

50-10
Rev. 12/81

FINANCIAL DISCLOSURE REPORT

 Report Required by the Ethics
 Reform Act of 1980, Pub. L. No.
 101-154, November 30, 1980
 (9 U.S.C.A. App. 6, §§101-113)

1. Person Reporting (Last name, first, middle initial) <u>Schall, Alvin A.</u>	2. Court or Organization <u>United States Court of Appeals for the Federal Circuit</u>	3. Date of Report <u>03/03/92</u>
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) <u>Active Judge, United States Court of Appeals for the Federal Circuit</u>	5. Report Type (check appropriate type) <u>X</u> Nomination, Date <u>03/03/92</u> — Initial — Annual — Final	6. Reporting Period <u>01/91-02/92</u>
7. Chambers or Office Address <u>Office of the Attorney General, U.S. Department of Justice</u> <u>10th Street & Constitution Avenue, N.W.</u> <u>Washington, D.C. 20530</u>		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Trustee (one of two)
Alvin Untermyer Estate, Alvin A. Schall Trust
Alvin Untermyer Estate, Pamela S. Street Trust
Executor (one of three)
Estate of M. Paul LeBlanc, Jr.

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME (Yours, not spouse's)

(Honoraria only)

☒

NONE (No reportable non-investment income)

1		\$
2		\$
3		\$
4		\$
5		\$
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Alvin A. Schall

Date of Report

03/03/92

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.13-16 of instructions.)

SOURCE

DESCRIPTION

☒ NONE (No such reportable reimbursements or gifts)

1

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V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☒ NONE (No such reportable gifts)

1

1		\$
2		\$
3		\$
4		\$
5		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☒ NONE (No reportable liabilities)

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VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

Schall, Alvin A.

03/03/92

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 15-17 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (S) for joint ownership of separate individual and spouse, (S,OC) for separate ownership by spouse, (S,OC) for ownership by dependent child. Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-E)	(2) Type (div., rent or int.)	(1) Value Code (J-S)	(2) Value Method Code (Q-W)	If not exempt from disclosure				
					(1) Type (e.g., buy, sell, exchange, redemption)	(2) Date Month/Day	(3) Value Code (J-S)	(4) Gain/ Loss Code (A-B)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
PERSONAL HOLDINGS									
1 Anheuser Busch Cos., Inc. Common Stock	B	div.	K	T					
2 Capital Cities/ABC, Inc. Common Stock	A	div.	K	T					
3 Eli Lilly, Inc. Common Stock	A	div.	J	T	Sell	2/14/92	K	A	Market sale
4 Exxon Corp. Common Stock	B	div.	K	T					
5 Flight Safety International, Inc. Common Stock	A	div.	J	T					
6 General Electric Co. Common Stock	A	div.	K	T	Sell	5/24/91	J	C	Market sale
7 General Mills, Inc. Common Stock	A	div.	K	T					
8 J.P. Morgan & Co. Common Stock	B	div.	K	T					
9 Merck & Co., Inc. Common Stock	A	div.	K	T					
10 National Health Labs., Inc. Common Stock	A	div.	K	T	Buy	5/24/91	K	--	Market purchase
11 Minnesota Mining & Manufacturing Co., Inc. Common Stock	B	div.	K	T					
12 Motorola, Inc. Common Stock	A	div.	K	T					
13 PepsiCo, Inc. Common Stock	A	div.	K	T					
14 Philip Morris Cos., Inc. Common Stock	B	div.	K	T					
15 R.R. Donnelly & Sons Co. Common Stock	A	div.	K	T					
16 Scudder Development Fund (Mutual Fund) Common Stock	A	div.	J	T					
17 Scudder GNMA Fund (Mutual Bond Fund) Common Stock	C	div.	K	T					
18 Scudder New Asia Fund (Mutual Fund) Common Stock	A	div.	K	T					
19 Scudder Short Term Bond Fund (Money Market Acct.)	C	int.	K	T					
1 Income/Divs Codes: A-\$1,000 or less B-\$1,001 to \$2,500 C-\$2,501 to 5,000 D-\$5,001 to \$15,000 (See Col. B1 & B2) E-\$15,001 to \$50,000 F-\$50,001 to \$100,000 G-\$100,001 to \$1,000,000 H-More than \$1,000,000 2 Value Codes: J-\$15,000 or less K-\$15,001 to \$50,000 L-\$50,001 to \$100,000 M-\$100,001 to \$250,000 (See Col. C1 & C2) N-\$250,001 to \$500,000 O-\$500,001 to \$1,000,000 P-More than \$1,000,000 3 Value Method Codes: Q-Purchase R-Sale (real estate only) T-Exchange U-Stock Value V-Other W-Estimated (See Col. C2) X-Cash/Market									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Schall, Alvin A.

