

FD-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1995, Pub. L. No.
104-184, November 30, 1995
(5 U.S.C. App. 4, §§101-113)

1. Person Reporting (Last name, first, middle initial) LEYAL, PIERRE N.	2. Court or Organization U.S. District Court Southern Dist. N.Y.	3. Date of Report May 1992
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) U.S. District Judge (active)	5. Report Type (check appropriate type) — Nomination, Date — Initial — Annual — Final	6. Reporting Period 1992
7. Chambers or Office Address U.S. Courthouse New York N.Y. 10007	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions)	
<i>member of Council</i>	<i>American Law Institute</i>
<i>Photography Committee</i>	<i>Museum of Modern Art</i>
<i>trustee</i>	<i>family trust (under will of father, grandfather, cousin)</i>
<i>(positions of spouse not reportable)</i>	

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements)	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Honoraria only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse)

☒ NONE (No reportable non-investment income)		
<i>spouse earned salary (amount not reportable) as</i>		\$
<i>chief curator of El Museo del Barrio I</i>		\$
		\$
		\$
		\$
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

LEVAL, PIERRE

Date of Report

May 19

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reports reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1	U.S. Government	transportation, food, lodging, no parties in conference
2	American Law Institute	" " " "
3		
4		(Spouse's reimbursements for transportation, food, lodging, received independent of relationship so are not reportable)
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions)

SOURCE

DESCRIPTION

VALUE

☒

NONE (No such reportable gifts)

1	* except gifts from relatives that are not reportable	\$
2		\$
3		\$
4		\$
		\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1	MCN	loan on life insurance policy	K
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
LYNN, RICHARD H	May 1957

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (1) for joint ownership of property, individual and spouse, (2) for separate ownership of spouse, (3) for separate ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Net-1 Code (A-8)	Type (S, D, L, T, or Trust or Est.)	Value Code (A-9)	Value Method Code (A-10)	Type (S, D, L, T, or Trust or Est.)	(2) Date Month-Day	(3) Value Code (A-9)	(4) Gain Code (A-10)	(5) Quantity of Shares (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Abbott Labs net, s	D	div	N	T					
2 Affiliated Fabs	A	div			sold	7/13	K	E	
3 First Safety Int'l	A	div	L						
4 Homestake	A		K						
5 IBM	B		J						
6 Mc Law Cellular			L						
7 Mobil	C	div	L						
8 Rubbermaid net, s	D	div	N						
9 Sylvania Mond s, dc	E	div	O						
10 Tambrands	B	div	L						
11 Perkin Elmer	A		L						
12 USX	A		J						
13 Chrysler	A		J						
14 Lincoln B. Realty			J	W					
15 Home Movie (private int)			J	W					
16 Harsco s, dc	A		L						
17 Schlumberger s, dc	A		K						
18 General Am. Inv. s	C	div	K						
19 Nat'l Liquid Res Fund s, dc	B		L						
20 Chase Bank Bonds s, dc	A		J						

1 Income/Value Codes: (See Chl. A1 & A4)	A-\$1,000 or less B-\$1,001 to \$25,000 C-\$25,001 to \$50,000 D-\$50,001 to \$100,000 E-\$100,001 to \$250,000 F-\$250,001 to \$500,000 G-\$500,001 to \$1,000,000 H-\$1,000,001 to \$2,500,000 I-\$2,500,001 to \$5,000,000 J-\$5,000,001 or more	B-\$1,001 to \$2,500 C-\$2,501 to \$5,000 D-\$5,001 to \$10,000 E-\$10,001 to \$25,000 F-\$25,001 to \$50,000 G-\$50,001 to \$100,000 H-\$100,001 to \$250,000 I-\$250,001 to \$500,000 J-\$500,001 to \$1,000,000 K-\$1,000,001 to \$2,500,000 L-\$2,500,001 to \$5,000,000 M-\$5,000,001 or more	C-\$2,501 to \$5,000 D-\$5,001 to \$10,000 E-\$10,001 to \$25,000 F-\$25,001 to \$50,000 G-\$50,001 to \$100,000 H-\$100,001 to \$250,000 I-\$250,001 to \$500,000 J-\$500,001 to \$1,000,000 K-\$1,000,001 to \$2,500,000 L-\$2,500,001 to \$5,000,000 M-\$5,000,001 or more	D-\$5,001 to \$10,000 E-\$10,001 to \$25,000 F-\$25,001 to \$50,000 G-\$50,001 to \$100,000 H-\$100,001 to \$250,000 I-\$250,001 to \$500,000 J-\$500,001 to \$1,000,000 K-\$1,000,001 to \$2,500,000 L-\$2,500,001 to \$5,000,000 M-\$5,000,001 or more
2 Value Method Codes: (See Chl. C1 & C2)	U-Appraisal V-Book Value	W-Cost (real estate only) X-Other	Y-Appraisal Z-Book Value	AA-Appraisal BB-Book Value

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

LEVAL, PIERRE

Date of Report

May 1995

VII. INVESTMENTS AND TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(1)" for (joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period	C. Gross Value at end of reporting period	D. Transactions during reporting period							
			If not exempt from disclosure							
			(1) Type (S, S, DC, DC, etc.)	(2) Value Code (1-9)	(3) Value Method Code (1-9)	(4) Date Acquired Day	(5) Value Code (1-9)	(6) Gain Code (1-9)	(7) Identity of Owner/Child (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)										
1. * Waring Union Funds (Annuity Commission) self, c, dc	E	dr/lt	O T	7						deposits, withdrawals, redemptions
2. * Waring Pension Fund, self, s, dc	D	int	M T	1						
3. * Gen'l Am Inv. dc	D	lt	L T							
4. * STRIPS Trint IRA self, s	A	int	K T							
5. * Abbott Labs dc	A	int	K T							
6. * Rubbermaid dc	A	int	K T							
7.										
8.										
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14.										
15.										
16.										
17.										
18.										
19.										
20.										

1 Income/Gain Codes:	A=\$1,000 or less	B=\$1,001 to \$2,500	C=\$2,501 to 5,000	D=\$5,001 to \$10,000
(See Col. 31 & D6)	E=\$10,001 to \$20,000	F=\$20,001 to \$100,000	G=\$100,001 to \$1,000,000	H=more than \$1,000,000
2 Value Codes:	I=\$10,000 or less	K=\$10,001 to \$50,000	L=\$50,001 to \$100,000	M=\$100,001 to \$250,000
(See Col. 31 & D3)	N=\$250,001 to \$500,000	O=\$500,001 to \$1,000,000	P=more than \$1,000,000	
3 Value Method Codes:	Q=Appraisal	R=Cost (real estate only)	S=Assessment	T=Cost/Market
(See Col. 32)	U=Book Value	V=Other	W=Estimated	

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

LEVALL, Pierre

Date of Report

May 19

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent child had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent child, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported is withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date 4/20/53

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544