

FD-278  
Rev. 1/79**FINANCIAL DISCLOSURE REPORT**Report Required by the Ethics  
Reform Act of 1988, Pub. L. No.  
101-184, November 30, 1989  
(8 U.S.C.A. App. 6, §6101-112)

|                                                                                                                                                                                                       |                                                                                                                                                                                                                              |                                                     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------|
| 1. Person Reporting (last name, first, middle initial)<br><b>ARMSTRONG, SAUNDRA B.</b>                                                                                                                | 2. Court or Organization<br><b>NORTHERN DISTRICT OF CALIFORNIA</b>                                                                                                                                                           | 3. Date of Report<br><b>4/27/91</b>                 |
| 4. Title (Article III Judges indicate active or<br>senior status; Magistrate Judges indicate<br>full- or part-time)<br><b>U.S. DISTRICT COURT JUDGE - Active</b>                                      | 5. Report Type (check appropriate type)<br><input checked="" type="checkbox"/> Nomination, Date <b>4/25/91</b><br><input checked="" type="checkbox"/> Initial <input type="checkbox"/> Annual <input type="checkbox"/> Final | 6. Reporting Period<br><b>1/1/90 to<br/>4/26/91</b> |
| 7. Chambers or Office Address<br><b>Alameda County Superior Court (Current office Address)<br/>1225 Fallon Street, Dept #13<br/>Oakland, CA 94612</b>                                                 |                                                                                                                                                                                                                              |                                                     |
| IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,<br>checking the NONE box for each section where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                              |                                                     |

**I. POSITIONS.** (Reporting individual only; see pp. 7-8 of instructions.)**POSITION****NAME OF ORGANIZATION/ENTITY**☐**NONE** (No reportable positions)Financial guardian/custodian for minor dependent child, Althea ArmstronsMember, Board of DirectorsAlameda County Youth Development, Inc.**II. AGREEMENTS.** (Reporting individual only; see p. 8-9 of instructions.)**DATE****PARTIES AND TERMS**☒**NONE** (No reportable agreements)**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 9-12 of instructions.)**DATE****SOURCE AND TYPE****GROSS INCOME**  
(yours, not spouse's)

(Honoraria only)

☐**NONE** (No reportable non-investment income)

|                     |                                      |              |
|---------------------|--------------------------------------|--------------|
| 1989 Salary (gross) | State of California; Judicial duties | \$ 67,134.00 |
| 1989 Salary "       | County of Alameda; Judicial duties   | \$ 3,051.00  |
| 1989 Salary "       | Communications International, Inc.   | \$ (8)       |
| 1990 Salary "       | State of California; Judicial duties | \$ 78,056.00 |
| 1990 Salary "       | County of Alameda; Judicial duties   | \$ 9,464.00  |

I. POSITIONS (Continued)

|                            |                                           |
|----------------------------|-------------------------------------------|
| Member, Board of Directors | Yellow Brick Road Foundation              |
| Member, Board of Governors | University of San Francisco School of Law |

III. NON-INVESTMENT INCOME (Continued)

|                        |                                                              |             |
|------------------------|--------------------------------------------------------------|-------------|
| 6. 1990 Salary (gross) | Communications International, Inc.                           | (S)         |
| 7. 1991 Salary "       | State of California; Judicial duties                         | \$22,449.24 |
| 8. 1991 Salary "       | County of Alameda; Judicial duties                           | \$ 3,276.00 |
| 9. 1991 Salary "       | Communications International, Inc.                           | (S)         |
| 10. 1990               | Refund of State and local taxes                              | \$ 2,026.00 |
| 11. 1990               | Refund of Federal taxes                                      | \$ 8,116.00 |
| 12. 11/7/90 Honoraria  | Jones Memorial United Methodist Church<br>Women's Day speech | \$ 150.00   |
| 13. 5/20/90 Honoraria  | Taylor United Methodist Church<br>Women's Day speech         | \$ 100.00   |
| 14. 1/9/90 Honoraria   | Davis Chapel C.M.E. Church<br>Women's Day Speech             | \$ 50.00    |

## FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

ARMSTRONG, SAUNDRA E.

