

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1978, Pub. L. No.
96-354, November 30, 1979
15 U.S.C.A. App. 6, §§101-112

AO-10 Rev. 1 92		1. Person Reporting (Last name, first, middle initial) McAuliffe, Steven J.		2. Court or Organization United States District Court District of New Hampshire		3. Date of Report September 9, 1992	
4. Title (Article III judges indicate active or senior status. Magistrate judges indicate full- or part-time) Judicial Nominee		5. Report Type (check appropriate type) ☒ Nominations, Date _____ ☐ Initial ☐ Annual ☐ Final		6. Reporting Period January 1, 1991 to September 9, 1992			
7. Chambers or Office Address Gallagher, Callahan & Gartrell, P.A. P.O. Box 1415 214 North Main Street Concord, New Hampshire 03302-1415							
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.							

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY
☐

NONE (No reportable positions)

[See Attached Sheet]

II. AGREEMENTS. (Reporting individual only; see pp. 8-9 of Instructions.)

DATEPARTIES AND TERMS
☐

NONE (No reportable agreements)

February 21, 1992 Steven J. McAuliffe and Gallagher, Callahan & Gartrell, P.A.; Employment Agreement; provides for deferred compensation on the average base salary and bonus for the three most recent years, payable over ten years.

September, 1980 Gallagher, Callahan & Gartrell, P.A. Profit Sharing Plan - vested interest to be withdrawn following confirmation.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATESOURCE AND TYPEGROSS INCOME
(years, not spouse's)
☐

NONE (No reportable non-investment income)

1 1991/1992	(S) Concord Union School District - Teacher Comp.	(S)
2 1992 (Partial)	Gallagher, Callahan & Gartrell, P.A.-Salary&Bonus Attv.	\$ 52,214.80 (Gross)
3 1991	Gallagher, Callahan & Gartrell, P.A.-Salary&Bonus Attv.	\$ 139,223.00 (Gross)
4 1990	Gallagher, Callahan & Gartrell, P.A.-Salary&Bonus Attv.	\$ 143,060.00 (Gross)
5 1990	CMD Partnership - Real Estate (law firm)	\$ 5,288.00 (Gross)
6 1991	CMD Partnership - Real Estate (law firm)	\$ 9,819.00 (Gross)
7		\$
8		\$

Steven J. McAuliffe
 Date: September 9, 1992
 FINANCIAL DISCLOSURE REPORT

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OR ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

1. Shareholder/Director Gallagher, Callahan & Gartrell, P.A.
214 North Main Street
Concord, New Hampshire 03301
2. Partner UTP Real Estate Partnership
214 North Main Street
Concord, New Hampshire 03301
3. Partner CMD Real Estate Partnership
214 North Main Street
Concord, New Hampshire 03301
4. Shareholder (25%) 78 Mike, Inc.
c/o Jeffrey Towle
Davis, Towle, Gearan & Truncellito
115 Airport Road
Concord, New Hampshire 03302-1235
5. Director/Clerk N.H. Office of Public Guardian
6 White Street
Concord, New Hampshire 03301
6. Trustee
Vice-Chairman of the Board University System of New Hampshire
Dunlap Center
Durham, New Hampshire 03824
7. Trustee
Chairman of the Board N.H. Medical Malpractice Stabilization
Reserve Fund Trust
c/o Robert M. Solitro, Treasurer
New Hampshire Insurance Department
Concord, New Hampshire 03301
8. Immediate Past President New Hampshire Bar Association
112 Pleasant Street
Concord, New Hampshire 03301
9. Director
Fellow New Hampshire Bar Foundation, Inc.
112 Pleasant Street
Concord, New Hampshire 03301
10. Director
Clerk Challenger Center for Space Science
Education
1055 North Fairfax Street, Suite 100
Alexandria, Virginia 22314
11. Director New Hampshire Center for Character
and Citizenship Education
c/o Stephen E. Merrill, Esquire
Merrill & Broderick
707 Chestnut Street
Manchester, New Hampshire 03101
12. Director New Hampshire DLC
(Democratic Leadership Council, Inc.)
c/o John T. Broderick, Jr., President
43 Highcrest Road
Manchester, New Hampshire 03101
13. Custodian Agency Account
State Street Bank
For the Benefit of
Scott C. and Caroline C. McAuliffe