Date of Report

03/03/92

VII. INVESTMENTS AND TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the nomenclature "(J)" for joint ownership of reporting individual and spouse, "(DC)" for separate ownership by spouse, "(D)" for ownership by dependent child. Place "(B)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Net Code (A-K)	(2) Type (Div., Int., etc.)	(3) Value Code (J-K)	(4) Value Method Code (Q-R)	(1) Type (Buy, Sell, etc.)	If not exempt from disclosure			
						(2) Date: Month/Day	(3) Value Code (J-K)	(4) Gain Code (A-F)	(5) Identity of Buyer/Seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
PERSONAL HOLDINGS									
1 Telecommunications Co., Inc. Convertible Debentures	B	int.	J	T					
2 Toys R Us, Inc. Common Stock	A	div.	K	T					
3 Waste Management, Inc. Common Stock	A	div.	J	T					
4 Maryland State Health and Higher Education Facilities Bonds	C	int.	K	T					
6 Washington Suburban Sanitary District (Maryland) Bonds	C	int.	K	T					
8 9.125% United States Treasury Notes	C	int.	J	T	redemption	9/30/91	K	A	Market transaction
9 9.00% United States Treasury Notes	C	int.	K	T					
10 8.75% United States Treasury Notes	C	int.	K	T					
11 Scudder Managed Cash Fund	C	int.	K	T					
12 Home Depot, Inc. Convertible Debentures	A	int.	K	T	purchase	1/29/92	K	--	Market purchase
13 Scudder Short Term Bond Fund (Money Market Account) (J)	A	int.	J	T					
15 Scudder Cash Investment Trust (Money Market Account) (Individual Retirement Account of Alvin A. Schall)	B	int.	K	T					
16 Scudder Cash Investment Trust (Money Market Account) (Individual Retirement Account of Sharon L. Schall) (S)	A	int.	J	T					
1 Income/Gain Codes: A=\$1,000 or less (See Col. B1 & B4) B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$10,000 E=\$10,001 to \$25,000 F=\$25,001 to \$50,000 G=\$50,001 to \$100,000 H=\$100,001 to \$250,000 I=\$250,001 to \$500,000 J=\$500,001 to \$1,000,000 K=\$1,000,001 to \$2,500,000 L=\$2,500,001 to \$5,000,000 M=\$5,000,001 to \$10,000,000 N=\$10,000,001 to \$25,000,000 O=\$25,000,001 to \$50,000,000 P=\$50,000,001 to \$100,000,000 Q=\$100,000,001 to \$250,000,000 R=\$250,000,001 to \$500,000,000 S=\$500,000,001 to \$1,000,000,000 T=\$1,000,000,001 to \$2,500,000,000 U=\$2,500,000,001 to \$5,000,000,000 V=\$5,000,000,001 to \$10,000,000,000 W=\$10,000,000,001 to \$25,000,000,000 X=\$25,000,000,001 to \$50,000,000,000 Y=\$50,000,000,001 to \$100,000,000,000 Z=\$100,000,000,001 to \$250,000,000,000 2 Value Method Codes: A=Appraisal B=Cost (real estate only) C=Cost (other) D=Other E=Estimated F=Fair Market Value G=Book Value H=Other I=Other J=Other K=Other L=Other M=Other N=Other O=Other P=Other Q=Other R=Other S=Other T=Other U=Other V=Other W=Other X=Other Y=Other Z=Other 3 Value Method Codes: A=Appraisal B=Cost (real estate only) C=Cost (other) D=Other E=Estimated F=Fair Market Value G=Book Value H=Other I=Other J=Other K=Other L=Other M=Other N=Other O=Other P=Other Q=Other R=Other S=Other T=Other U=Other V=Other W=Other X=Other Y=Other Z=Other									

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Schall, Alvin A.

