

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e g , div , rent, or int)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e g , buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Lord Abbett High Yield Fund (LHYFX)	A	Dividend			Sold	12/17/18	J	A	
19. Pioneer Strategic Income Fund (STRYX)	A	Dividend	K	T	Buy (add'l)	12/17/18	J		
20.	A	Dividend	K	T	Sold (part)	12/17/18	J	A	
21. JPMorgan US Equity Select (JUESX)	D	Dividend	L	T	Sold (part)	12/17/18	J	A	
22. Delaware Small Cap Core (DCCIX)	D	Dividend	L	T	Buy (add'l)	12/17/18	J		
23.	D	Dividend	L	T	Sold (part)	12/17/18	J	A	
24. Nuveen Symphony Floating Rate Income Fund (NFRIX)	A	Dividend	J	T	Buy	12/17/18	J		
25.	A	Dividend	J	T	Sold (part)	12/17/18	J	A	
26. First Eagle Overseas Fund (SGOIX)	A	Dividend	L	T	Buy	12/17/18	L		
27. Hartford Schroders US Small Mid Cap (SMDIX)	A	Dividend	L	T	Buy	12/18/18	L		
28. Healthcare Select Sector SPDR Fund (XLV)	A	Dividend			Sold	01/09/18	L	D	
29. Vanguard Sector Index FD Information Tech ETF (VGT)	B	Dividend	L	T	Buy (add'l)	01/09/18	K		
30. Financial Sector SPDR Trust ETF (CLF)	B	Dividend	L	T	Buy (add'l)	01/09/18	K		
31. Ishores Gold Trust ETF (IAU)	A	Dividend	K	T	Buy	01/09/18	K		
32.									

1 Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlota M. Bohm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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