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FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 5, §§101-112)

1. Person Reporting (Last name, first, middle initial) Brody, Anita B.	2. Court or Organization United States District Court for the Eastern District of Pennsylvania	3. Date of Report 11/25/91
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge (Active)	5. Report Type (check appropriate type) ☒ Election, Date 11/22/91 ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/90 through 11/25/91
7. Chambers or Office Address Courthouse Airy & Swede Streets Norristown, PA 19404		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

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NONE (No reportable positions)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of instructions.)

DATE

PARTIES AND TERMS

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NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

DATE
(Honoraria only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)
☐

NONE (No reportable non-investment income)

1	<u>Commonwealth of Pennsylvania</u>	<u>Salary</u>	<u>\$80,000.00</u>
2	<u>Medical College of Pennsylvania</u>	<u>Salary (\$)</u>	<u>\$</u>
3	<u>Jefferson Park Hospital, Consultant</u>	<u>(\$)</u>	<u>\$</u>
4	<u>Private Practice Medicine</u>	<u>(\$)</u>	<u>\$</u>
5	<u>United States Government</u>	<u>Pension (\$)</u>	<u>\$</u>

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Brody, Anita B.

Date of Report

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IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE**DESCRIPTION**☐

NONE (No such reportable reimbursements or gifts)

1	Not Applicable	
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)**SOURCE****DESCRIPTION****VALUE**☐

NONE (No such reportable gifts)

1	Not Applicable	\$
2		\$
3		\$
4		\$
5		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)**CREDITOR****DESCRIPTION****VALUE CODE***☒

NONE (No reportable liabilities)

1		
2		
3		
4		
5		
6		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Brody, Anita B.Date of Report
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VII. INVESTMENTS AND TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, year of the grant by using the parenthetical "(Y)" for Joint Ownership, of "SP" for Individual and spouse, "(DC)" for Assets owned by decedent, and "(C)" for Assets owned by beneficiary child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Int. or Div.	(2) Type of Income or Div.	(1) Value (A-B)	(2) Value (C-B)	(1) Type of Transaction	If not exempt from disclosure			
	(1) Int. or Div.	(2) Type of Income or Div.	(1) Value (A-B)	(2) Value (C-B)	(1) Type of Transaction	(2) Date Acquired	(3) Value (A-B)	(4) Value (C-B)	(5) Description of Transaction
☐ NONE (No reportable income, assets, or transactions)									
1 Adobe Reserves	B	DIV	J	T					
2 Capital Southwest	B	DIV	L	T					
3 Chemical Bank	A	DIV	J	T					
4 Chrysler (J)	A	DIV	J	T					
5 Danielson Holding	A	DIV	J	T					
6 Dupont	B	DIV	K	T					
7 Ford (J)	B	DIV	J	T					
8 GTE (Contel)	A	DIV	J	T					
9 Hartford Steam	D	DIV	M	T					
10 ILL Central R.R.	A	DIV	J	T					
11 Manville	A	DIV	J	T					
12 NABORS	A	DIV	K	T					
13 National Asset Bank	B	DIV	J	T					
14 National Loan Bank	C	DIV	J	T					
15 Nevada Power	B	DIV	J	T					
16 Norfolk Southern	B	DIV	K	T					
17 Perini	A	DIV	J	T					
18 Pet	A	DIV	K	T					
19 Public Service G & E	A	DIV	J	T					
20 Royal Palm	B	DIV	J	T					
1 Income/Date Codes: A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$15,000 (See Col. D1 & D2) E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=More than \$1,000,000 2 Value Codes: A=\$15,000 or less B=\$15,001 to \$50,000 C=\$50,001 to \$100,000 D=\$100,001 to \$1,000,000 E=More than \$1,000,000 (See Col. C1 & C2) F=\$15,001 to \$50,000 G=\$50,001 to \$100,000 H=\$100,001 to \$1,000,000 I=More than \$1,000,000 3 Value Method Codes: A=Appraisal B=Cost (real estate only) C=Market D=Other E=Unlimited (See Col. C2) F=Book Value G=Other H=Other I=Unlimited									

FINANCIAL DISCLOSURE REPORT (cont'd)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Anita B. Brody

Date

11/26/91

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS. (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks (A)	75,428	Notes payable to banks—secured	
U.S. Government securities—odd schedule	NONE	Notes payable to banks—unsecured	
Listed securities—odd schedule (B)	805,899	Notes payable to relatives	
Unlisted securities—odd schedule	NONE	Notes payable to others	
Accounts and notes receivable:		Accounts and bills due	
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable—odd schedule	
Real estate owned—odd schedule (C)	518,000	Chattel mortgages and other loans payable	
Real estate mortgages receivable	NONE	Other debts—Name(s):	
Autos and other personal property (D)	39,300		
Cash value—Life Insurance (E)	16,013		
Other assets—Name(s):			
Retirement (F)	1,320,882		
Limited Partnership (G)	-0-		
		Total liabilities	NONE
		Net worth	2,775,522
		Total liabilities and net worth	2,775,522
Total assets	2,775,522		
CONTINGENT LIABILITIES		GENERAL INFORMATION	
	NONE	Are any assets pledged? (Add schedule.)	NO
As endorser, cosigner or guarantor		Are you defendant in any suits or legal actions?	NO
On leases or contracts		Have you ever taken bankruptcy?	NO
Legal Claims			
Provision for Federal Income Tax			
Other special debt			