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Steven J. McAuliffeDate of Report
September 9,
1992**IV. REIMBURSEMENTS and GIFTS—transportation, lodging, food, entertainment.**
(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 12-14 of Instructions.)SOURCEDESCRIPTION☐

NONE (No non-reportable reimbursements or gifts)

1	<u>EXEMPT</u>	
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp. 15-16 of Instructions.)SOURCEDESCRIPTIONVALUE☐

NONE (No non-reportable gifts)

1	<u>EXEMPT</u>	\$
2		\$
3		\$
4		\$
		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 16-17 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☒

NONE (No reportable liabilities)

1		
2		
3		
4		
5		
6		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Steven J. McAuliffeDate of Report
September 9,
1992

VII. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse and dependent children: see pp. 18-26 of Instructions.)

P.1

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
	Am. Code ¹ (A-H)	Type for div., rent or inc.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	(2) Date: Month- Day	(3) Value Code ² (J-P)	(4) Gain Code ¹ (A-H)	(5) Identity of buyer/seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)						EXEMPT			EXEMPT
¹ NOW Acct., New Dartmouth Bank, Concord, N.H.	A	int	J	T					
² NOW Acct., New Dartmouth (J) Bank, Concord, N.H.	A	int	J	T					
³ Note Receivable, Roger Jobin, Concord, N.H.	NONE		K	T					
⁴ Note Receivable/Mortgage Denis and Linda Maloney	NONE		M	T					
⁵ IRA, PaineWebber 1/ Concord, N.H.	B	int	K	T					
⁶ Callagher, Callahan&Gartrell Profit Sharing Plan 2/ Concord, N.H.	B	int/div	L	T					
⁷ (DC)(Reporter)Annuities 2/ (DC)(Reporter)Annuities 2/	E	Distrib	P	T					
⁸ STOCKS/BONDS									
⁹ Loral Corp Com	A	div	J	T					
¹⁰ Westinghouse Electric Corp Com	A	div	J	T					
¹¹ Hanson PLC	A	div	J	T					
¹² Conagra Inc Com	A	div	K	T					
¹³ American Express Co Inc Com	A	div	J	T					
¹⁴ Public Svc Enterprise Group Inc Com	A	div	J	T					
¹⁵ Union Carbide Corp Sub Deb Conv	B	int	J	T					
¹⁶ American Tel & Tel Co Cap	A	div	J	T					
¹⁷ M C I Communications Corp Com	A	div	J	T					
¹⁸ Waste Management Inc Com	A	div	J	T					
¹⁹ Boeing Co Com	A	div	J	T					
¹ Income/Gain Codes: (See Col. B1 & D4)	A = \$1,000 or less E = \$15,000 to \$50,000		B = \$1,001 to \$2,500 F = \$50,001 to \$100,000		C = \$2,501 to \$5,000 G = \$50,001 to \$1,000,000		D = \$5,001 to \$15,000 H = More than \$1,000,000		
² Value Codes: (See Col. C1 & D3)	J = \$15,000 or less N = \$250,001 to \$500,000		K = \$15,001 to \$50,000 O = \$500,001 to \$1,000,000		L = \$50,001 to \$100,000 P = More than \$1,000,000		M = \$100,001 to \$250,000		
³ Value Method Codes: (See Col. C2)	Q = Appraisal U = Book Value		R = Cost (real estate only) V = Other		S = Assessment W = Estimated		T = Cash/Market		

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Steven J. McAuliffeDate of Report
September 9,
1992VII. INVESTMENTS AND TRUSTS—income, value, transactions. (Includes those of spouse and dependent children: see pp. 18-26 of Instructions.)
P.2

A Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(1)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "NY" after each asset exempt from prior disclosure.	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amt. Code ¹ (A-H)	(2) Type (e.g., div., reit, or inc.)	(1) Value Code ² (J-P)	(2) Value Method Code ³ (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code ² (J-P)	(4) Gain Code ¹ (A-H)	(5) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)							EXEMPT		EXEMPT
¹ United Technologies Corp Com	A	div	K	T					
² Intl Business Machines Corp Cap	A	div	J	T					
³ Ingersoll Rand Co Com	A	div	J	T					
⁴ Great Lakes Chemical Corp Com	A	div	J	T					
⁵ Union Camp Corp Com	A	div	J	T					
⁶ Ryder System Inc Com	A	div	J	T					
⁷ Santa Fe Pac Pipeline Partners L P Pref Unit	B	div	J	T					
⁸ Ford Motor Co Com	A	div	J	T					
⁹ General Motors Corp Com	A	div	J	T					
¹⁰ Dayton Hudson Corp Com	A	div	J	T					
¹¹ Tovs R Us Com	A	div	J	T					
¹² Deluxe Corp Com	A	div	J	T					
¹³ Interpublic Group Cos Inc Com	A	div	J	T					
¹⁴ Carnival Cruise Lines Inc CL A	A	div	J	T					
¹⁵ Eastman Kodak Company Com	A	div	J	T					
¹⁶ Procter & Gamble Co Com	A	div	J	T					
¹⁷ CPC International Inc Com	A	div	J	T					
¹⁸ Sysco Corp Com	A	div	J	T					
¹⁵ Bristol Myers Squibb Co Com	A	div	J	T					

1 Income/Gain Codes: (See Col. B1 & D4)	A = \$1,000 or less E = \$15,000 to \$50,000	B = \$1,001 to \$2,500 F = \$50,001 to \$100,000	C = \$2,501 to \$5,000 G = \$100,001 to \$1,000,000	D = \$5,001 to \$15,000 H = More than \$1,000,000
2 Value Codes: (See Col. C1 & D3)	J = \$15,000 or less N = \$250,001 to \$500,000	K = \$15,001 to \$50,000 Q = \$500,001 to \$1,000,000	L = \$50,001 to \$100,000 P = More than \$1,000,000	M = \$100,001 to \$250,000
3 Value Method Codes: (See Col. C2)	Q = Appraisal L = Book Value	K = Cost (real estate only) V = Other	S = Assessed W = Estimated	T = Cash/Margin

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Trust Beneficiary
Stewart J. SchaeferDate of Report
September 1992

VII. INVESTMENTS AND TRUSTS—income, value, transactions. Indicate date of acquisition of dependent children; see pp. 18-26 of Instructions.

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(DC)" after each asset exempt from prior disclosure.	B. Incident during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period						
	(1) Asset Code (A-D)	(2) Type (e.g., div., int. or int.)	(3) Value (Col. C-1)	(4) Value (Col. C-2)	(5) Type (e.g., div., int., or int.)	Transactions during reporting period					
						(6) Date	(7) Type (e.g., div., int., or int.)	(8) Value (Col. D-1)	(9) Value (Col. D-2)	(10) Value (Col. D-3)	(11) Value (Col. D-4)
NONE (No reportable income, assets, or transactions)											
1 Warner Lambert Co Com	A	div	J	T							
2 Johnson & Johnson Co	A	div	J	T							
3 Exxon Corp Cap	A	div	J	T							
4 Schlumberger Ltd Com	A	div	J	T							
5 Burlington Res Inc	A	div	J	T							
6 Morgan J P & Co Inc Com	A	div	J	T							
7 American International Group Inc Cap	A	div	J	T							
8 New England Electric System Com	A	div	J	T							
9 Bell Atlantic Corp Com	A	div	J	T							
10 Nynex Corp Com	A	div	J	T							
11 H & Q Health Care FD Sh Ben Int	A	div	J	T							
12											
13											
14											
15											
16											
17											
18											
19											

1 Income/Gain Codes: A = \$1,000 or less; B = \$1,001 to \$50,000; C = \$50,001 to \$100,000; D = \$100,001 or more.
(See Col. B1 & D4)

2 Value Codes: J = \$10,000 or less; N = \$250,001 to \$500,000; O = \$500,001 to \$1,000,000; P = \$1,000,001 or more.
(See Col. C1 & D3)

3 Value Method Codes: G = Adjusted; L = Basis Value; E = Cash (Total Market Value); F = Other.
(See Col. C2)

4 Transactions during reporting period: 1 = Purchase; 2 = Sale; 3 = Dividend; 4 = Interest; 5 = Capital Gain; 6 = Capital Loss; 7 = Other.

