

FD-278
Rev. 1/78

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Training Act of 1980, Pub. L. No.
96-359, November 18, 1980
(5 U.S.C.A. App. 6, §§101-113)

1. Person Reporting (last name, first, middle initial) McKelvie, Roderick R.	2. Court or Organization United States District Court, District of Delaware	3. Date of Report November 4, 1991
4. Title (Article III judges indicate active or major status; magistrate judges indicate full- or part-time) Article III, active	5. Report Type (check appropriate type) ☒ Election, Date 11/4/91 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/90 to 11/4/91
7. Chambers or Office Address Ashby, McKelvie & Geddes, One Rodney Square, P. O. Box 1150, Wilmington, Delaware 19899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Shareholder and officerAshby, McKelvie and GeddesPartnerAMG Partners

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(years, not spouse's)☐

NONE (No reportable non-investment income)

DATE (Honoraria only)	SOURCE AND TYPE	GROSS INCOME (years, not spouse's)
1990	Ashby, McKelvie and Geddes, lawyer	\$ 343,259.28
1991	Ashby, McKelvie and Geddes, lawyer	\$ 237,350.00
1990	University of Delaware, instructor	\$ 9,593.44
1991	University of Delaware, instructor	\$ 8,095.00
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 199

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

X NONE (No such reportable reimbursements or gifts)	
1	Exempt
2	
3	
4	
5	
6	
7	
8	

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

X NONE (No such reportable gifts)		
1	Exempt	\$
2		\$
3		\$
4		\$
5		\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

X NONE (No reportable liabilities)		
1		
2		
3		
4		
5		
6		
7		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 1991

VII. INVESTMENTS and TRUSTS – Income, value, transactions. (Includes those of spouse and dependent children see pp. 18-37 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(DC)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Int. Code (A-B)	Type (S, D, Div., Trust or Int.)	Value Code (J-P)	Value Method Code (Q-W)	(3) Type (e.g., buy, sell, swap, redemption)	(2) Date: Month/Day	(3) Value Code (J-P)	(4) Gain Code (A-B)	(5) Identity of other seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Apple Common	A	Div.	K	T	Exempt				
2 Coca Cola Common	A	Div.	J	T	Exempt				
3 Merck Common	A	Div.	K	T	Exempt				
4 Zweig T. Return	A	Div.	J	T	Exempt				
5 Fidelity Equity and Income Fund (S)	A	Div.	J	T	Exempt				
7 Lilly Eli Common (S)	A	Div.	J	T	Exempt				
8 Fidelity Magellan (S)	D	Div.	M	T	Exempt				
9 Vanguard Windsor (J)	D	Div.	M	T	Exempt				
10 Vanguard Windsor II (J)	D	Div.	M	T	Exempt				
11 Vanguard European Ind. (J)	C	Div.	L	T	Exempt				
13 Cigna Common (S)	B	Div.	J	T	Exempt				
14 DuPont Common (S)	B	Div.	K	T	Exempt				
15 Exxon Common (S)	D	Div.	M	T	Exempt				
16 GE Common (S)	C	Div.	K	T	Exempt				
17 GM H Commons (S)	A	Div.	J	T	Exempt				
18 IBM Common (S)	D	Div.	M	T	Exempt				
19 Scott Paper Common (S)	A	Div.	K	T	Exempt				
20 Chevron Common (J)	D	Div.	L	T	Exempt				