Date of Report

03/03/92

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 15-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Asset Code (A-E)	(2) Type (e.g., div., rent or int.)	(1) Value Code (J-S)	(2) Value Method Code (G-W)	(1) Type (e.g., buy, sell, mat., redemption)	If not exempt from disclosure			
						(2) Date: Month, Day	(3) Value Code (J-S)	(4) Gain Code (A-E)	(5) Identity of Buyer/Seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Scudder Cash Investment Trust (Money Market									
2 Account) (Alvin A. Schall and Sharon L. Schall,									
3 Joint Tenants) (J)	A	int.	J	T					
4 Great Horn Mining Syndicate Common Stock	A	div.	J	T					
5 Edgartown Water Co. Common Stock	A	div.	J	T					
6 Abbott Laboratories, Inc. Common Stock	A	div.	K	T	purchase	2/14/92	K	--	Market purchase
7 Gordon W. Schall Trust for the Benefit of Alvin A. Schall, et al. (Trust established by my father,									
9 I share the income with my sister; Bankers Trust Co. is the trustee.)									
10									
11 International Business Machines Corp.									
12 Common Stock	A	div.	J	T					
13 Bankers Trust Co. Capital Growth Fund (Widely									
14 Diversified Commingled Common Stock Trust Fund)	C	div.	L	T	sell	1/2/91	J	A	Bankers Trust Co. Capital Growth Fund
15									
16 Bankers Trust Co. Special Opportunity Fund (Widely									
17 Diversified Commingled Common Stock Trust Fund)	A	div.	J	T					
18									
19 Bankers Trust Co. Tax-Free Income Fund (Widely									
20 Diversified Commingled Tax-Free Securities Trust Fund	C	div.	K	T					
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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Schall, Alvin A.

Date of Report

03/03/92

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(H)" for separate ownership by spouse, "(C)" for ownership by dependent child. Place "(X)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Int. Code (A-B)	Type (S), div. or int. (J-F)	Value Code (J-F)	Value Method Code (Q-U)	(3) Type of sale (e.g., buy/sell, exchange, redemption)	(4) Date: Month/Day	(5) Value Code (J-F)	(6) Gain Code (A-H)	(7) Identify of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Bankers Trust Co. Money Market Deposit Account	A	int.	J	T					
2									
3 Alvin Untermyer Estate, Alvin A. Schall Trust									
4 (Trust established under the will of my step-									
5 grandfather. I receive the income and am co-									
6 trustee with Bankers Trust Co.)									
7									
8 American Express Co. Common Stock	A	div.	J	T					
9 American Home Products Corp. Common Stock	A	div.	K	T					
10 Amoco Corp. Common Stock	B	div.	K	T					
11 International Business Machines Corp. Common Stock	A	div.	J	T	sell	1/17/92	J	B	Market sale
12									
13 Raytheon Co. Common Stock	A	div.	K	T					
14 R.R. Donnelley & Sons Co. Common Stock	A	div.	K	T					
15 Scott Paper Co. Common Stock	A	div.	J	T					
16									
17 Bankers Trust Co. Capital Growth Fund (Widely Diversified Commingled Common Stock Trust Fund)	D	div.	N	T	sell	3/31/91	J	A	Bankers Trust Co. Capital Growth F
18									
19 " " " " " "					sell	10/31/91	I	A	" "
20									
1 Income/Gain Codes: A-\$1,000 or less (See Col. B1 & B4) B-\$1,001 to \$50,000 C-\$51,001 to \$100,000 D-\$100,001 to \$1,000,000 E-More than \$1,000,000 2 Value Codes: J-\$15,000 or less K-\$15,001 to \$50,000 L-\$50,001 to \$100,000 M-\$100,001 to \$250,000 N-\$250,001 to \$500,000 O-\$500,001 to \$1,000,000 P-More than \$1,000,000 3 Value Method Codes: Q-Appraisal R-Book Value S-Cost (real estate only) T-Assessment U-Other V-Other W-Estimated X-Cash/Market									

FINANCIAL DISCLOSURE REPORT (cont'd)

Date of Person Reporting

Date of Report

Schall, Alvin A.

