

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Payne, Robert E.	2. Court or Organization United States District Court Eastern District of Virginia	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States District Court 701 E. Broad Street, Suite 7310 Richmond, Virginia 23219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Payne, Robert E.

Date of Report

05/15/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Payne, Robert E.

Date of Report

05/15/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Payne, Robert E.

Date of Report

05/15/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1									
2. --Abbey Cptl Futures Strategy		None	K	T					
3. --AB Small Cap Grw Adv	A	Distribution	K	T	Buy (add'l)	09/01/17	J		
4. --AMG Mngrs Silvercrest	A	Dividend	K	T	Sold (part)	03/14/17	J	A	
5.					Buy (add'l)	09/01/17	J		
6. --Artisan Mid Cap Adv		None	K	T	Buy	09/01/17	J		
7.					Buy (add'l)	11/24/17	J		
8. -- Artisan Mid Cap Value Fund		None	K	T	Buy (add'l)	09/01/17	J		
9. --Blackrock High Yield Bond Fund	A	Dividend	K	T	Sold (part)	09/01/17	K		
10. --Blackstone Alt Mult-Strat	A	Dividend	K	T	Buy (add'l)	09/01/17	J		
11.					Buy (add'l)	12/19/17	J		
12. --Center Coast MLP Focus		None	K	T	Buy (add'l)	09/01/17	J		
13.					Buy (add'l)	11/24/17	J		
14. --Columbia Select Lg Cp Growth Z- (formerly Columbia Select Large Cap	A	Dividend			Buy (add'l)	01/04/17	J		
15.					Sold (part)	03/14/17	J		
16.					Sold	09/01/17	J	A	
17. --Columbia Select Large Cap Value Fund (X)	A	Dividend	K	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Fundvantage Tr Polen Growth Institutional	A	Dividend	K	T	Sold (part)	03/14/17	J	A	
19. --Janus Flexible Bond	A	Dividend	L	T	Buy (add'l)	01/04/17	J		
20.					Buy (add'l)	12/19/17	J		
21. --Lazard Emerg Mkts Core	A	Dividend	K	T	Sold (part)	03/14/17	J		
22. --Matthews Asian Japan Inv	A	Dividend	J	T					
23. --MFS Int'l Value	A	Dividend	K	T					
24. --Oakmark I	A	Dividend	K	T	Sold (part)	03/14/17	J		
25. --Oakmark International I	A	Dividend	K	T	Sold (part)	03/14/17	J	A	
26. -- Oppenheimer Int'l Growth Fund	A	Dividend	K	T	Sold (part)	01/04/17	J		
27.					Sold (part)	03/14/17	J	A	
28. --Pimco Real Return	A	Dividend	J	T	Sold (part)	09/01/17	J	A	
29. --Pimco Short Term	A	Dividend	K	T					
30. --Powershares Dyn Lrg Cap	A	Dividend	K	T	Sold (part)	09/01/17	J	A	
31. --Putnam Absolute Ret	A	Dividend	J	T	Sold (part)	09/01/17	J	A	
32. --Putnam Equity Spectrum Y		None	J	T	Buy	09/01/17	J		
33. --Riverpark/Wedgewood Inst	A	Dividend	K	T	Sold (part)	03/14/17	J	A	
34.					Buy (add'l)	12/19/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Virtus MultiSector Short Term Bond	A	Dividend	L	T	Buy (add'l)	12/19/17	J		
36. Wells Fargo Emerg Mrk	A	Dividend	J	T					
37.									
38.									
39. IRA #2									
40. --AB Small Cap Grw Adv	A	Distribution	J	T	Buy (add'l)	07/07/17	J		
41. -- Artisan Midcap Value		None	J	T	Buy (add'l)	07/07/17	J		
42. -- Aston/Montag & Caldwell Growth		None	J	T					
43. --Aston Silvercrest Small Cap		None	J	T	Buy (add'l)	07/07/17	J		
44. -- Blackrock Equity Div. A	A	Dividend	J	T					
45. --Blackrock Inflation Prot Bond	A	Dividend	J	T					
46. -- Columbia Select LG CP Growth	A	Distribution	J	T					
47. -- EV Income Fund of Boston	A	Dividend	J	T	Sold (part)	07/07/17	J		
48. -- Ivy Midcap Growth	A	Distribution	J	T	Buy (add'l)	07/07/17	J		
49. -- Lazard Emerging Markets Fund		None	J	T					
50. --Matthews Asian Japan Inv	A	Dividend	J	T					
51. --MFS Int'l Value	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -- Oakmark I	A	Dividend	J	T	Sold (part)	03/14/17	J	A	
53. -- Oppenheimer Int'l Growth Fund	A	Dividend	J	T					
54. -- Pimco Low Duration A	A	Dividend	J	T					
55. --Pimco Short Term	A	Dividend	J	T					
56. -- Virtus Insight Emerg Mkts	A	Dividend	J	T					
57. -- Virtus MultiSect Short Term Bond	A	Dividend	J	T					
58. -- Western Asset Core Plus Portfolio	A	Dividend	J	T					
59.									
60. BROKERAGE ACCOUNT #1									
61. --Allergan Plc	A	Dividend	J	T					
62. -- Anadarko Pete (APC)	A	Dividend	J	T					
63. -- Autodesk Inc.		None	J	T					
64. -- Biogen		None	J	T					
65. -- Broadcom Corp	A	Dividend	J	T					
66. -- Citrix Systems Inc.		None	J	T					
67. -- Comcast Corp	A	Dividend	J	T	Sold (part)	08/10/17	J	B	
68. -- Cree Research Inc		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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Payne, Robert E.