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Steven J. McAuliffe

Date of Report

September 9,
1992

VII. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse and dependent children: see pp. 18-26 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "NY" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code ¹ (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code ² (J-P)	(2) Value Method Code ³ (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value Code ² (J-P)	(4) Gain Code ¹ (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, gift(s), or transfer(s))							EXEMPT		EXEMPT
¹ United Technologies Corp Com	A	div	K	T					
² Intl Business Machines Corp Cap	A	div	J	T					
³ Ingersoll Rand Co Com	A	div	J	T					
⁴ Great Lakes Chemical Corp Com	A	div	J	T					
⁵ Union Camp Corp Com	A	div	J	T					
⁶ Ryder System Inc Com	A	div	J	T					
⁷ Santa Fe Pac Pipeline Partners L P Pref Unit	B	div	J	T					
⁸ Ford Motor Co Com	A	div	J	T					
⁹ General Motors Corp Com	A	div	J	T					
¹⁰ Dayton Hudson Corp Com	A	div	J	T					
¹¹ Tovs R Us Com	A	div	J	T					
¹² Deluxe Corp Com	A	div	J	T					
¹³ Interpublic Group Cos Inc Com	A	div	J	T					
¹⁴ Carnival Cruise Lines Inc Cl A	A	div	J	T					
¹⁵ Eastman Kodak Company Com	A	div	J	T					
¹⁶ Procter & Gamble Co Com	A	div	J	T					
¹⁷ CPC International Inc Com	A	div	J	T					
¹⁸ Sysco Corp Com	A	div	J	T					
¹⁹ Bristol Myers Squibb Co Com	A	div	J	T					
¹ Income/Gain Codes: A = \$1,000 or less; B = \$1,001 to \$2,500; C = \$2,501 to \$5,000; D = \$5,001 to \$15,000; E = \$15,001 to \$50,000; F = \$50,001 to \$100,000; G = \$100,001 to \$1,000,000; H = More than \$1,000,000. ² Value Codes: J = \$15,000 or less; K = \$15,001 to \$50,000; L = \$50,001 to \$100,000; M = \$100,001 to \$250,000; N = \$250,001 to \$500,000; O = \$500,001 to \$1,000,000; P = More than \$1,000,000. ³ Value Method Codes: Q = Appraisal; R = Cost (real estate only); S = Other; T = Cash/Market; U = Book Value; V = Other; W = Estimated.									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Steven J. McAuliffeDate of Report
September 9,
1992VII. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-26 of Instructions.)
P.3

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
	Am't. Code ¹ (A-H)	Type (e.g., div., trust or int.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	(2) Date: Month- Day	(3) Value Code ² (J-P)	(4) Gain Code ¹ (A-H)	(5) Identity of buyer/seller (if previous transaction)	
☐ NONE (No reportable income, assets, or transactions)					EXEMPT			EXEMPT	
1 Warner Lambert Co Com	A	div	J	T					
2 Johnson & Johnson Co	A	div	J	T					
3 Exxon Corp Cap	A	div	J	T					
4 Schlumberger Ltd Com	A	div	J	T					
5 Burlington Res Inc	A	div	J	T					
6 Morgan J P & Co Inc Com	A	div	J	T					
7 American International Group Inc Cap	A	div	J	T					
8 New England Electric System Com	A	div	J	T					
9 Bell Atlantic Corp Com	A	div	J	T					
10 Nynex Corp Com	A	div	J	T					
11 H & Q Health Care FD Sh Ben Int	A	div	J	T					
12									
13									
14									
15									
16									
17									
18									
19									

