

FD-278

FINANCIAL DISCLOSURE REPORT

Report Required by the Ontario
Judges Act or 1990, Part 1, Sec.
102-104, December 31, 1993
(O.S.A.A. App. C, 10202-112)

1. Person Reporting (Last name, first, middle initial) HAGEN, DAVID W.	2. Court or Organization N/A	3. Date of Report 10/11/93
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) N/A	5. Report Type (check appropriate type) ☒ Nomination, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period 1992
7. Chambers or Office Address N/A	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

President	BRUCE R. THOMSON INN, AMERICAN INNS OF COURT
President	GUILD & HAGEN, LTD.
Trustee	GUILD & HAGEN, LTD. PROFIT SHARING TRUST

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

GUILD & HAGEN, LTD. - CORPORATE STOCK BUY/SELL AGREEMENT,
PAYABLE OVER 36 MONTHS FOLLOWING SALE OF STOCK.
GUILD & HAGEN, LTD. PROFIT SHARING TRUST - PARTICIPANTS
ACCOUNT BALANCE PAYABLE UNDER TERMS OF INTERNAL REVENUE SERVICE
APPROVED PLAN.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

DATE
(Honoraria only)

SOURCE AND TYPE

GROSS INCOME
(Yours, not spouse's)☐

NONE (No reportable non-investment income)

1	1992	GUILD & HAGEN, LTD. - SALARY	\$ 165,000.
2			\$
3			\$
4			\$
5			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

HAGEN, DAVID W.

Date of Report

10/11/93

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE	DESCRIPTION
☒ NONE (No cash reportable reimbursements or gifts)	
1	
2	
3	
4	
5	
6	
7	
8	

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE	DESCRIPTION	VALUE
☒ NONE (No cash reportable gifts)		
1		\$
2		\$
3		\$
4		\$
5		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR	DESCRIPTION	VALUE CODE*
☐ NONE (No reportable liabilities)		
1		
2		
3		
4		
5		
6		
7		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

HAGEN, DAVID W.

Date of Report

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

X. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date October 11, 1993

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	L1	2450	Notes payable to banks—secured	L5	9000
U.S. Government securities—add schedule			Notes payable to banks—unsecured	L6	7000
Listed securities—add schedule			Notes payable to relatives	L7	4300
Unlisted securities—add schedule			Notes payable to others	L8	48000
Accounts and notes receivable:			Accounts and bills due		
Due from relatives and friends			Unpaid income tax		
Due from others	L2	48000	Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule	L9	154000
Real estate owned—add schedule	L3	437000	Chattel mortgages and other liens payable		
Real estate mortgages receivable			Other debts—itemize:		
Autos and other personal property		15000	CREDIT CARD		650
Cash value—life insurance					
Other assets—itemize:					
IRA		10000			
PROFIT-SHARING TRUST		450000			
EQUITY IN FIRM L4		240000	Total liabilities		261650
			Net Worth		940500
Total Assets		1202450	Total liabilities and net worth		1202450
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor	NONE		Are any assets pledged? (Add schedule.) SEE L2 AND L8		
On leases or contracts	NONE		Are you defendant in any suits or legal actions?	NO	
Legal Claims	NONE		Have you ever taken bankruptcy?	NO	
Provision for Federal Income Tax	NONE				
Other special debt	NONE				