

FD-10
Rev. 1/79

FINANCIAL DISCLOSURE REPORT

 Report Required by the Federal
Judiciary Act of 1925, Pub. L. No.
181-116, November 20, 1925
(8 U.S.C.A. App. C, §§101-112)

1. Person Reporting (Last name, first, middle initial) Carnes, Edward E.	2. Court or Organization U.S. Court of Appeals for the Eleventh Circuit	3. Date of Report January 27, 1992
4. Title (Article III Judges indicate active or senior circuit; Magistrate Judges indicate full- or part-time)	5. Report Type (check appropriate type) ☒ Election, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period January 1, 1991 to December 31, 1991
7. Chambers or Office Address Room 311 Alabama State House 11 South Union Street Montgomery, AL 36130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, including the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)

POSITION
NAME OF ORGANIZATION/ENTITY
☒ **NONE** (No reportable positions)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of instructions.)

DATE
PARTIES AND TERMS
☒ **NONE** (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

DATE
SOURCE AND TYPE
GROSS INCOME
(Yours, not spouse's)

☒ **NONE** (No reportable non-investment income)

<u>DATE</u> (Month/year only)	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (Yours, not spouse's)
1 1989 CYE	Wages - State of Alabama	\$ 75,103
2 1990 CYE	Wages - State of Alabama	\$ 81,747
3 1991 CYE	Wages - State of Alabama	\$ 95,070
4		\$
5		\$

APPENDIX Q

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Person Reporting

Date of Report

Carnes, Edward E.

Jan. 27, 1992

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.
 (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE**DESCRIPTION**☐**NONE** (No such reportable reimbursements or gifts)

1	Exempt	
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE**DESCRIPTION****VALUE**☐**NONE** (No such reportable gifts)

1	Exempt		\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR**DESCRIPTION****VALUE CODE**☒**NONE** (No reportable liabilities)

1		
2		
3		
4		
5		
6		
7		

• VALUE CODES: 1 = \$15,000 or less... 2 = \$15,001 to \$50,000... 3 = \$50,001 to \$100,000... 4 = \$100,001 to \$250,000
 5 = \$250,001 to \$500,000... 6 = \$500,001 to \$1,000,000... 7 = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Carnes, Edward E.

