

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sacca, James R.	2. Court or Organization Bankruptcy Court, Northern District Georgia	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge, Full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 1480 U.S. Courthouse 75 Ted Turner Drive Atlanta, GA 30303		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Sacca, James R.

Date of Report

05/14/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. Georgia Bar Assoc.
Bankruptcy Section CLE
SeminarDecember 14-15,
2017

Greensboro, Georgia

Seminar

Hotel, Food

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Sacca, James R.

Date of Report

05/14/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Sacca, James R.

Date of Report

05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Northwestern Mutual Whole Life Policy	D	Dividend	M	T					
2. Northwestern Mutual Variable Life Policy	C	Dividend	M	T					
3. -MSA Select Bond			J	T					
4. -MSA Money Market			J	T					
5. -MSA Index 500 Stock			L	T					
6. -MSA Mid Cap Growth Stock			K	T					
7. -MSA High Yield Bond			K	T					
8. -MSA Growth Stock			K	T					
9. -MSA Small Cap Growth Stock			J	T					
10. -MSA Small Cap Value			J	T					
11. -MSA Index 400 Stock			K	T					
12. -MSA International Growth			J	T					
13. -MSA Domestic Equity			K	T					
14. -MSA International Equity			J	T					
15. -MSA Asset Allocation			J	T					
16. -Russell Real Estate Securities			J	T					
17. IRA #1									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Sacca, James R.

Date of Report

05/14/2018

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -American Century Diversified Bond Fund (ADFIX)	A	Dividend	K	T	Sold (part)	01/23/17	J	B	
19. -American Funds Europacific Growth Fund (AEPFX)	A	Dividend	K	T					
20. -American Funds Fundamental Investors (FINFX)	A	Dividend	L	T					
21. -American Funds High Income TrustFund (AHIFX)	B	Dividend	K	T	Sold (part)	01/23/17	J	B	
22. -Invesco International Growth Fund (AIIXYX)	A	Dividend	K	T					
23. -Fidelity Advisor Newinsights Fund (FINSX)	A	Dividend	K	T					
24. -Fidelity Advisor Leveraged Company Stock Fund (FLVIX)	A	Dividend	K	T	Sold (part)	01/23/17	J	A	
25. -Fidelity Advisor Small Cap Value Fund (FCVIX)	A	Dividend	K	T	Sold (part)	01/23/17	J	B	
26. -MFS International Value Fund (MINIX)	A	Dividend	L	T	Buy (add'l)	01/23/17	K		
27. -PIMCO Real Return Fund (PRLPX)	A	Dividend	K	T					
28. -PIMCO Absolute Return Class A (PSPTX)	B	Dividend	K	T					
29. Metropolitan West Total Return Bond Fund (MWTIX)	B	Dividend	L	T	Sold (part)	01/23/17	J	A	
30.					Sold (part)	10/17/17	K	C	
31. -Russell Global Real Estate Securities Fund (RRESX)	A	Dividend	K	T	Sold (part)	01/23/17	J	A	
32. -Vanguard 500 Index Fund (VIFIX)	A	Dividend			Sold	10/17/17	K	D	
33. -Vanguard Health Care EFT (VHT)	A	Dividend	K	T					
34. -Select Sector SPDR Consumer Staples (XLP)	A	Dividend	J	T	Sold (part)	01/23/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Sacca, James R.

