

AO-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(3 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) FERGUSON, WILKIE D. (JR.)	2. Court or Organization United States District Court Southern District, Florida	3. Date of Report 10/28/93
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) U.S. Dist. Ct. Judge, active	5. Report Type (check appropriate type) ☒ Nomination, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period Jan. 1, 1992 to Oct. 28, 1993
7. Chambers or Office Address (Present) 2001 S.W. 117th Avenue Miami, Florida 33175-1716	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable law and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions)

TrusteeFlorida Memorial College

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATEPARTIES AND TERMS☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Honoraria only)SOURCE AND TYPEGROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income)

1	<u>Annual Salary</u>	<u>Judge, Florida Court of Appeal</u>	<u>\$98,000</u>
2			\$
3			\$
4			\$
5			\$
			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Ferguson, Wilkie D. (Jr.)

Date of Report

10/28/93

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☒

NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☒

NONE (No such reportable gifts)

1		\$	
2		\$	
3		\$	
4		\$	
5		\$	

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1			
2	Pan American Mortg. Co.	Real Property (Condo Apt.)	L
3			
4			
5			
6			
7			
8			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Ferguson, Wilkie D. (Jr.)

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VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Asset Code (A-E)	Type (S, Div., Rent or Int.)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, swap, redemption)	(2) Date: Month Day	(3) Value Code (J-P)	(4) Gain Code (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Oppenheimer Funds	A	Div.	J	T	None				
2 Sentinel (Mut.) Funds	A	Div.	J	T	None				
3 Aetna - Def. Inc.	C	Int.	K	T	None				
4 Condominium (Invest)	D	Rent	L	Q	Buy	5-5 92	L	A	T. J. Cunningham
5 Traveler' Def. Inc. (S)	C	Int.	L	T	None				
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes:	A=\$1,000 or less (See Col. B1 & D4)	B=\$1,001 to \$2,500 F=\$20,001 to \$100,000	C=\$2,501 to 5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=More than \$1,000,000
2 Value Codes:	J=\$15,000 or less (see Col. C1 & D3)	K=\$15,001 to \$50,000 M=\$500,001 to \$1,000,000	L=\$50,001 to \$100,000 P=More than \$1,000,000	N=\$100,001 to \$250,000 W=Estimated
3 Value Method Codes:	Q=Appraisal (See Col. C2)	R=Cost (real estate only) V=Other	S=Assessment	T=Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

NONE

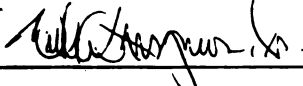
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

10/28/93

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	8	000	==	Notes payable to banks—secured	--	--	--
U.S. Government securities—add schedule	--	--	--	Notes payable to banks—unsecured	--	--	--
Listed securities—add schedule				Notes payable to relatives	--	--	--
Unlisted securities—add schedule				Notes payable to others	--	--	--
Accounts and notes receivable:	--	--	--	Accounts and bills due	--	--	--
Due from relatives and friends	1	000	--	Unpaid income tax	--	--	--
Due from others	--	--	--	Other unpaid tax and interest	--	--	--
Doubtful	--	--	--	Real estate mortgages payable—add schedule	66	000	--
Real estate owned—add schedule	250	000	--	Schedule	64	000	--
Real estate mortgages receivable	--	--	--	Chattel mortgages and other liens payable	--	--	--
Automobiles and other personal property	100	000	--	Other debts—itemize:			
Cash value—Life Insurance	7	000	--				
Other assets—itemize:							
Deferred Inc.	100	000	--				
Mutual Funds	16	000	--				
				Total Liabilities	130	000	--
				Net Worth	352	000	--
Total Assets	482	000	--	Total Liabilities and net worth	482	000	--
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, cosigner or guarantor	No	--	--	Are any assets pledged? (Add schedule)	No		
On leases or contracts	No	--	--	Are you defendant in any suits or legal actions?	No		
Legal Claims	No	--	--	Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax	No	--	--				
Other special debt	None	--	--				