Date of Report

Jan. 27, 1992

VII. INVESTMENTS and TRUSTS — income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of instructions.)

Page 1 of 3

A. Description of Assets (including trust assets) Indicate ownership, applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of individual and spouse, "(DC)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" if asset was exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Amount (A-1)	Type (A-2) Div. Int. Inv. Real Estate	Value (C-1)	Value (C-2)	(1) Type (D-1) Buy, Sell, Dividend, Withdrawal, Contribution	(2) Date (D-2) Month, Day	(3) Value (D-3) (\$-)	(4) Gain (D-4) (\$-)	(5) Identity of Buyer/Seller (If private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Checking account (J)									
2 First Alabama Bank									
3 Montgomery, AL									
4 A/C #02-0437-6501	A	Int.	J	T	Exempt				
5									
6 Savings account (J)									
7 First Alabama Bank									
8 Montgomery, AL									
9 A/C #02-0160-4155	A	Int.	K	T	Exempt				
10									
11 Employees' Retirement									
12 System of Alabama									
13 A/C #130489	B	Int.	K	T	Exempt				
14									
15 FEIRAF RSA-1									
16 Deferred Compensation Account									
17 A/C #416-64-9390	B	Int.	K	T	Exempt				
18									
19									
20									
1 Income/Gain Codes: A-\$1,000 or less (See Ch. B1 & B4) B-\$1,001 to \$2,500 C-\$2,501 to \$5,000 D-\$5,001 to \$15,000 E-\$15,001 to \$50,000 F-\$50,001 to \$100,000 G-\$100,001 to \$250,000 H-\$250,001 to \$500,000 I-\$500,001 to \$1,000,000 J-\$1,000,001 to \$2,500,000 K-\$2,500,001 to \$5,000,000 L-\$5,000,001 to \$10,000,000 M-\$10,000,001 to \$25,000,000 N-\$25,000,001 to \$50,000,000 O-\$50,000,001 to \$100,000,000 P-\$100,000,001 to \$250,000,000 Q-\$250,000,001 to \$500,000,000 R-\$500,000,001 to \$1,000,000,000 S-\$1,000,000,001 to \$2,500,000,000 T-\$2,500,000,001 to \$5,000,000,000 U-\$5,000,000,001 to \$10,000,000,000 V-\$10,000,000,001 to \$25,000,000,000 W-\$25,000,000,001 to \$50,000,000,000 X-\$50,000,000,001 to \$100,000,000,000 Y-\$100,000,000,001 to \$250,000,000,000 Z-\$250,000,000,001 to \$500,000,000,000 AA-\$500,000,000,001 to \$1,000,000,000,000 AB-\$1,000,000,000,001 to \$2,500,000,000,000 AC-\$2,500,000,000,001 to \$5,000,000,000,000 AD-\$5,000,000,000,001 to \$10,000,000,000,000 AE-\$10,000,000,000,001 to \$25,000,000,000,000 AF-\$25,000,000,000,001 to \$50,000,000,000,000 AG-\$50,000,000,000,001 to \$100,000,000,000,000 AH-\$100,000,000,000,001 to \$250,000,000,000,000 AI-\$250,000,000,000,001 to \$500,000,000,000,000 AJ-\$500,000,000,000,001 to \$1,000,000,000,000,000 AK-\$1,000,000,000,000,001 to \$2,500,000,000,000,000 AL-\$2,500,000,000,000,001 to \$5,000,000,000,000,000 AM-\$5,000,000,000,000,001 to \$10,000,000,000,000,000 AN-\$10,000,000,000,000,001 to \$25,000,000,000,000,000 AO-\$25,000,000,000,000,001 to \$50,000,000,000,000,000 AP-\$50,000,000,000,000,001 to \$100,000,000,000,000,000 AQ-\$100,000,000,000,000,001 to \$250,000,000,000,000,000 AR-\$250,000,000,000,000,001 to \$500,000,000,000,000,000 AS-\$500,000,000,000,000,001 to \$1,000,000,000,000,000,000 AT-\$1,000,000,000,000,000,001 to \$2,500,000,000,000,000,000 AU-\$2,500,000,000,000,000,001 to \$5,000,000,000,000,000,000 AV-\$5,000,000,000,000,000,001 to \$10,000,000,000,000,000,000 AW-\$10,000,000,000,000,000,001 to \$25,000,000,000,000,000,000 AX-\$25,000,000,000,000,000,001 to \$50,000,000,000,000,000,000 AY-\$50,000,000,000,000,000,001 to \$100,000,000,000,000,000,000 AZ-\$100,000,000,000,000,000,001 to \$250,000,000,000,000,000,000 BA-\$250,000,000,000,000,000,001 to \$500,000,000,000,000,000,000 BB-\$500,000,000,000,000,000,001 to \$1,000,000,000,000,000,000,000 