FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	103000--	Notes payable to banks—secured	
U.S. Government securities—add schedule		Notes payable to banks—unsecured	
Listed securities—add schedule	75000	Notes payable to relatives	29000
Unlisted securities—add schedule		Notes payable to others	
Accounts and notes receivable:		Accounts and bills due	2000
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable—add schedule	21000
Real estate owned—add schedule	1100000--	Chattel mortgages and other liens payable	
Real estate mortgages receivable		Other debts—itemize:	
Autos and other personal property	135000--		
Cash value—life insurance	1000		
Other assets—itemize:			
Trusts	1120000		
IRAs	300000	Total liabilities	52000--
		Net worth	2782000--
Total assets	2834000	Total liabilities and net worth	2834000--
CONTINGENT LIABILITIES	None.	GENERAL INFORMATION	
As endorser, comaker or guarantor		Are any assets pledged? (Add schedule.)	No
On leases or contracts		Are you defendant in any suits or legal actions?	No
Legal Claims		Have you ever taken bankruptcy?	No
Provision for Federal Income Tax			
Other special debt			

Mortgages: Wilmington Trust Company holds a mortgage on our summer home at 17 Henlopen Ave., Rehoboth, DE - balance $21,000

Real Estate owned: Residence, 2206 Old Kennett Rd., Wilmington, DE
Summer residence, 17 Henlopen Ave., Rehoboth, DE
Residence, 3327 Resevoir Rd., N.W., Washington, D.C.
479 acres, unimproved land, near Ovando, Montana

Securities are listed in the Financial Disclosure Statements, attached.

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JC-10
Rev. 1/91

FINANCIAL DISCLOSURE REPORT

FILE COPY

Report Required by the Ethics Reform Act of 1989, Pub. L. No. 101-194, November 30, 1989 (5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial)	2. Court or Organization	3. Date of Report
ROTH, Jane R.	U.S. District Court for the District of Delaware	May 14, 1991
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time)	**5. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Judge - Active	___ Nomination, Date____ ___ Initial xx Annual ___ Final	1990
7. Chambers or Office Address		
Lock Box 12, 844 King Street Wilmington, Delaware 19801		

IMPORTANT NOTES: *The instructions accompanying this form must be followed.* **Complete all parts, checking the NONE box for each section where you have no reportable information.** *Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions)	
	Board of Overseers, Law School of Widener University
~~Trustee~~	~~Historical Society of Delaware~~
Director	World Affairs Council of Wilmington
~~President~~	~~Richard S. Rodney Inn, AICE~~
	I hold and exercise a power of attorney for Catherine M. Donahoe

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements)	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE (Honoraria only)	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
☐ NONE (No reportable non-investment income)		
1 Aug-Dec. 1990	Villanova Univ. School of Law, Adjunct Faculty	$ 4,800
2 See list of spouse's honoraria, attached		$
3		$
4		$
5		$

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
ROTH, Jane R.	May 14, 199

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements or gifts)	
1	Wilmington Country Club	Spouse - Honorary Membership
2	Eur. Wehrkunde Conference	2 nights lodging, meal-Munich, W.Ger. 2/2-3/9,
3		self and spouse
4	Former Members of Congress Assn.	Airfare, lodging, meals-Wash D.C.-Bonn-Berlin
5		Prague, Budapest, Wash D.C.-5/26-6/3/90 self &
6		spouse
7	AECA, 3 Rembrandt Cl., London, U.K.	Airfare, lodging, meals - London, Brussels, Wash D.C.- 11/30-12/2/90-self & spouse
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts)		
1			$
2			$
3			$
4			$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities)		
1	Wilmington Trust Co. (J)	Mortgage-Rehoboth house	K
2	Lucille F. Roth (J)	Loan-Montana land	K
3			
4			
5			
6			
7			

* VALUE CODES: J = $15,000 or less; K = $15,001 to $50,000; L = $50,001 to $100,000; M = $100,001 to $250,000; N = $250,001 to $500,000; O = $500,001 to $1,000,000; P = More than $1,000,000