1 Income/Gain Codes: (See Col. B1 & B4)	A-\$1,000 or less B-\$15,001 to \$50,000 C-\$50,001 to \$100,000 D-\$100,001 to \$1,000,000 E-More than \$1,000,000	F-\$1,001 to \$2,500 G-\$2,501 to \$100,000 H-\$100,001 to \$1,000,000 I-More than \$1,000,000	J-\$1,001 to \$2,500 K-\$2,501 to \$100,000 L-\$100,001 to \$1,000,000 M-More than \$1,000,000	N-\$1,001 to \$2,500 O-\$2,501 to \$100,000 P-\$100,001 to \$1,000,000 Q-More than \$1,000,000	R-\$1,001 to \$2,500 S-\$2,501 to \$100,000 T-\$100,001 to \$1,000,000 U-More than \$1,000,000
2 Value Codes: (See Col. C1 & C3)	A-\$11,000 or less B-\$11,001 to \$50,000 C-\$50,001 to \$100,000 D-\$100,001 to \$1,000,000 E-More than \$1,000,000	F-\$1,001 to \$2,500 G-\$2,501 to \$100,000 H-\$100,001 to \$1,000,000 I-More than \$1,000,000	J-\$1,001 to \$2,500 K-\$2,501 to \$100,000 L-\$100,001 to \$1,000,000 M-More than \$1,000,000	N-\$1,001 to \$2,500 O-\$2,501 to \$100,000 P-\$100,001 to \$1,000,000 Q-More than \$1,000,000	R-\$1,001 to \$2,500 S-\$2,501 to \$100,000 T-\$100,001 to \$1,000,000 U-More than \$1,000,000
3 Value Method Codes: (See Col. C2)	A-Appraisal B-Book Value	C-Cost (real estate only) D-Other	E-Assessment F-Estimated	G-Cost/Market	

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 1991

VII. INVESTMENTS and TRUSTS — income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J) for joint ownership of, (S) for separate ownership of spouse, (DC) for ownership by dependent child. Place "(S)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Net Code (A-8)	(2) Type (S, DC, R, or L)	(1) Value Code (A-9)	(2) Value Method Code (C-9)	(1) Type (S, DC, R, or L)	If not exempt from disclosure			
						(2) Date: Month, Day	(3) Value Code (A-9)	(4) Gain Code (A-8)	(5) Identity of other parties (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Coca Cola Common (J)	B	Div.	K	T		Exempt			
2 DuPont Common (J)	B	Div.	K	T		Exempt			
3 GE Common (J)	C	Div.	K	T		Exempt			
4 GM Common (J)	C	Div.	K	T		Exempt			
5 GME Common (J)	A	Div.	J	T		Exempt			
6 GMH Common (J)	A	Div.	J	T		Exempt			
7 J&J Common (J)	C	Div.	L	T		Exempt			
8 Merck Common (J)	C	Div.	L	T		Exempt			
9 Occidental P Common (J)	B	Div.	J	T		Exempt			
10 Quaker Oats Common	C	Div.	K	T		Exempt			
11 Boeing Common (J)	B	Div.	K	T		Exempt			
12 Microsoft Common (J)	A	Div.	K	T		Exempt			
13 RES Common (J)	A	Div.	J	T		Exempt			
14 Sussex Cnty., DE Bond (J)	D	Div.	L	T		Exempt			
15 U.S. Treas. Note (J)	D	Div.	M	T		Exempt			
16 Ashby, McKelvie and Geddes	A	Div.	M	W		Exempt			
17 LTV Deb.	A	Div.	J	T		Exempt			
18 Atkinson Common	A	Div.	J	T		Exempt			
19 Coherent Common	A	Div.	J	T		Exempt			
20 SKI Common	A	Div.	J	T		Exempt			
1 Income/Gain Codes: (See Col. B1 & D4)	A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to \$5,000 D=\$5,001 to \$15,000 E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$250,000 H=\$250,001 to \$500,000 I=\$500,001 to \$1,000,000 J=\$1,000,001 or more								
2 Value Codes: (See Col. C1 & D3)	A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to \$5,000 D=\$5,001 to \$15,000 E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$250,000 H=\$250,001 to \$500,000 I=\$500,001 to \$1,000,000 J=\$1,000,001 or more								
3 Value Method Codes: (See Col. C2)	A-Appraisal B-Cost (real estate only) C-Other								