BC-\$1,000,000,000,000,000,000,001 to \$2,500,000,000,000,000,000,000 BD-\$2,500,000,000,000,000,000,001 to \$5,000,000,000,000,000,000,000 BE-\$5,000,000,000,000,000,000,001 to \$10,000,000,000,000,000,000,000 BF-\$10,000,000,000,000,000,000,001 to \$25,000,000,000,000,000,000,000 BG-\$25,000,000,000,000,000,000,001 to \$50,000,000,000,000,000,000,000 BH-\$50,000,000,000,000,000,000,001 to \$100,000,000,000,000,000,000,000 BI-\$100,000,000,000,000,000,000,001 to \$250,000,000,000,000,000,000,000 BJ-\$250,000,000,000,000,000,000,001 to \$500,000,000,000,000,000,000,000 BK-\$500,000,000,000,000,000,000,001 to \$1,000,000,000,000,000,000,000,000 BL-\$1,000,000,000,000,000,000,000,001 to \$2,500,000,000,000,000,000,000,000 BM-\$2,500,000,000,000,000,000,000,001 to \$5,000,000,000,000,000,000,000,000 BN-\$5,000,000,000,000,000,000,000,001 to \$10,000,000,000,000,000,000,000,000 BO-\$10,000,000,000,000,000,000,000,001 to \$25,000,000,000,000,000,000,000,000 BP-\$25,000,000,000,000,000,000,000,001 to \$50,000,000,000,000,000,000,000,000 BQ-\$50,000,000,000,000,000,000,000,001 to \$100,000,000,000,000,000,000,000,000 BR-\$100,000,000,000,000,000,000,000,001 to \$250,000,000,000,000,000,000,000,000 BS-\$250,000,000,000,000,000,000,000,001 to \$500,000,000,000,000,000,000,000,000 BT-\$500,000,000,000,000,000,000,000,001 to \$1,000,000,000,000,000,000,000,000,000 BU-\$1,000,000,000,000,000,000,000,000,001 to \$2,500,000,000,000,000,000,000,000,000 BV-\$2,500,000,000,000,000,000,000,000,001 to \$5,000,000,000,000,000,000,000,000,000 BW-\$5,000,000,000,000,000,000,000,000,001 to 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CH-\$25,000,000,000,000,000,000,000,000,000,001 to \$50,000,000,000,000,000,000,000,000,000,000 CI-\$50,000,000,000,000,000,000,000,000,000,001 to \$100,000,000,000,000,000,000,000,000,000,000 CJ-\$100,000,000,000,000,000,000,000,000,000,001 to \$250,000,000,000,000,000,000,000,000,000,000 CK-\$250,000,000,000,000,000,000,000,000,000,001 to \$500,000,000,000,000,000,000,000,000,000,000 CL-\$500,000,000,000,000,000,000,000,000,000,001 to \$1,000,000,000,000,000,000,000,000,000,000,000 CM-\$1,000,000,000,000,000,000,000,000,000,000,001 to \$2,500,000,000,000,000,000,000,000,000,000,000 CN-\$2,500,000,000,000,000,000,000,000,000,000,001 to \$5,000,000,000,000,000,000,000,000,000,000,000 CO-\$5,000,000,000,000,000,000,000,000,000,000,001 to \$10,000,000,000,000,000,000,000,000,000,000,000 CP-\$10,000,000,000,000,000,000,000,000,000,000,001 to \$25,000,000,000,000,000,000,000,000,000,000,000 CQ-\$25,000,000,000,000,000,000,000,000,000,000,001 to \$50,000,000,000,000,000,000,000,000,000,000,000 CR-\$50,000,000,000,000,000,000,000,000,000,000,001 to \$100,000,000,000,000,000,000,000,000,000,000,000 CS-\$100,000,000,000,000,000,000,000,000,000,000,001 to \$250,000,000,000,000,000,000,000,000,000,000,000 CT-\$250,000,000,000,000,000,000,000,000,000,000,001 to \$500,000,000,000,000,000,000,000,000,000,000,000 CU-\$500,000,000,000,000,000,000,000,000,000,000,001 to \$1,000,000,000,000,000,000,000,000,000,000,000,000 CV-\$1,000,000,000,000,000,000,000,000,000,000,000,001 to \$2,500,000,000,000,000,000,000,000,000,000,000,000 CW-\$2,500,000,000,000,000,000,000,000,000,000,000,001 to \$5,000,000,000,000,000,000,000,000,000,000,000,000 CX-\$5,000,000,000,000,000,000,000,000,000,000,000,001 to \$10,000,000,000,000,000,000,000,000,000,000,000,000 CY-\$10,000,000,000,000,000,000,000,000,000,000,000,001 to \$25,000,000,000,000,000,000,000,000,000,000,000,000 CZ-\$25,000,000,000,000,000,000,000,000,000,000,000,001 to \$50,000,000,000,000,000,000,000,000,000,000,000,000									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Cernas, Edward E.