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
ROTH, Jane R.	May 14, 1991

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period (1) Amt. Code[1] (A-H)	B. (2) Type (e.g., div., rent or int.)	C. Gross value at end of reporting period (1) Value Code[2] (J-P)	C. (2) Value Method Code[3] (Q-W)	D. Transactions during reporting period (1) Type (e.g. buy, sell, merger, redemption)	D. If not exempt from disclosure (2) Date: Month-Day	(3) Value Code[2] (J-P)	(4) Gain Code[1] (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
[1] 17 Henlopen Ave., Rehoboth, DE - J	A	-	M	W					
[2] Reddington P'ship XI 1 unit - Bankrupt	A	-	J	T					
[3] Del. Comm. Affairs Bonds	A	INT.	J	T					
[4] Wilm. City Bonds	A	INT.	J	T					
[5] Wilm. Parking Auth Bonds	A	INT.	J	T					
[6] Wilm. Trust Money Market Acct	B	INT.	K	T					
[7] Wilm. Trust Savings Acct. - J	A	INT.	J	T					
[8] Wilm Trust Checking Acc	A	INT.	J	T					
[9] Artisans Savings Acct Wilm, DE	A	INT.	J	T					
[10] Mellon Bank IRA Wilm, DE	A	-	L	T					
[11] Wilm Trust IRA	A	-	K	T					
[12] Dean Witter Reynolds IRA - Wilm DE	A	-	M	T					
[13] Trust w/Wilm Trust - see attached	E	INT.	O	T					
[14] Richards Trust-see att.	D	INT.	M	T					
[15] Wilmington Trust Savings Acct - DC	A	INT.	K	T					
[16] Artisans Savings Acct Wilm, DE - DC	A	INT.	K	T					
[17] 3327 Reservoir Rd.,Wash D.C. - J (X)	E	Rent	M	W					
[18] 479 acres-Montana J	A	-	M	W					
[19] Legg Mason IRA Wilm, DE	A	-	J	T					
[20] Metro Life Ins Policy S	A	-	J	T					

1 Income/Gain Codes: (See Col. B1 & D4) A=$1,000 or less; B=$1,001 to $2,500; C=$2,501 to $5,000; D=$5,001 to $15,000; E=$15,001 to $50,000; F=$50,001 to $100,000; G=$100,001 to $1,000,000; H=More than $1,000,000

2 Value Codes: (See Col. C1 & D3) J=$15,000 or less; K=$15,001 to $50,000; L=$50,001 to $100,000; M=$100,001 to $250,000; N=$250,001 to $500,000; O=$500,001 to $1,000,000; P=More than $1,000,000

3 Value Method Codes: (See Col. C2) Q=Appraisal; R=Cost (real estate only); S=Assessment; T=Cash/Market; U=Book Value; V=Other; W=Estimated

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
ROTH, Jane R.	May 14, 1991

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for [first ownership of reporting individual and spouse], "(J)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Please "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period (1) Amt. Code (A-E)	B. Income during reporting period (2) Type (e.g., div., rent or int.)	C. Gross value at end of reporting period (1) Value Code (J-P)	C. Gross value at end of reporting period (2) Value Method Code (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. Transactions during reporting period — If not exempt from disclosure (2) Date: Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-E)	(5) Identity of buyer/seller (If private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Aust. Oil & Gas S	A	-	J	T					
2 Himont Inc. S	A	Int			sell	1/9	J	B	
3 New Castle Cty Eco Dev. Bonds S	A	Int			buy	11/12	J		
4 New Castle Cty SFM Bonds S	B	Int			Buy	11/21	K		
5 Del. St. Housing Auth Bonds S	A	Int			buy	11/5	J		
6 Dreyfus Cap Value Fund S (legg Mason Acct)	B	Int	K	T					
7 Wilm Trust IRA S	A	-	J	T					
8 Legg Mason IRA S	A	-	K	T					
9 Legg Mason Keogh S	B	Int	L	T					
10 Hercules Pension S	D	Pension							
11 Employee Thrift plan	A	-	J	T				.	
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes: (See Col. B1 & D4) A=$1,000 or less; B=$1,001 to $2,500; C=$2,501 to 5,000; D=$5,001 to $15,000; E=$15,001 to $50,000; F=$50,001 to $100,000; G=$100,001 to $1,000,000; H=More than $1,000,000

2 Value Codes: (See Col. C1 & D3) J=$15,000 or less; K=$15,001 to $50,000; L=$50,001 to $100,000; M=$100,001 to $250,000; N=$250,001 to $500,000; O=$500,001 to $1,000,000; P=more than $1,000,000