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 1990

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Int. Code* (A-B)	(2) Type (e.g., Div., Rent, Int.)	(1) Value Code* (J-F)	(2) Value Method Code* (Q-W)	(3) Type (e.g., buy, sell, gift, transfer, redemption)	(2) Date: Month/Day	(3) Value Code* (J-F)	(4) Gain Code* (A-B)	(5) Identity of counterparty (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Whitehall Common	A	Div.	J	T	Exempt				
2 Crown Cent. Common	A	Div.	J	T	Exempt				
3 Telefnca ADR	A	Div.	J	T	Exempt				
4 IBM (D)	B	Div.	K	T	Exempt				
5 Fidelity Equity and Income (D)	B	Div.	K	T	Exempt				
6 Fidelity Mag. (D)	B	Div.	K	T	Exempt				
7 Microsoft (D)	A	Div.	K	T	Exempt				
8 Gap (D)	A	Div.	K	T	Exempt				
9 Pac. Am. Incom (D)	B	Div.	J	T	Exempt				
10 Huffy (D)	A	Div.	J	T	Exempt				
11 Wilm. Trust Trustee									
12 Albisetti for: (S)									
13 ATT Common	B	Div.	K	T	Exempt				
14 Divers. Equity	B	Div.	L	T	Exempt				
15 Divers. Inc.	B	Div.	L	T	Exempt				
16 GE Common	C	Div.	K	T	Exempt				
17 J&J Common	D	Div.	L	T	Exempt				
18 Merck Common	C	Div.	K	T	Exempt				
19 Microsoft Common	C	Div.	K	T	Exempt				
20 P&G Common	C	Div.	K	T	Exempt				
1 Income/Gain Codes: A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to \$5,000 D=\$5,001 to \$10,000 (See Col. B1 & B4) E=\$10,001 to \$25,000 F=\$25,001 to \$50,000 G=\$50,001 to \$100,000 H=\$100,001 to \$250,000 2 Value Codes: J=\$1,000 or less K=\$1,001 to \$25,000 L=\$25,001 to \$50,000 M=\$50,001 to \$100,000 N=\$100,001 to \$250,000 (See Col. C1 & C3) O=\$250,001 to \$500,000 P=\$500,001 to \$1,000,000 Q=\$1,000,001 to \$2,500,000 R=\$2,500,001 to \$5,000,000 S=\$5,000,001 to \$10,000,000 T=\$10,000,001 to \$25,000,000 3 Value Method Codes: U=Appraisal V=Cost (real estate only) W=Assessment X=Cash/Market (See Col. C2) Y=Book Value Z=Other AA=Estimated									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 199