4/27/91

**IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.**  
 (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

**SOURCE****DESCRIPTION**☐

NONE (no such reportable reimbursements or gifts)

|   |        |  |
|---|--------|--|
| 1 | EXEMPT |  |
| 2 |        |  |
| 3 |        |  |
| 4 |        |  |
| 5 |        |  |
| 6 |        |  |
| 7 |        |  |
| 8 |        |  |

**V. OTHER GIFTS.** (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

**SOURCE****DESCRIPTION****VALUE**☐

NONE (no such reportable gifts)

|   |        |    |
|---|--------|----|
| 1 | EXEMPT | \$ |
| 2 |        | \$ |
| 3 |        | \$ |
| 4 |        | \$ |

**VI. LIABILITIES.** (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

**CREDITOR****DESCRIPTION****VALUE CODE\***☐

NONE (no reportable liabilities)

|   |                                 |                                     |   |
|---|---------------------------------|-------------------------------------|---|
| 1 | VOLVO FINANCE NORTH AMERICA (J) | LEASED VEHICLE                      | J |
| 2 | BARRARY COAST                   | MORTGAGE SECURED BY REAL PROPERTY   | L |
| 3 | TRUST BANK (J)                  | MORTGAGE SECURED BY REAL PROPERTY   | L |
| 4 | THREE RIVERS BANK (S)           | MORTGAGE COVERING BUSINESS INTEREST | M |
| 5 | WENNA AMERICA (J)               | MASTERCARD                          | J |
| 6 |                                 |                                     |   |

\* VALUE CODES: L = \$15,000 or less    J = \$15,001 to \$50,000    S = \$50,001 to \$100,000    M = \$100,001 to \$250,000  
 DC = more than \$250,000    DC = \$250,001 to \$500,000    DC = \$500,001 to \$1,000,000    DC = more than \$1,000,000

## FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ARMSTRONG, SAUNDRA B.

Date of Report

4/27/91

## VII. INVESTMENTS and TRUSTS – Income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Indicate, where applicable, owner of the asset by using the parenthetical (S) for joint ownership of separate individual and spouse, (H) for separate ownership by spouse, (DC) for ownership by dependent child.<br><br>Place (X) after each asset exempt from prior disclosure. | B.<br>Income during reporting period |                           | C.<br>Gross Value at end of reporting period |                                | D.<br>Transactions during reporting period        |                         |                         |                        |                                                 |   |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------|----------------------------------------------|--------------------------------|---------------------------------------------------|-------------------------|-------------------------|------------------------|-------------------------------------------------|---|
|                                                                                                                                                                                                                                                                                                                                                  | (1)<br>Act. Code (A-B)               | (2)<br>Type of Int. (C-H) | (1)<br>Value Code (J-P)                      | (2)<br>Value Method Code (Q-W) | If not exempt from disclosure                     |                         |                         |                        |                                                 |   |
|                                                                                                                                                                                                                                                                                                                                                  |                                      |                           |                                              |                                | (3)<br>Type (X)<br>Buy, Sell, Mortgage, Refinance | (4)<br>Date: Month, Day | (5)<br>Value Code (J-P) | (6)<br>Gain Code (A-B) | (7)<br>Identify as: (S) for private transaction |   |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)                                                                                                                                                                                                                                                                    |                                      |                           |                                              |                                |                                                   |                         |                         |                        |                                                 |   |
| 1 Real Property: 4612 Camden St., Oak, CA                                                                                                                                                                                                                                                                                                        | D                                    | Rent                      | M                                            | W                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 2 Real Property: (J) 3214 S. 28th St., Alex, VA                                                                                                                                                                                                                                                                                                  | D                                    | Rent                      | L                                            | W                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 3 All American Car Care Center (S)                                                                                                                                                                                                                                                                                                               | A                                    |                           | N                                            | W                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 4 Bank of America, Belmont Savings Account (J)                                                                                                                                                                                                                                                                                                   | A                                    | Interest                  | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 5 A.T. & T. (S)                                                                                                                                                                                                                                                                                                                                  | A                                    | DIV.                      | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 6 Equitable Capital Partners Retirement Funds (S)                                                                                                                                                                                                                                                                                                | A                                    |                           | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 7 Keystone America G.S. Fund (S)                                                                                                                                                                                                                                                                                                                 | A                                    |                           | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 8 Merrill Lynch Global Allocation Fund (S)                                                                                                                                                                                                                                                                                                       | A                                    |                           | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 9 Lord Abbett BD DB Fund (S)                                                                                                                                                                                                                                                                                                                     | A                                    |                           | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 10 Merrill Lynch Retirement Reserves (Money Fund) (S)                                                                                                                                                                                                                                                                                            | A                                    | DIV.                      | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 11 Dreyfus Capital Value Fund (DC)                                                                                                                                                                                                                                                                                                               | A                                    | LTCG & DIV.               | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 12 Alliance Municipal Insured California Port (DC)                                                                                                                                                                                                                                                                                               | A                                    | DIV.                      | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 13 Merrill Lynch Global Allocation Fund (DC)                                                                                                                                                                                                                                                                                                     | A                                    | LTCG & Div                | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 14 Merrill Lynch Municipal Bond Fund Insur Cl A (DC)                                                                                                                                                                                                                                                                                             | B                                    | Capital Gains             | K                                            | T                              | SOLD                                              |                         |                         |                        |                                                 |   |
| 15 Templeton World Fund (DC)                                                                                                                                                                                                                                                                                                                     | B                                    | DIV                       | K                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 16 Alaska Energy At Pwr Rev Bridg Lake 2nd Ser MBIA (DC)                                                                                                                                                                                                                                                                                         | A                                    | INT.                      | J                                            | T                              | DIV                                               |                         |                         |                        |                                                 |   |
| 17 Merrill Lynch Real Assets Trust (DC)                                                                                                                                                                                                                                                                                                          | A                                    | DIV/INT                   | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 18 A. T. & T.                                                                                                                                                                                                                                                                                                                                    | A                                    | DIV                       | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 19 U.S. Thrift Opportunity Partners LP 88                                                                                                                                                                                                                                                                                                        | A                                    |                           | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |
| 20 Merrill Lynch Lee Acquisition Retirement Fund II                                                                                                                                                                                                                                                                                              | A                                    |                           | J                                            | T                              | E                                                 | X                       | E                       | M                      | P                                               | T |