Date of Report

Jan. 27, 1992

VII. INVESTMENTS and TRUSTS — income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.) Page 2 of 3

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of community individual and spouse, "(D)" for assets ownership by spouse, "(DC)" for joint ownership by dependent child. Place "(P)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Int. Code (A-D)	(2) Type (S, D, DC, Div., Trust or Int.)	(1) Value Code (J-P)	(2) Value Method Code (Q-U)	(1) Type (S, D, DC, Div., Trust or Int.)	If not exempt from disclosure			
					(2) Date Acq. (Month-Day)	(3) Value Code (J-P)	(4) Gain Code (A-B)	(5) Identity of Buyer/Seller (if prior transaction)	
☐ NONE (No reportable income, assets, or transactions)									
1 PEIRAF Deductible Employee									
2 Contribution Account									
3 A/C #416-64-9390	B	Int.	K	T	Exempt				
4									
5									
6 Note receivable - Credit									
7 Bureau of Cullman, Inc. of									
8 Cullman, AL - 10% interest	A	Int.	J	T	Exempt				
9									
10 Certificate of Deposit (S)									
11 Sterling Bank									
12 Montgomery, AL									
13 A/C #00000201 CD #1780	B	Int.	K	T	Exempt				
14									
15 Certificate of Deposit (S)									
16 Sterling Bank									
17 Montgomery, AL									
18 A/C #00000201 CD #1781	A	Int.	J	T	Exempt				
19									
20									
1 Income/Gain Codes: A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$15,000 (E= \$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=more than \$1,000,000 2 Value Codes: J=\$15,000 or less K=\$15,001 to \$50,000 L=\$50,001 to \$100,000 M=\$100,001 to \$250,000 (N= \$250,001 to \$500,000 O=\$500,001 to \$1,000,000 P=more than \$1,000,000 3 Value Method Codes: Q=Original R=Cost (Full estate only) S=Estimated (T=Other U=Other V=Other W=Estimated X=Other Y=Other Z=Other (See Cal. C2) O=Bank Value - (Full estate only) P=Other Q=Other R=Other S=Other T=Other U=Other V=Other W=Estimated X=Other Y=Other Z=Other									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

Carson, Edward E.

Jan. 27, 1999

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.) Page 3 of 3

1. Investments of Assets (Indicate where applicable, owner of the asset by using the parenthetical (S) for Sole ownership of, (J) for Joint individual and spouse, (DC) for Decedent ownership of spouse, (DC) for Decedent ownership by dependent child. Place (S) after each asset except those prior disclosure.	2. Income during reporting period		3. Gross Value at end of reporting period		4. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
Asset Cost (A-D)	Type (S, J, DC) Start (A-D)	Value (A-D)	Value (A-D)	Value (A-D)	Type (S, J, DC) Buy, Sell, Transfer, Exchange	Date (A-D)	Value (A-D)	Gain, Loss (A-D)	Identify if transfer/sale (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Individual Retirement Account (S)									
2 Shearson Lehman Brothers									
3 A/C #445-61467-13	A	Int.	J	T	Exempt				
4									
5 Merrill Lynch (DC)									
6 Birmingham, AL									
7 A/C #524-26804									
8 TIGR Series 5 Zero CPN	A	Int.	J	T	Exempt				
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes: A-\$1,000 or less B-\$1,001 to \$2,500 C=\$2,501 to \$5,000 D=\$5,001 to \$10,000
 (See Col. B1 & B4) E=\$10,001 to \$25,000 F=\$25,001 to \$50,000 G=\$50,001 to \$100,000 H=\$100,001 to \$250,000
 2 Value Codes: J=\$10,000 or less K=\$10,001 to \$25,000 L=\$25,001 to \$50,000 M=\$50,001 to \$100,000 N=\$100,001 to \$250,000
 (See Col. C1 & C2) O=\$250,001 to \$500,000 P=\$500,001 to \$1,000,000 Q=\$1,000,001 to \$5,000,000 R=\$5,000,001 or more
 3 Value Method Codes: C-Cost (real estate only) S-Assessment W-Other
 (See Col. C2) D-Book Value E-Other

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting Carnes, Edward E.	Date of Report Jan. 27, 1992
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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

None

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent child had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Edward Earl Carnes

Date

January 27, 1992

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debt: mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

Edward E. and Sarah E. Carnes

December 31, 1991

(See accountants' compilation report)

ASSETS			LIABILITIES		
Cash on hand and in banks	67,509		Notes payable to banks—secured		
U.S. Government securities—add schedule			Notes payable to banks—unsecured		
Listed securities—add schedule			Notes payable to relatives		
Unlisted securities—add schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due	1,500	
Due from relatives and friends			Unpaid income tax		
Due from others	1,703		Other unpaid tax and interest		
Doubtful (Sch. 1)			Real estate mortgages payable—add schedule (Sch. 3)	44,348	
Real estate owned—add schedule	165,000		Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts—itemize:		
Autos and other personal property	46,250				
Cash value—life insurance					
Other assets—itemize:					
Retirement accounts (Sch. 2)	90,087				
			Total liabilities	45,848	
			Net worth	324,701	
Total assets	370,549		Total liabilities and net worth	370,549	
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	None		Are any assets pledged? (Add schedule.) (Sch. 3)	Yes	
On leases or contracts	None		Are you defendant in any suits or legal actions?	No	
Legal Claims (Sch. 4)	None		Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	30,962				
Other special debt	None				

See notes to statement of financial condition