Date of Report

05/14/2018

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Select Specctor Inter Energy (XLE)	A	Dividend	K	T	Buy (add'l)	01/23/17	J		
36. -New World Fund (NFFFX)	A	Dividend	K	T	Sold (part)	01/23/17	J	A	
37. -John Hancock Disc Mid Cap Fund (JVMIX)	A	Dividend	J	T	Sold (part)	01/23/17	J	A	
38. -Deutsche Enhanced Commodity (SKIRX)	A	Dividend	K	T	Sold (part)	01/23/17	J	A	
39. -AB Global Bond Fund (ANAYX)	A	Dividend	K	T	Sold (part)	01/23/17	J	A	
40. -Fidelity Adv Biotech (FBTIX)		None	J	T					
41. -PIMCO Income Fund (PONPX)	C	Dividend	K	T	Buy (add'l)	10/17/17	K		
42. -PIMCO International Stock Fund (PSKIX)	B	Dividend	K	T					
43. -Gugg SP 500 Fund (RSP)	A	Dividend	K	T	Buy	10/17/17	K		
44. -Opp Dev Mark Fund (ODVYX)	A	Dividend	K	T	Buy	01/23/17	K		
45. -PIMCO Comm Real Ret (PCRPX)	A	Dividend	J	T	Buy	01/23/17	J		
46. -Dreyfus Insured Deposit Program money market account	A	Interest	J	T					
47. Brokerage Account									
48. -American Funds Europacific Growth Fund (AEPFX)	B	Dividend	M	T	Sold (part)	04/21/17	J	B	
49. -The Growth Fund of America (GFFFX)	A	Distribution	L	T					
50. -MFS Value Fund (MEHIX)	B	Dividend	L	T					
51. -PIMCO Real Return Fund (PRLPX)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Sacca, James R.

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52. -Sterling Cap f/k/a Stratton Small Cap Value Fund (STSCX)	A	Distribution	K	T					
53. -American Century Tax Free Bond Fund (TWTIX)	B	Distribution	K	T	Buy (add'l)	04/21/17	J		
54. -Vanguard Mid-Cap Stock Index Fund (VIMAX)	A	Dividend	K	T					
55. -Vanguard Index Trust Total Stock Market Portfolio Fund (VTSMX)	B	Dividend	M	T					
56. -John Hancock Disciplined Value Mid Cap Fund (JVMIX)	A	Dividend	K	T					
57. -AB Global Bond Fund (ANAYX)	A	Dividend	K	T					
58. -Putnam Conv Securities Fund (PCGYX)	A	Dividend	K	T					
59. -Vanguard Healthcare Fund (VHT)	A	Dividend	K	T					
60. -New World Fund (NFFFX)	A	Dividend	L	T					
61. -Deutsche Enhanced Comm Strategy Fund (SKIRX)	A	Dividend	K	T					
62. -Select Sector Energy (XLE)	B	Dividend	K	T					
63. -Select Sector Consumer Staples (XLP)	A	Dividend	K	T					
64. -Cohen & Steers Realty Income Fund (CSDIX)	A	Dividend	K	T					
65. -First Eagle Overseas (SGOIX)	B	Dividend	L	T					
66. -Metropolitan West Total Return Bond (MWTIX)	A	Dividend			Sold	04/21/17	K	A	
67. -Fidelity Adv Biotech (FBTIX)		None	K	T					
68. -EV Float Hi Inc (EIFHX)	A	Dividend	K	T	Buy	04/21/17	J		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Sacca, James R.

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69. -Dreyfus General Money Market Fund	A	Interest	J	T					
70. SunTrust Bank deposit accounts	A	Interest	K	T					
71. Virginia 529 Plan-American Funds									
72. -American High Income Fund (CITAX)	A	Dividend			Sold	05/18/17	J	A	
73. -American Mutual Fund (CMLAX)	A	Dividend			Sold	05/18/17	J	A	
74. -Capital Income Builder (CIRAX)	A	Dividend			Sold	05/18/17	J	A	
75. -The Income Fund of America (CIMAX)	A	Dividend			Sold	05/18/17	J	A	
76. -US Gov't Securities Fund (CGTAX)	A	Dividend			Sold	05/18/17	J	A	
77. -2018 Fund	A	Dividend	K	T	Buy	05/18/17	K		
78. -2021 Fund	C	Dividend	M	T	Redeemed (part)	09/01/17	K	C	
79. - 2024 Fund	C	Dividend	M	T					
80. Great American Life Insurance Annuity	E	Distribution			Expired	11/23/17	J	A	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Sacca, James R.

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05/14/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII, lines 2-16. Income from each asset is not reported to me and the income paid to me on the policy is not necessarily equal to the income earned on each asset. Northwestern receives the dividends on the assets and pays a dividend to me on the policy, which income has been reported on line 2.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Sacca, James R.

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05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James R. Sacca**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544