1 Income/Gain Codes: (See Col. B1 & D4)	A = \$1,000 or less E = \$15,001 to \$50,000	B = \$1,001 to \$2,500 F = \$50,001 to \$100,000	C = \$2,501 to \$5,000 G = \$100,001 to \$1,000,000	D = \$5,001 to \$15,000 H = More than \$1,000,000
2 Value Codes: (See Col. C1 & D3)	J = \$15,000 or less N = \$250,001 to \$500,000	K = \$15,001 to \$50,000 O = \$500,001 to \$1,000,000	L = \$50,001 to \$100,000 P = More than \$1,000,000	M = \$100,001 to \$250,000
3 Value Method Codes: (See Col. C2)	Q = Appraisal U = Book Value	R = Cost (real estate only) V = Other	S = Assessment W = Estimated	T = Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Steven J. McAuliffe

Date of Report

September 9,
1992

VII. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-26 of Instructions.)

A. Description of Asset (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "OO" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Amst. Code ¹ (A-H)	Type (e.g., div., rent or int.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code ² (J-P)	(4) Gain Code ¹ (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)					EXEMPT				EXEMPT
1 M C I Communications (DC) Corp Com	A	div	J	T					
2 (DC)Waste Management Inc Com	A	div	J	T					
3 (DC) Dexter Corp Com	A	div	J	T					
4 Santa Fe Pac Pipeline (DC)Partners L P Pref Unit	A	div	J	T					
5 (DC)Woolworth Corp Com	A	div	J	T					
6 Carnival Cruise Lines (DC)Inc CL A	A	div	J	T					
7 Tele Communications (DC)Inc CL A	A	div	J	T					
8 Procter & Gamble (DC)Co Com	A	div	J	T					
9 (DC)Conagra Inc Com	A	div	J	T					
10 (DC)Warner Lambert Co Com	A	div	J	T					
11 Occidental Pete (DC)Corp Com	A	div	J	T					
12 (DC)Meditrust SH Ben Int	A	div	J	T					
13 American International (DC)Group Inc Cap	A	div	J	T					
14 (DC)Bell Atlantic Corp Com	A	div	J	T					
15 United States Treas (DC)NTS 7.125%	A	int	J	T					
16 State Street Bank (DC)IMMA	A	int	K	T					
17 M C I Communications (DC)Corp Com	A	div	J	T					
18 (DC)Waste Management Inc Com	A	div	J	T					
19 (DC)Dexter Corp Com	A	div	J	T					

1 Income/Gain Codes: (See Col. B) & (D))	A = \$1,000 or less E = \$15,001 to \$50,000	B = \$1,001 to \$2,500 F = \$50,001 to \$100,000	C = \$2,501 to \$5,000 G = \$100,001 to \$1,000,000	D = \$5,001 to \$15,000 H = More than \$1,000,000
2 Value Codes: (See Col. C) & (D))	J = \$15,000 or less N = \$250,001 to \$500,000	K = \$15,001 to \$50,000 O = \$500,001 to \$1,000,000	L = \$50,001 to \$100,000 P = More than \$1,000,000	M = \$100,001 to \$250,000
3 Value Method Codes: (See Col. C))	Q = Appraisal U = Book Value	R = Cost (real estate only) V = Other	S = Assessment W = Estimated	T = Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Steven J. McAuliffe

Date of Report

September 9,
1992**VII. INVESTMENTS and TRUSTS—income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-26 of Instructions.)**
P. 5