3 Value Method Codes: (See Col. C2) Q=Appraisal; R=Cost (real estate only); S=Assessment; T=Cash/Market; U=Book Value; V=Other; W=Estimated

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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature _____ Date May 14, 1991

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

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Reporting Individual's Name	PART I. HONORARIA	Page Number
WILLIAM V. ROTH, JR.		1

For you and your spouse, list the source (name and address), date received, and amount of honoraria, aggregating $200 or more in value, received from each source during the reporting period. Identify the activity (speech, article, appearance, or other) which generated the honoraria. Indicate which honoraria were received by your spouse. Total only your honoraria at the bottom of the page, and subtract the amount donated to charity to obtain the total amount accepted by you. For further information, see instructions. **Note:** Travel expenses in excess of $250 related to honoraria must be reported in Part VI, Reimbursements. None ☐

	Date Received	Source (Name and Address)	Speech, Article, or Appearance Other: Describe	Amount
Examples:	3/26/9X	Association of American Associations, Wash., D.C.	Speech	$1,000
	7/23/9X	XYZ Magazine, NY, NY	Article	$500
1	3/21/90	Asahi Simbun, Toyko, Japan	Article	$ 350.00
2	4/24/90	R. Duffy Wall & Associates, Washington, D. C.	Speech	2,000.00
3	6/15/90	ARCO Chemical Co., King of Prussia, Pennsylvania	Speech	2,000.00
4	5/8/90	Washington Tax Seminars, Washington, D. C.	Speech	1,500.00
5	6/4/90	Wine Institute, 165 Post Street, San Francisco, Ca. 94108	Speech	2,000.00
6	12/27/90	Center for Strategic & International Studies, Wash., D.C.	Speech	2,000.00
7	6/21/90	Former Members of Congress Assoc., Washington, D. C.	Speech	500.00
8	6/4/90	Society of Colonial Wars in the State of De, Wilm., De.	Speech	500.00
9	6/20/90	Wall Street Journal, New York, New York	Article	100.00
10	7/27/90	Coalition Against Regressive Taxation, Washington, D. C.	Speech	2,000.00
11	9/24/90	Merrill Lynch, Washington, D. C.	Speech	2,000.00
12	9/27/90	Securities Industry Association, Washington, D. C.	Speech	2,000.00
13	10/4/90	BeiGolembe, Inc., 4751 Best Road, Atlanta, Ga. 30337	Speech	2,000.00
			Total Honoraria Received by Reporting Individual	
			Subtract Honoraria (reported above) Which Was Donated To Charity	
			Honoraria Accepted	

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Reporting Individual's Name	PART I. HONORARIA	Page Number
WILLIAM V. ROTH, JR.		2

For you and your spouse, list the source (name and address), date received, and amount of honoraria, aggregating $200 or more in value, received from each source during the reporting period. Identify the activity (speech, article, appearance, or other) which generated the honoraria. Indicate which honoraria were received by your spouse. Total only your honoraria at the bottom of the page, and subtract the amount donated to charity to obtain the total amount accepted by you. For further information, see instructions. **Note:** Travel expenses in excess of $250 related to honoraria must be reported in Part VI, Reimbursements.

None ☐

	Date Received	Source (Name and Address)	Speech, Article, or Appearance Other: Describe	Amount
Examples	3/26/9X	Association of American Associations, Wash., D.C.	Speech	$1,000
	7/23/9X	XYZ Magazine, NY, NY	Article	$500
1	10/15/90	Phoenix Mutual Life Insurance Co., Hartford, Conn. 06115	Speech	2,000.00
2	12/22/90	University of Montana, Missula, Montana 59812-1291	Speech	1,230.50
3	12/22/90	University of Montana, Missula, Montant 59812-1291	Speech	1,230.50
4	12/13/90	AICPA, Washington, D. C.	Speech	2,000.00
5	12/19/90	Fibers Manufacturers Association, Washington, D. C.	Speech	2,000.00
6	10/29/90	Washington Tax Association, Washington D. C.	Speech	1,000.00
7				
8				
9				
10				
11				
12				
13				

Total Honoraria Received by Reporting Individual	$28,411.00
Subtract Honoraria (reported above) Which Was Donated To Charity	1,074.00
Honoraria Accepted	$27,337.00

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