

NC-10
Rev. 1/91

FINANCIAL DISCLOSURE REPORT

 Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) OSTEEN, WILLIAM L.	2. Court or Organization UNITED STATES DISTRICT COURT MIDDLE DISTRICT OF NORTH CAROLINA	3. Date of Report April 25, 1991
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) UNITED STATES DISTRICT JUDGE	5. Report Type (check appropriate type) ☒ Nomination, Date _____ ___ Initial ___ Annual ___ Final	6. Reporting Period 1991
7. Chambers or Office Address 2322 North Elm Street Greensboro, N.C. 27408		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

SEE ATTACHED PAGE

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Honoraria only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)
☐

NONE (No reportable non-investment income)

1	OSTEEN, ADAMS & OSTEEN, Attorneys (1990)	\$126,376.00
2		
3	Real Estate Agent (S)	\$31,026.00
4		\$
5		\$
		\$

OSTEEN, WILLIAM L.

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF NORTH CAROLINA

April 25, 1991

1. POSITIONS.

PositionsNAME OR ORGANIZATION/ENTITY

Partner

Osteen, Adams & Osteen

Member

Executive Committee, Greensboro Bar Association

Counsellor

North Carolina State Bar

Executor

SS Mitchell Estate

Partner

Morganton Road Partnership

Partner

NC 55 Partnership

Partner

Silver Briar Partnership

Partner

WACO Partnership

Partner

Oak Hill Partnership

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting <i>OSTEN, WILLIAM L.</i>	Date of Report <i>April 25, 1991</i>
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IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCEDESCRIPTION☒ X

NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCEDESCRIPTIONVALUE☒ X

NONE (No such reportable gifts)

1		
2		\$
3		\$
4		\$
		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE*☐

NONE (No reportable liabilities)

1	<i>Arlington Mortgage Company</i>	<i>Promissory Note (J)</i>	<i>J</i>
2	<i>Nachovia Bank & Trust Company</i>	<i>Promissory Note (J)</i>	<i>J</i>
3	<i>Nachovia Bank & Trust Company</i>	<i>Promissory Note (J)</i>	<i>K</i>
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less S = \$15,001 to \$50,000 L = \$50,001 to \$100,000 N = \$100,001 to \$250,000
 K = \$250,001 to \$500,000 M = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

OSTEEN, WILLIAM L.

Date of Report

April 25, 1991

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J) for joint ownership of reporting individual and spouse, (S) for separate ownership by spouse, (DC) for ownership by dependent child. Place "X" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-H)	(2) Type (g, l, r, o, i, n, t.)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	If not exempt from disclosure				
					(1) Type (g, l, r, o, i, n, t.)	(2) Date: Month Day	(3) Value Code (J-P)	(4) Amt. Code (A-H)	(5) Identity of Buyer/Seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1A First Citizen Bank, Gso	A	Int.	K	T					
1 Moneta, VA									
2 Smith M. Lake Real Estate	C	Rent	M	W					
2 Chapel Hill, N.C.									
Village Green Condo	C	Rent	M	W					
3 Common Stock Southern National Bank	D	Div.	M	T					
4 Common Stock First Union Bank	A	Div.	J	T					
5 Mutual Fund Putnam Fund - Boston, Mass.	A	Div.	J	W					
6 Common Stock New Park Resources (S)	A	Div.	J	W					
7 Bonds Eastern NC Power Bonds	D	Int.	K	T					
8 Realty S. West Partnership(S)	A	Int.	J	W					
9 Interstate Securities, Inc. Chesterfield Oil Drilling	B	P'ship	J	W					
10 Interstate Securities, Inc Tulsa, VA	E	P'ship	K	W					
11 Silver Briar, Greensboro, N.C.	D	P'ship	L	W					
12 NC 55 -Greensboro, N.C.	A	P'ship	K	W					
13 Morganton Road, Greensboro, N.C.	C	P'ship	L	W					
14 WACO-Greensboro, N.C.	D	P'ship	K	W					
15 Oakhill, Greensboro, N.C.	C	P'ship	K	W					
16 Wachevia, Greensboro, N.C.	D	Int.	L	T					
17 IRA Gate City, Greensboro,	C	Int.	K	T					
18 IRA American Pace Interstate	A	Int.	J	W					
19 The Advantage Fund-Interstate	A	Int.	J	W					
20 Manteo Building Lot, Dare Co	A		L	W					
1 Income/Gain Codes: A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$15,000 (See Col. B & D) E=\$15,001 to \$25,000 F=\$25,001 to \$50,000 G=\$50,001 to \$100,000 H=More than \$100,000 2 Value Codes: A=\$1,000 or less B=\$1,001 to \$50,000 C=\$50,001 to \$100,000 D=\$100,001 to \$250,000 E=\$250,001 to \$500,000 F=\$500,001 to \$1,000,000 G=\$1,000,001 to \$250,000 H=More than \$250,000 (See Col. C & D) 3 Value Method Codes: Q=Appraised R=Cost (real estate only) S=Reassessment T=Cash/Market (See Col. C2) U=Book Value V=Other W=Estimated									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
OSTEEN, WILLIAM L.Date of Report
April 25, 1991

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

None.

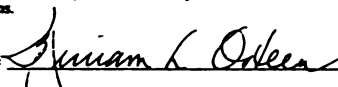
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

4-26-91

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544