

AO-10
Rev. 1/81

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(9 U.S.C.A. App. G, §§101-112)

1. Person Reporting (Last name, first, middle initial) ROLL, JOHN M.		2. Court or Organization U. S. District Court District of Arizona		3. Date of Report Sept. 23, 1991
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Judge, U. S. District Court District of Arizona		5. Report Type (check appropriate type) ___ Nominating, Date _____ ___ Initial ___ Annual ___ Final		6. Reporting Period
7. Chambers or Office Address Current business address: Arizona Court of Appeals 416 W. Congress Street Tucson, Arizona 85701-1374				
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.</i>				

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY
☒ NONE (No reportable positions)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATEPARTIES AND TERMS
☒ NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Honoraria only)SOURCE AND TYPEGROSS INCOME
(yours, not spouse's)

☐	NONE (No reportable non-investment income)		
1	1990	Salary from State of Arizona, as compensation for being an appellate court judge	\$ 67,261
2	1990	I.R.A. Distribution	\$ 2,849
3	1989	Salary from State of Arizona, as compensation for being an appellate court judge	\$ 67,382
4	1989	I.R.A. Distribution	\$ 2,752
5			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
JOHN M. ROLLDate of Report
9/23/91

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☒

NONE (No such reportable reimbursements or gifts)

1

2

3

4

5

6

7

8

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒

NONE (No such reportable gifts)

1

2

3

4

\$

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1

2

3

4

5

6

7

Valley National Bank

Home Equity Loan

K

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

JOHN M. ROLL

Date of Report

9/23/91

VII. INVESTMENTS and TRUSTS — income, value, transactions. (Includes those of spouse and dependent children; see pp. 15-17 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Int. Code (A-4)	Type (e.g., div., rent or int.)	Value Code (J-P)	Value Method Code (Q-W)	(1) Type (e.g., buy, sell, other, redemption)	(2) Date: Month Day	(3) Value Code (J-P)	(4) Gain Code (A-B)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Retirement Plan PEBSOO P. O. Box 16766 Columbus, Ohio 43216	C	INT.	E	W	N/A	-	-	-	-
2 Public Safety Personnel Retirement System 1020 E. Missouri Phoenix, Arizona 85014	B	INT.	E	W	N/A	-	-	-	-
3									
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17									
18									
19									
20									

1 Income/Gain Codes:	A=\$1,000 or less (See Col. B1 & B4)	B=\$1,001 to \$2,500 F=\$250,001 to \$500,000	C=\$2,501 to 5,000 G=\$100,001 to \$100,000	D=\$5,001 to \$15,000 H=more than \$1,000,000
2 Value Codes:	J=\$15,000 or less (See Col. C1 & C3)	K=\$15,001 to \$500,000 O=\$500,001 to \$1,000,000	L=\$50,001 to \$100,000 P=more than \$1,000,000	M=\$100,001 to \$250,000
3 Value Method Codes:	Q=Appraisal (See Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	X=Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

JOHN M. ROLL

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature John M. Roll

Date 9/24/91

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT NET WORTH

JOHN M. ROLL
DISTRICT OF ARIZONA

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	1	600 00	Notes payable to banks—secured	16	000 00
U.S. Government securities—add schedule			Notes payable to banks—unsecured	12	000 00
Listed securities—add schedule			Notes payable to relatives		
Unlisted securities—add schedule			Notes payable to others		
Accounts and notes receivable:			Accounts and bills due	4	000 00
Due from relatives and friends			Unpaid income tax		
Due from others			Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule	90	000 00
Real estate owned—add schedule	153	000 00	Chattel mortgages and other liens payable	22	000 00
Real estate mortgages receivable			Other debts—itemize:		
Autos and other personal property	55	000 00			
Cash value—life insurance					
Other assets—itemize:					
Retirement Plan #1	42	444 40			
Retirement Plan #2	23	428 52			
Total assets	275	472 92	Total liabilities	144	000 00
			Net worth	131	472 92
			Total liabilities and net worth	275	472 92
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	—	—	Are any assets pledged? (Add schedule.)	—	—
On leases or contracts	—	—	Are you defendant in any suits or legal actions?	—	—
Legal Claims	—	—	Have you ever taken bankruptcy?	—	—
Provision for Federal Income Tax	—	—			
Other special debt	—	—			

1. Second mortgage, Valley National Bank
2. Mastercard, MNBA
3. Credit card accounts
4. Home mortgage, Standard Federal Savings Bank
5. Arizona State Employees' Savings & Credit Union,
1989 Toyota Camry, 1987 Jeep Cherokee, 1985 Isuzu Trooper