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code ¹ (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value Code ² (J-P)	(2) Value Method Code ³ (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code ² (J-P)	(4) Gain Code ¹ (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)						EXEMPT			EXEMPT
¹ Santa Fe Pac Pipeline (DC) Partners L P Pref Unit	A	div	J	T					
² (DC) Toys R Us Com	A	div	J	T					
³ (DC) Woolworth Corp Com	A	div	J	T					
⁴ Carnival Cruise Lines (DC) Inc CL A	A	div	J	T					
⁵ Tele Communications (DC) Inc CL A	A	div	J	T					
⁶ Procter & Gamble (DC) Co Com	A	div	J	T					
⁷ (DC) Warner Lambert Co Com	A	div	J	T					
⁸ (DC) Occidental Pete Corp Com	A	div	J	T					
⁹ (DC) Schlumberger LTD Com	A	div	J	T					
¹⁰ (DC) Meditrust Sh Ben Int	A	div	J	T					
¹¹ American International (DC) Group Inc Cap	A	div	J	T					
¹² (DC) Bell Atlantic Corp Com	A	div	J	T					
¹³ (DC) United States Treas NTS	A	int	J	T					
¹⁴ (DC) State Street Bank Imma	A	int	K	T					
¹⁵									
¹⁶									
¹⁷									
¹⁸									
¹⁹									

¹ Income/Gain Codes: (See Col. B1 & D4)	A = \$1,000 or less E = \$15,001 to \$50,000	B = \$1,001 to \$2,500 F = \$50,001 to \$100,000	C = \$2,501 to \$5,000 G = \$100,001 to \$1,000,000	D = \$5,001 to \$15,000 H = More than \$1,000,000
² Value Codes: (See Col. C1 & D1)	J = \$15,000 or less N = \$250,001 to \$500,000	K = \$15,001 to \$50,000 Q = \$500,001 to \$1,000,000	L = \$50,001 to \$100,000 P = More than \$1,000,000	M = \$100,001 to \$250,000
³ Value Method Codes (See Col. C2)	Q = Appraisal U = Book Value	R = Cost (real estate only) V = Other	S = Assumed W = Estimated	T = Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting Steven J. McAuliffe	Date of Report September 9, 1992
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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

1/ Income accumulates and is not distributed2/ Aggregated

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

CONFIDENTIAL FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemized in detail all assets (including bank accounts, real estate, securities, trust, investments, and other financial holdings) all liability (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	\$ 6,000	Notes payable to banks -- secured	\$ -0-
U.S. Government securities -- add schedule	See Below Other 1.	Notes payable to banks -- unsecured	\$ -0-
Listed securities -- add schedule	See Below Other 1.	Notes payable to relatives	\$ -0-
Unlisted securities -- add schedule	See Below Other 1.	Notes payable to others	\$ -0-
Accounts and notes receivable: Due from relatives and friends Due from others Doubtful	\$ 30,000	Accounts and bills due	\$ -0-
Real estate owned -- add schedule (Schedule A)	\$ 620,000	Unpaid income tax	\$ -0-
Real estate mortgages receivable	\$ 167,200	Other unpaid tax and interest	\$ -0-
Autos and other personal property	\$ 130,000	Real estate mortgages payable add schedule	\$ 90,000
Cash value -- Life Insurance	None (Term)	Chattel mortgages and other liens payable	\$
Other assets -- Itemize: 1. State Street Bank Agency Account (Stocks, Bonds, Funds, etc.) (See attached Disclosure Report SS VII)	\$ 240,000	Other debts -- Itemize: 1. Mastercard	\$ 4,306.25
2. Insurance Benefit Receivable	\$ 56,778	2. Visa	\$ 1,776.90
3. IRA	\$ 43,000	3. Mastercard	\$ 2,660.56
4. Profit Sharing Plan	\$ 84,417	4. Auto Lease (amount remaining on three year lease with option)	\$ 3,150.00
5. Stock	\$ 6,000	5. Tuition '92-'93	\$ 7,000.00
6. Deferred Compensation Entitlement	\$ 140,000		
7. 1984 Piper Saratoga Airplane (25%)	\$ 25,000		
8. 1940 B3H Airplane (1% interest)	\$ 42,000		
9. 1989 Four Winns Motorboat (1% interest)	\$ 4,000		
10. Annuities (including future entitlement of my children)	\$ >1,000,000		
11. CHD Partnership (Illiquid)	\$ -0-	Total Liabilities	\$ 108,093.71
12. UTP Partnership (Illiquid)	\$ -0-	Net Worth	\$ >2,485,501.29
Total Assets	\$ >2,594,395	Total Liabilities and Net Worth	\$ >2,594,395.00