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05/15/2018

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69. --Discovery Communications		None	J	T					
70. -- Dolby Cla		None	J	T					
71. -- Fluor Corp		None	J	T					
72. -- Freeport McMoran		None	J	T					
73. -- Ionis Pharmaceuticals Inc		None	J	T					
74. --Johnson Ctls Intl Plc		None	J	T					
75. -- L-3 Technologies, Inc (formerly L-3 Communications Holdings)	A	Dividend	J	T					
76. --Liberty Interactive Corp (X)		None	J	T					
77. --Liberty Media C Ser C Siriusxm (X)		None	J	T					
78. --Medtronic PLC SHS		None	J	T					
79. -- National Oilwell Varco Inc.	A	Dividend	J	T					
80. -- NOW Inc (Y)									
81. --Nuance Communications Inc (X)		None	J	T					
82. -- Nucor Corp	A	Dividend	J	T	Sold (part)	08/10/17	J	A	
83. -- Pentair Ltd		None	J	T					
84. --Powershares Dyn Lrg Cap	B	Dividend	M	T	Sold (part)	08/10/17	J	B	
85. -- Seagate Technology, Inc.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Payne, Robert E.

Date of Report

05/15/2018

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86. -- TE Connectivity Ltd	A	Dividend	J	T					
87. --Twitter Inc (X)		None	J	T					
88. -- UnitedHealth Gp Inc	A	Dividend	J	T					
89. -- Vertex Pharmaceuticals		None	J	T					
90. -- Weatherford Int'l Ltd		None	J	T					
91. --Western Digital Corporation (X)		None	J	T					
92.									
93. BROKERAGE ACCOUNT #2									
94. -- MorganStanley - Cash	A	Interest	J	T					
95. -- Western Asset Managed Municipal	A	Dividend	J	T	Sold (part)	12/18/17	J		
96.									
97.									
98.									
99.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Payne, Robert E.

Date of Report

05/15/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. In the 2016 Amended Report, Part VII, page 5, line 27, Columbia Select Large Cap Fund: this asset was listed incorrectly as one asset when, in fact, there were two assets owned with similar names, i.e. Columbia Select Large Cap Fund and Columbia Select Large Cap Value Fund. Accordingly, in the 2017 Report, the asset "Columbia Select Large Cap Value Fund" appears on line 16 with an "X."

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

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Date of Report

05/15/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robert E. Payne

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544