  

|                                          |                                                                                                                               |                                                                                                                                                   |                                                                                                                                    |                                                                                               |
|------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| 1 Income/Value Codes: (See Col. B1 & B4) | A-\$1,000 or less<br>B-\$11,001 to \$10,000<br>C-\$115,000 or less<br>D-\$120,001 to \$100,000<br>E-Appraisal<br>F-Bank Value | G-\$1,001 to \$2,500<br>H-\$10,001 to \$100,000<br>I-\$115,001 to \$100,000<br>J-\$100,001 to \$1,000,000<br>K-Cost (real estate only)<br>L-Other | M-\$2,501 to 5,000<br>N-\$100,001 to \$1,000,000<br>O-\$100,001 to \$100,000<br>P-more than \$1,000,000<br>Q-Amount<br>R-Estimated | S-\$5,001 to \$10,000<br>T-more than \$1,000,000<br>U-\$100,001 to \$100,000<br>V-Cash/Market |
|------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|

FINANCIAL DISCLOSURE REPORT (Continued)

ARMSTRONG, SAUNDRA B. 4/27/91

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## VII. INVESTMENTS AND TRUSTS (Continued)

| A. <u>DESCRIPTION OF ASSETS</u>                                                     | B. <u>INCOME</u> | C. <u>VALUE</u> | D. <u>TYPE OF TRANSACTION</u> |
|-------------------------------------------------------------------------------------|------------------|-----------------|-------------------------------|
| Keystone America<br>C.S. Fund SBI                                                   | A Dividends      | J T             |                               |
| Merrill Lynch Global<br>Allocation Fund                                             | A Div. & LTCG    | J T             |                               |
| Lord Abbett Bd DE Fund                                                              | A Dividends      | J T             |                               |
| U.S. Treasury Strips<br>Zero Percent                                                | A                | J T             | Purchased                     |
| Merrill Lynch Retirement<br>Reserves                                                | A Dividends      | J T             |                               |
| Bank of Oakland Common Stock (S)                                                    | A                | J T             |                               |
| Citicorp Savings & Loan, Oakland, CA<br>Savings Account                             | A Interest       | J T             |                               |
| Citicorp Savings & Loan, Oakland, CA<br>Certificates of Deposit                     | A Interest       | J T             | Sold                          |
| Metropolitan Life Insurance Co.<br>Wharton, Md., Universal Life<br>Insurance policy | A Interest       | J T             |                               |
| Merrill Lynch Municipal Bond<br>Fund Insur (DC)                                     | A Dividends      | J T             |                               |

## FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

ARMSTRONG, SAUNDRA B.

Date of Report

4/27/91

## VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

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## IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Saundra B. ArmstrongDate April 27, 1991

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

## FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee  
Administrative Office of the  
United States Courts  
Washington, DC 20544