03/03/92

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-37 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(D)" for (Joint ownership of report- ing individual and spouse), "(S)" for separate ownership by spouse, "(C)" for ownership by dependent child. Place "(X)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Int. Code (A-E)	(2) Type of Div. Yield Rate (F-H)	(1) Value (J-K)	(2) Value Method (Q-W)	If not exempt from disclosure				
					(1) Date of Acq. Date (L-M)	(2) Date of Sale Date (N-O)	(3) Value (P-Q)	(4) Gain (R-S)	(5) Identity of Buyer/Seller (If given, transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Bankers Trust Co. Tax-Free Income Fund (Widely 2 Diversified Commingled Tax- Free Securities Trust Fund)	D	div.	M	T					
3									
4 Bankers Trust Co. Money Market Deposit Account	A	int.	J	T					
5									
6 Kate Wills Untermyer Estate, Alvin A. Schall Trust 7 (Trust established under the will of my grandmother. 8 I receive the income. Bankers Trust Co. is the 9 trustee.)									
10 Bankers Trust Co. Capital Growth Fund (Widely 11 Diversified Commingled Common Stock Trust Fund)	C	div.	M	T	sell	3/31/91	J	A	Bankers Trust Co. Capital Growth Fund
12									
13 Bankers Trust Co. Special Opportunity Fund (Widely 14 Diversified Commingled Common Stock Trust Fund)	A	div.	J	T					
15									
16 Bankers Trust Co. Tax-Free Income Fund (Widely 17 Diversified Commingled Tax- Free Securities Trust Fund)	C	div.	J	T					
18									
19									
20									

1 Income/Gain Codes: (See Col. B1 & B4)	A-\$1,000 or less B-\$1,001 to \$2,500 C-\$2,501 to \$5,000 D-\$5,001 to \$10,000 E-\$10,001 to \$25,000 F-\$25,001 to \$50,000 G-\$50,001 to \$100,000 H-\$100,001 to \$250,000 I-\$250,001 to \$500,000 J-\$500,001 to \$1,000,000 K-\$1,000,001 to \$2,500,000 L-\$2,500,001 to \$5,000,000 M-More than \$5,000,000	2 Value Codes: (See Col. C1 & C3)	A-\$11,000 or less B-\$11,001 to \$25,000 C-\$25,001 to \$50,000 D-\$50,001 to \$100,000 E-\$100,001 to \$250,000 F-\$250,001 to \$500,000 G-\$500,001 to \$1,000,000 H-\$1,000,001 to \$2,500,000 I-\$2,500,001 to \$5,000,000 J-\$5,000,001 to \$10,000,000 K-\$10,000,001 to \$25,000,000 L-\$25,000,001 to \$50,000,000 M-More than \$50,000,000	3 Value Method Codes: (See Col. C2)	A-Appraisal B-Book Value C-Cost (real estate only) D-Other E-Other F-Other G-Other H-Other I-Other J-Other K-Other L-Other M-Other N-Other O-Other P-Other Q-Other R-Other S-Other T-Other U-Other V-Other W-Other X-Other Y-Other Z-Other	4 Date Codes: (See Col. D1 & D2)	A-1/1/91 to 1/31/91 B-2/1/91 to 2/28/91 C-3/1/91 to 3/31/91 D-4/1/91 to 4/30/91 E-5/1/91 to 5/31/91 F-6/1/91 to 6/30/91 G-7/1/91 to 7/31/91 H-8/1/91 to 8/31/91 I-9/1/91 to 9/30/91 J-10/1/91 to 10/31/91 K-11/1/91 to 11/30/91 L-12/1/91 to 12/31/91 M-Other	5 Transaction Codes: (See Col. E1 & E2)	A-Buy/Sell B-Sell/Buy C-Exchange D-Other E-Other F-Other G-Other H-Other I-Other J-Other K-Other L-Other M-Other N-Other O-Other P-Other Q-Other R-Other S-Other T-Other U-Other V-Other W-Other X-Other Y-Other Z-Other
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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Schall, Alvin A.

