

AD-10
Rev. 1/91

FINANCIAL DISCLOSURE REPORT

 Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) DeMOSS, HAROLD R. JR.		2. Court or Organization U. S. Court of Appeals for the 5th Circuit		3. Date of Report June 28, 1991
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Judge, Active		5. Report Type (check appropriate type) ☒ Nomination, Date 6/27/91 ☒ Initial ☐ Annual ☐ Final		6. Reporting Period January 1, 1990 to May 31, 1991
7. Chambers or Office Address 2900 South Tower, Pennzoil Place Houston, Texas 77002				
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.				

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION
NAME OF ORGANIZATION/ENTITY
☐
NONE (No reportable positions)

1. Partner Bracewell & Patterson, law firm, Houston, TX
2. Partner B & P Co., investment partnership, Houston, TX

(continued on page 4)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE
PARTIES AND TERMS
☐
NONE (No reportable agreements)

1. Partnership Agreement of Bracewell & Patterson provides for future pay-
ments after my withdrawal or retirement from the firm based upon a per-
centage of average income of four highest years of income. Precise
amount of monthly payments not yet determined.

(continued on page 4)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
SOURCE AND TYPE
GROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐
NONE (No reportable non-investment income)

- | | | |
|---|---|----------------------------|
| 1 | <u>Bracewell & Patterson - partners distribution 1990</u> | <u>\$ 279,435.00</u> |
| 3 | <u>Bracewell & Patterson - partners distribution 1991</u> | <u>\$ 106,000.00 (est)</u> |
| 3 | <u>Sun Refining Co. - oil and gas royalties 1990</u> | <u>\$ 1,120.00</u> |
| 4 | <u>Sun Refining Co. - oil and gas royalties 1991</u> | <u>\$ 250.00 (est)</u> |
| 5 | | <u>\$</u> |

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
HAROLD R. DeMOSS, JR.	June 28, 1991

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCEDESCRIPTION
☐

NONE (No such reportable reimbursements or gifts)

1	Exempt	
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCEDESCRIPTIONVALUE
☐

NONE (No such reportable gifts)

1	Exempt	\$	
2		\$	
3		\$	
4		\$	

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE*
☐

NONE (No reportable liabilities)

1	O.N.Baker, Attorney at Law Inc.		
2	Employees Profit Sharing Trust (J)	Mortgage on rental condo units	N
3	First Interstate Bank (J)	Unsecured notes	K
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less; K = \$15,001 to \$50,000; L = \$50,001 to \$100,000; M = \$100,001 to \$250,000; N = \$250,001 to \$500,000; O = \$500,001 to \$1,000,000; P = more than \$1,000,000.

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

HAROLD R. DeMOSS, JR.

Date of Report

June 28, 1991

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by citing the parenthetical "(J)" for joint ownership of separate individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					
	(1) Int- est (A-E)	(2) Type (S, D, J, DC, X) Div., rent or Int.	(1) Value (J-F)	(2) Value Method Code (G-W)	Exempt If not exempt from disclosure					(5) Identity of transferor (if exempt transaction)
					(1) Type (S, D, J, DC, X) Buyer, Payer, Lien	(2) Date Month Day	(3) Sales Price (J-F)	(4) Gains Loss (A-E)		
☐ NONE (No reportable income, assets, or transactions)										
1 Eight Condo Units - Rent Property, Houston, TX	E	rent	N	R						
2 Kemper Clearing Corp. * (J) Acct.No.UH74-2358-1094	B	div.	K	T						
3 Kemper Clearing Corp. * Acct.No.UH74-2358-1110	D	div./ int.	M	T						
4 Kemper Clearing Corp. (S) Acct.No.UH74-2358-1096	A	div.	L	T						
5 Merrill Lynch No. 582-15E85	B	div./ int.	K	T						
6 (S) Tanglewood Natl Bk	B	int.	K	T						
7 First Interstate Bank	A	int.	J	T						
8 Partnership K-ls	B	int.	K	U						
9										
10										
11										
12										
13										
14										
15										
16										
17										
18										
19										
20										

1 Income/Gain Codes: A=\$1,000 or less (See Col. E & D4)	B=\$1,001 to \$2,500 F=\$10,001 to \$100,000	C=\$2,501 to 5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=more than \$1,000,000
2 Value Codes: J=\$15,000 or less (See Col. C1 & D3)	K=\$15,001 to \$50,000 O=\$500,001 to \$1,000,000	L=\$50,001 to \$100,000 P=more than \$1,000,000	M=\$100,001 to \$250,000 N=more than \$250,000
3 Value Method Codes: O=Appraised (See Col. C2)	U=Book Value V=Other	W=Cost (real estate only) X=Estimated	Y=Cash/Market

* See attached monthly reports for specifics of current holdings

FINANCIAL DISCLOSURE REPORT (cont'd)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

I. POSITIONS (continued)

- | | |
|---|---|
| 3. Partner | Piping Rock Investors, investment partnership,
Houston, TX (closed 12/31/89) |
| 4. Trustee | McGregor Children's Trust, Houston, TX |
| 5. Independent Administrator
with Will Annexed | Estate of Harold R. DeMoss, Deceased, Houston, TX |
| 6. Vice President | PGB Funding, Inc., Houston, TX |
| 7. Director | Family Service Center, Houston, TX |
| 8. Council Member | Administrative Conference of the U.S., Washington, D.C. |

II. AGREEMENTS (continued)

- | | |
|----|--|
| 2. | 401(K) Plan of Bracewell & Patterson permits continuation of my participation in plan after withdrawal or retirement, but with no future contributions to the Plan. Withdrawal payments must begin when I reach age 70-1/2 and permissive withdrawal of all or some portion of funds now vested in my account may occur upon my departure from the law firm or at any later time before mandatory withdrawal payments begin. |
|----|--|

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

June 27, 1991

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544