

AO-10
Rev. 9/87

FINANCIAL DISCLOSURE REPORT

Annual
certain filers
Due by May 15 from Judicial Officers and
Employees (28 USCA App. 1, § 301-09)

Person Reporting (Last name, first, middle initial) Fernandez, Ferdinand F	Court or Organization Court of Appeals, Ninth Circuit	Date of Report Mar 1 1989
Title Judge	Date of Entry/Nomination/Termination (only if initial or final report) Feb. 28, 1989	Reporting Period (Calendar year, or inclusive dates) Jan 1, 1988 to Feb 28, 1989
Home or office address 312 No Spring St., Los Angeles, Cal. 90012		
IMPORTANT NOTES: Please read the instructions accompanying this form. The report should include information pertaining to your spouse and dependent children, if any. Attach additional sheets if needed, identifying each attachment by showing your name, the date of the report, and the section(s) being completed. Complete all sections, checking the NONE box for each section where you have no reportable information. Compare and reconcile this report with last year's and list items in the same order as last year. Type or print clearly. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 15-16 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Trustee**Magdalena von Stoufen Trust (a to
a partial interest in a home in
Clifton, Ariz.) Resigned 3/28/89, effective 4/2/89**

II. AGREEMENTS. (Reporting individual only; see p. 17 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

1972**American Bar Assn. Retirement Plan, maintained by
Allard, Shelton & O'Connor. This is a Keogh Plan. No
contributions made since I left firm in 1980.**

III. NON-INVESTMENT INCOME. (Partial disclosure for spouse; see pp. 18-20 of Instructions.)

DATE
(Honorary only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income)

1	Spouses' wages from Chaffey College	\$
2	Alto Loma, Calif	\$
3		\$
4		\$
5		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Fernandez, Ferdinand F

Date of Report

3/1/89

IV. REIMBURSEMENTS and GIFTS--transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements or gifts)

1 Association of Business
 2 Trial Lawyers

I was a speaker at Association
 Conference in Hawaii, and the
 Association paid expenses of
 me and spouse-- including
 transportation, food & lodging.

V. OTHER GIFTS. (Includes those to spouse and dependent children; see pp. 20-22 of Instructions.)SOURCEDESCRIPTIONVALUE☐

NONE (No such reportable gifts)

1 West Publishing Co

Books \$ 292.00

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 22-24 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☒

NONE (No reportable liabilities)

* VALUE CODES: J = \$0 to \$1,000 K = \$1,001 to \$5,000 L = \$5,001 to \$15,000 M = \$15,001 to \$50,000
 N = \$50,001 to \$100,000 O = \$100,001 to \$250,000 P = over \$250,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Fernandez, Ferdinand

Date of Report

3/1/89

VII. INVESTMENTS and TRUSTS--Income, value, transactions. (Includes those of spouse; partial disclosure for dependent children; see pp. 24-35 of Instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure.	B. Income during period		C. Gross value at end of period		D. Transactions during period (Reporting individual and spouse)				
	Amt. Code (A-H)	Type (e.g., div.)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g., sold)	Date: Month- Day	Value Code (J-P)	Gain Code (A-H)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Assets at Panama First Fed Stk. Upland, Cal. (J)	E	INT.	N	T					
2 Assets at Security Pac. Ind. Bank, Upland & Alila Loma Gilt (J)	D	INT	M	T					
3 Irvine Ranch Water Dist Bonds (J)	B	INT	L	T					
Magdalena von Staufen Trust (I am a Contingent remainder beneficiary)									
4 Wells Fargo Common Trust Funds [Wells Fargo Bank, Trustee]	A	None	O	T					
5 1/5 interest in home in Clifton, Ariz [C. Forgia, Trustee]	A	None	K	W					
6 ABA Keogh Plan (J)	D	INT.	M	T					
7 Bancam Universal Tax Sheltered Annuity	E	INT	N	T					
8 Pacific Fidelity Tax Sheltered Annuity	B	INT	K	T					
19									
20									

1 Income/Gain Codes: A = exempt (\$0 to \$100)
E = \$5,001 to \$15,000
J = exempt (\$0 to \$1,000)
N = \$50,001 to \$100,000
Q = Appraisal
U = Block value

B = \$101 to \$1,000
F = \$15,001 to \$50,000
K = \$1,001 to \$5,000
O = \$100,001 to \$250,000
R = Cost (real estate only)
V = Other

C = \$1,001 to \$2,500
G = \$30,001 to \$100,000
L = \$5,001 to \$15,000
P = over \$250,000
S = Assessed value
W = Estimated

D = \$2,501 to \$5,000
H = over \$100,000
M = \$15,001 to \$50,000
T = Cash/market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

FERNANDEZ, FERNANDO

Date of Report

Mar 1 1989

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate section of Report.)

☐

Check to affirm that differences in investments from those reported in prior year are exempt from disclosure.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

Signature

Date

Mar 1, 1989

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (28 U.S.C.A. APP. I, § 304, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

1. Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544
2. Deliver one copy to the Clerk of the Court on which you sit or serve. (Judicial employees not associated with a specific court, such as employees of the Administrative Office and the Federal Judicial Center, need not file a copy with any court.)

FINANCIAL STATEMENT

NET WORTH

EXHIBIT "A"

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	106864	44	Notes payable to banks—secured	85885	00 (4)
U.S. Government securities—add schedule	0	00	Notes payable to banks—unsecured	0	00
Listed securities—add schedule	0	00	Notes payable to relatives	0	00
Unlisted securities—add schedule	9500	00 (1)	Notes payable to others	0	00
Accounts and notes receivable			Accounts and bills due	3183	09 (5)
Due from relatives and friends	0	00	Unpaid income tax	0	00
Due from others	0	00	Other unpaid tax and interest	0	00
Doubtful	0	00	Real estate mortgages payable—add schedule	0	00 (6)
Real estate owned—add schedule	175000	00 (2)	Chattel mortgages and other liens payable		
Real estate mortgages receivable	0	00	Other debts—itemize:		
Autos and other personal property	75000	00			
Cash value—life insurance	0	00			
Other assets—itemize:					
<u>Tap Shield Annuity</u>	<u>85628</u>	<u>02</u>			
<u>Trust</u>	<u>0</u>	<u>00 (3)</u>	Total liabilities	89068	09
			Net worth	362985	12
Total assets	451993	06	Total liabilities and net worth	451993	26
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	0	00	Are any assets pledged? (Add schedule.)	No	
On leases or contracts	0	00	Are you defendant in any suits or legal actions?	Yes	(6)
Legal Claims	0	00	Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	0	00			
Other special debt	0	00			

(1) IRVINE Ranch Water District Bonds—Face Value—\$10,000.00

(2) Home at 117 W. Arrow Hwy, Upland, Cal.

(3) I have a contingent remainder interest in Magdalena vom Staufen Trust, from which I derive no income and have no right to principal

(4) Note secured by Deed of Trust on home at 117 W Arrow Hwy, Upland, Calif. Holder: Bank of America

(5) Total on Credit Cards

(6) Three actions against all Judges of US Dist. Court C.D. Cal, which claim that attorney admission rules are unconstitutional, and one against me because I am judge in removed Social Security case. Estimated liability - 3000.

EXHIBIT A

AFFIDAVIT

State of California)
) SS
 County of Los Angeles)

I, FERDINAND F. FERNANDEZ, do swear that the information provided in this statement is, to the best of my knowledge, true and accurate.

DATE

NAME

Subscribed and sworn to before me this 9th day of
March, 1989.

NOTARY