Date of Report

03/03/92

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Alvin A. Schall

Date 03/03/92

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) and liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ALVIN A. SCHALL

ASSETS			LIABILITIES		
Cash on hand and in banks	68	909 00	Notes payable to banks—secured	None	
U.S. Government securities—add schedule 1	85	724 00	Notes payable to banks—unsecured	None	
Listed securities—add schedule 2	752	757 00	Notes payable to relatives	None	
Unlisted securities—add schedule 3	633	223 00	Notes payable to others	None	
Accounts and notes receivable:			Accounts and bills due	None	
Due from relatives and friends	None		Unpaid income tax	None	
Due from others	None		Other unpaid tax and interest	None	
Doubtful	None		Real estate mortgage payable—add schedule	None	
Real estate owned—add schedule 4	975	000 00	Chattel mortgages and other loans payable	None	
Real estate mortgage receivable	None		Other debts—itemize:	None	
Autom and other personal property	65	770 00			
Cash value—life insurance	None				
Other assets—itemize:					
Individual Retirement Acct.	33	608 00			
Individual Retirement Acct. (spouse)	12	000 00	Total liabilities	-0-	
Total assets	\$2,725	562 00	Net worth	\$2,725	562 00
			Total liabilities and net worth	\$2,725	562 00
CONTINGENT LIABILITIES	None		GENERAL INFORMATION		
As endorser, cosigner or guarantor	None		Are any assets pledged? (Add schedule.)	No	
On leases or contracts	None		Are you defendant in any suits or legal actions?	No	
Legal Claims	None		Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	None				
Other special debt	None				

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Schall, Alvin A.

Date of Report

03/03/92

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Alvin A. Schall

Date 03/03/92

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

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Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ALVIN A. SCHALL

ASSETS			LIABILITIES		
Cash on hand and in banks	68	000 00	Notes payable to banks—secured	None	
U.S. Government securities—add schedule 1	85	724 00	Notes payable to banks—unsecured	None	
Listed securities—add schedule 2	782	707 00	Notes payable to relatives	None	
Unlisted securities—add schedule 3	699	123 00	Notes payable to others	None	
Accounts and notes receivable:			Accounts and bills due	None	
Due from relatives and friends	None		Unpaid income tax	None	
Due from others	None		Other unpaid tax and interest	None	
Doubtful	None		Real estate mortgages payable—add schedule	None	
Real estate owned—add schedule 4	975	000 00	Chattel mortgages and other liens payable	None	
Real estate mortgages receivable	None		Other debts—itemize:	None	
Autos and other personal property	65	000 00			
Cash value—life insurance	None				
Other assets—itemize:					
Individual Retirement Acct.	33	008 00			
Individual Retirement Acct. (spouse)	18	000 00	Total liabilities	-0-	
			Net worth	\$2,726	562 00
Total assets	\$2,726	562 00	Total liabilities and net worth	\$2,726	562 00
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	None		Are any assets pledged? (Add schedule.)	No	
On leases or contracts	None		Are you defendant in any suits or legal actions?	No	
Legal Claims	None		Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	None				
Other special debt	None				

ASSET SCHEDULES

<u>Schedule 1: U.S. Government Securities</u>	<u>Value</u>
United States 8.75% Treasury Notes due 00/15/93	\$ 41,600
United States 9.00% Treasury Notes due 05/15/98	
Total	<u>44,124</u> <u>\$ 85,724</u>

Schedule 2: Listed Securities

Abbott Laboratories, Inc. Common Stock	\$ 15,406
American Express Co. Common Stock	5,518
American Home Products Corp. Common Stock	15,650
Amoco Corp. Common Stock	18,150
Anheuser Busch Cos., Inc. Common Stock	43,143
Capital Cities/ABC, Inc. Common Stock	25,905
R.R. Donnelly & Sons Co. Common Stock	45,225
Exxon Corp. Common Stock	39,586
Flightsafety International, Inc. Common Stock	13,000
General Electric Co. Common Stock	24,112
General Mills Co. Common Stock	27,400
Home Depot, Inc. Convertible Debentures	20,800
International Business Machine Corp. Common Stock	9,136
Merck & Co. Common Stock	29,250
Minnesota Mining & Manufacturing Co. Common Stock	23,437
J.P. Morgan & Co. Common Stock	42,588
Philip Morris Co. Common Stock	30,000
Motorola, Inc. Common Stock	20,250
National Health Laboratories, Inc. Common Stock	20,600
Pepsico, Inc. Common Stock	29,025
Raytheon Co. Common Stock	17,200
Scott Paper Co. Common Stock	12,037
Tele-Communications Co., Inc. Convertible Debentures	14,400
Toys R Us, Inc. Common Stock	21,560
Waste Management, Inc. Common Stock	13,050
Scudder Development Fund (widely diversified, publicly traded mutual fund) Common Stock	94,100