VII. INVESTMENTS AND TRUSTS - Income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

1. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (S) for joint ownership by spouse, (J) for joint ownership by spouse and dependent children, (P) for separate ownership by spouse, (D) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	2. Income during reporting period		3. Gross value at end of reporting period		4. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code (A-H)	Type (div., stock, or Int.)	Value (J-P)	Value Method Code (Q-W)	(2) Date, Month, Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)									
1 Shell Common	C	Div.	K	T	Exempt				
2 Waste Management Common	B	Div.	K	T	Exempt				
3 Rodney Sq.									
4 US Gov.	B	Div.	J	T	Exempt				
5 Del Riv. Trans. Bond	C	Div.	K	T	Exempt				
6 Del Trans. Bond Auth.	C	Div.	K	T	Exempt				
7 Palm Beach Cnty. Bond	C	Div.	K	T	Exempt				
8 Columbia Gas Common	B	Div.	K	T	Exempt				
9 McDonalds Common	B	Div.	K	T	Exempt				
10 Dow Chem. Common	A	Div.	J	T	Exempt				
11 Fleet/Norstar Common	B	Div.	K	T	Exempt				
12 Security Pacific Common	B	Div.	K	T	Exempt				
13 GTE Common	B	Div.	K	T	Exempt				
14 Wilmington Trust CD	C	Int.	K	T	Exempt				
15 Wilmington Trust Money Mkt.	D	Int.	M	T	Exempt				
16 RJR Nabisco 17's of 2007	A	Int.	J	T	Exempt				
17 RJR Nabisco 15's of 2001	A	Int.	J	T	Exempt				
18 USG Corp. 16's of 2008	A	Int.	J	T	Exempt				
19 Forest Oil Corp. Series A	A	Div.	J	T	Exempt				
20 Preferred									
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1 & B4) B=\$15,001 to \$50,000 C=\$51,001 to \$100,000 D=\$101,001 to \$150,000 E=\$150,001 to \$250,000 F=\$250,001 to \$500,000 G=\$500,001 to \$1,000,000 H=\$1,000,001 to \$5,000,000 I=\$5,000,001 to \$10,000,000 J=\$10,000,001 to \$50,000,000 K=\$50,000,001 to \$100,000,000 L=\$100,000,001 to \$500,000,000 M=\$500,000,001 to \$1,000,000,000 N=\$1,000,000,001 to \$5,000,000,000 O=\$5,000,000,001 to \$10,000,000,000 P=More than \$10,000,000,000 2 Value Codes: A=\$15,000 or less (See Col. C1 & C3) B=\$150,001 to \$500,000 C=\$500,001 to \$1,000,000 D=\$1,000,001 to \$5,000,000 E=\$5,000,001 to \$10,000,000 F=\$10,000,001 to \$50,000,000 G=\$50,000,001 to \$100,000,000 H=\$100,000,001 to \$500,000,000 I=\$500,000,001 to \$1,000,000,000 J=\$1,000,000,001 to \$5,000,000,000 K=\$5,000,000,001 to \$10,000,000,000 L=\$10,000,000,001 to \$50,000,000,000 M=\$50,000,000,001 to \$100,000,000,000 N=\$100,000,000,001 to \$500,000,000,000 O=\$500,000,000,001 to \$1,000,000,000,000 P=More than \$1,000,000,000,000 3 Value Method Codes: Q=Appraisal U=Book Value R=Cost (real estate only) W=Other S=Assessment V=Estimated X=Cash/Market									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 1991

VII. INVESTMENTS and TRUSTS - Income, value, transactions. (Includes those of spouse and dependent children; see pp. 15-17 of Instructions.)

1	A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(2)" for joint ownership of reporting individual and spouse, "(3)" for separate ownership of spouse, "(4)" for ownership by dependent child. File "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Reg. Code (A-B)	(2) Type (e.g., Div., Int., etc.) For: (C) List.	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	If not exempt from disclosure				
						(1) Type (C-E) Buy/Sell, Mortg., Transfer, Redemption	(2) Date: Month- Day	(3) Value Code (J-F)	(4) Gain Code (A-B)	(5) Identity of Counterparty (If private transaction)
	☐ NONE (No reportable income, assets, or transactions)									
1	Forest Oil Corp. Common	A	Div.	J	T	Exempt				
2	Square D Corp. March 60	A		J	T	Exempt				
3	puts									
4	RJR Nabisco Common	A		J	T	Exempt				
5	Salomon Inc. Common	A		J	T	Exempt				
6	USG Corp. Common	A		J	T	Exempt				
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1 Income/Gain Codes:	A-\$1,000 or less (See Col. B1 & B4)	B-\$1,001 to \$2,500 B-\$25,001 to \$100,000	C-\$2,501 to 5,000 C-\$100,001 to \$1,000,000	D-\$5,001 to \$15,000 D-More than \$1,000,000
2 Value Codes:	J-\$1,000 or less (See Col. C1 & D3)	K-\$10,001 to \$25,000 L-\$25,001 to \$100,000	M-\$100,001 to \$1,000,000 N-More than \$1,000,000	
3 Value Method Codes:	Q-Appraisal (See Col. C2)	R-Cost (real estate only) V-Other	S-Assessment W-Redeemed	T-Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

McKelvie, Roderick R.

Date of Report

November 4, 1991

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 301 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Roderick R. McKelvie

Date

11/4/91

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1601.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544