

AJ-10
Rev 8/87

FINANCIAL DISCLOSURE REPORT

Annual Report Due by May 15 from Judicial Officers and
certain Judicial Employees (28 USCA App. I, § 301-09)

Person Reporting (Last name, first, middle initial)	Court or Organization	Date of Report
Babcock, Lewis T.		6/23/88
Title Judicial Nominee for United States District Court for the District of Colorado	Date of Entry/Nomination/Termination (only if initial or final report)	Reporting Period (Calendar year, or inclusive dates)
	June 23, 1988	1/1/87 to 6/23/88
Home or office address		
(O) Colorado Court of Appeals 2 E. 14th Avenue, Suite 365 Denver, Colorado 80203		
IMPORTANT NOTES: Please read the instructions accompanying this form. The report should include information pertaining to your spouse and dependent children, if any. Attach additional sheets if needed, identifying each attachment by showing your name, the date of the report, and the section(s) being completed. Complete all sections, checking the NONE box for each section where you have no reportable information. Compare and reconcile this report with last year's and list items in the same order as last year. Type or print clearly. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 15-16 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Co-trusteeRobert T. Babcock Revocable TrustCo-trusteeMartha L. Babcock Revocable TrustCustodianFor John T. Babcock, Colorado Uniform Gift to Minors ActCustodianFor Katherine Babcock, Colorado Uniform Gift to Minors Act

II. AGREEMENTS. (Reporting individual only; see p. 17 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

June 1976State of Colorado: Statutory provisions providing forretirement benefits from the Colorado Public Employees'Retirement Association

III. NON-INVESTMENT INCOME. (Partial disclosure for spouse; see pp. 18-20 of Instructions.)

DATE
(Honorary only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income)

1	State of Colorado -- Salary	\$
2	(January 1, 1987 - December 31, 1987 = \$58,500	\$
3	January 1, 1988 - June 30, 1988 = \$31,500	\$90,000
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Lewis T. Babcock

Date of Report

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IV. REIMBURSEMENTS and GIFTS--transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)SOURCEDESCRIPTION☐ NONE (No such reportable reimbursements or gifts)

1	State of Colorado	Transportation, lodging, and food
2	University of Virginia	Lodging and food
3	American Inns of Court #19, Doyle's Inn	Transportation, lodging, and food
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)SOURCEDESCRIPTIONVALUE☒ NONE (No such reportable gifts)

1		\$
2		\$
3		\$
4		\$
		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 22-24 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☐ NONE (No reportable liabilities)

1	First Industrial Bank of Rocky Ford, Colorado	Note (J)	L
2			
3			
4			
5			
6			
7			

* VALUE CODES	J = \$0 to \$1,000 N = \$50,001 to \$100,000	K = \$1,001 to \$5,000 O = \$100,001 to \$250,000	L = \$5,001 to \$15,000 P = over \$250,000	M = \$15,001 to \$50,000
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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Lewis T. Babcock

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VII. INVESTMENTS and TRUSTS—Income, value, transactions. (Includes those for which a partial disclosure for dependent children; see pp. 24-35 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B. Income during period		C. Gross value at end of period		Transactions during period (Reporting individual and spouse)				
	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value, Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	Date: Month- Day	Value, Code ² (J-P)	Gain, Code ² (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
Westinghouse Corp.	B	Div.	L	T					
Double Eagle Petroleum & Mining Co.	A		J	T					
K.R.M. Petroleum Co.	A		J	T					
Phi, Inc.	A		J	T					
Ideal Basic Industries (DC)	A		J	T					
Ideal Basic Industries (DC)	A		J	T					
Iowa Resources, Inc. (DC)	B	Div.	K	T					
Iowa Resources, Inc. (DC)	B	Div.	K	T					
Colorado National Bank Boulder (J)	B	Int.	K	T					
Colorado National Bank Denver	A		J	T					
Colorado National Bank Denver (DC)	B	Int.	B	T					
Colorado National Bank Denver (DC)	B	Int.	L	T					
Boulder Valley Schools Credit Union (S)	B	Int.	J	T					
Colorado Public Employees Retirement Association	A		M	V					
Colorado Public Employees Retirement Association (S)	A		M	V					
Colorado Nat'l Bank Denver IRA #5498/000797392-6	A		K	T					
Colorado Nat'l Bank Denver IRA #5498/000797693-0 (S)	A		K	T					
Otero Savings & Loan Assoc. IRA #01-08090328 (S)	A		K	T					
Otero Savings & Loan Assoc. IRA #01-07090055 (S)	A		J	T					
Lot 331 (J) See attached legal description	A		L	W					

1 Income/Gain Codes:	A = exempt (\$0 to \$100) E = \$5,001 to \$15,000 J = exempt (\$0 to \$1,000) N = \$50,001 to \$100,000	B = \$101 to \$1,000 F = \$15,001 to \$50,000 K = \$1,001 to \$5,000 O = \$100,001 to \$250,000 R = Cost (real estate only) V = Other	C = \$1,001 to \$2,500 G = \$50,001 to \$100,000 L = \$5,001 to \$15,000 P = over \$250,000 S = Assessed value W = Estimated	D = \$2,501 to \$5,000 H = over \$100,000 M = \$15,001 to \$50,000 T = Cash/market
2 Value Codes:				
3 Value Method Codes:	Q = Appraisal U = Book value			

Lot 331 on Record of Survey captioned "Record of Survey of Sec. 33, Twp. 6 South, Rng. 5 East, and the West 1/2 of the West 1/2 of the Fractional Section 3 and a portion of the East 1/2 of the East 1/2 of Sec. 9, Twp. 7 South, Rng. 5 East, SBB& M, as shown by Map on file in Book 31, pp. 39 to 43 inclusive, of Records of Survey, Riverside County of Records, California. Lewis T. Babcock and Judith S. Babcock, joint tenants--Date of Value May 24, 1988
\$7,500

FINANCIAL DISCLOSURE REPORT (cont'd)

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Date of Report

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/III. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate section of Report.)

☐

Check to affirm that differences in investments from those reported in prior year are exempt from disclosure.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

Signature



Date

6/23/88

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (28 U.S.C.A. APP. I, § 304, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

1. Mail signed original and 4 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

2. Deliver one copy to the Clerk of the Court on which you sit or serve. (Judicial employees not associated with a specific court, such as employees of the Administrative Office and the Federal Judicial Center, need not file a copy with any court.)

CONFIDENTIAL FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS *		LIABILITIES ***	
Cash on hand and in banks **	8981500	Notes payable to banks—secured	
U.S. Government securities—add schedule		Notes payable to banks—unsecured	
Listed securities—add schedule	1007200	Notes payable to relatives	
Unlisted securities—add schedule		Notes payable to others	1684500
Accounts and notes receivable:		Accounts and bills due	
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable—add schedule	10940300
Real estate owned—add schedule	14550000	Chattel mortgages and other liens payable	
Real estate mortgages receivable		Other debts—itemize:	
Autos and other personal property	6900000		
Cash value—life insurance			
Other assets—itemize:			
<u>Powell Kontney-Oil painting</u>	750000		
<u>Stamp Collection</u>	1000000		
		Total liabilities	12624800
		Net worth	20563900
Total assets	33188700	Total liabilities and net worth	33188700
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, comaker or guarantor	NONE	Are any assets pledged? (Add schedule.)	No
On leases or contracts		Are you defendant in any suits or legal actions?	No
Legal Claims		Have you ever taken bankruptcy?	No
Provision for Federal Income Tax			
Other special debt			

* See Schedule A

** Includes retirement accounts

*** See Schedule B

11.3. FINANCIAL STATEMENT