

FINANCIAL STATEMENT **NET WORTH**

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debt, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate member of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	3,000.00	Notes payable to banks—secured	
U.S. Government securities—add schedule		Notes payable to banks—unsecured	
Listed securities—add schedule	76,599.00	Notes payable to relatives	
Unlisted securities—add schedule		Notes payable to others	12,510.00
Accounts and notes receivable:		Accounts and bills due	300.00
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable—add schedule	306,000.00
Real estate owned—add schedule	555,000.00	Chattel mortgages and other liens payable	
Real estate mortgages receivable		Other debts—itemize:	
Autos and other personal property	36,000.00	Credit cards	1,000.00
Cash value—life insurance	4,500.00	N.Y. Life Insurance	1,000.00
Other assets—itemize:		SoloFlex, Inc.	800.00
IRA—Dean Witter	18,000.00		
IRA—Nichols II	5,000.00		
Oakwood Partners	63,000.00		
Northland Cable	10,000.00		
Jones Firm Profit Sharing	211,750.00		
Total assets	984,850.00	Total liabilities	321,610.00
		Net worth	663,240.00
		Total liabilities and net worth	984,850.00
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, cosigner or guarantor		Are any assets pledged? (Add schedule.)	12,510.00
On leases or contracts	9,375.00	Are you defendant in any suits or legal actions?	No
Legal Claims	N/A	Have you ever taken bankruptcy?	No
Provision for Federal Income Tax	N/A		
Other special debt	N/A		
	N/A		

FD-278
Rev. 1/79

FINANCIAL DISCLOSURE REPORT

Report Required by the Stolen
Motor Act of 1980, Pub. L. No.
96-359, Sections 20, 1203
(5 U.S.C.A. App. 6, 96359-112)

1. Person Reporting (Last name, first, middle initial) Vazquez, Martha A.	2. Court or Organization United States District Court For The District of New Mexico	3. Date of Report 8-6-93
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate Full or Part-time) Active Article III Judge	5. Report Type (check appropriate type) ☒ Domestic, Date 8-6-93 ___ Initial ___ Annual ___ Final	6. Reporting Period 1-1-92 - 7-31-93
7. Chambers or Office Address U.S. Courthouse, South Federal Place, Santa Fe, NM 87501, or Fed. Bldg. & U.S. Courthouse, 500 Gold Ave., S.W., Albuquerque, NM	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Revising Officer Signature _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Shareholder/director/employee Jones, Snead, Wertheim, Rodriguez & Wentworth, PADirector/officer Gila, Inc.Director New Mexico Trial LawyersDirector Hispanic Bar Association

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

Bylaws of Jones, Snead, Wertheim, Rodriguez & Wentworth, P.A.,
provide for buy back of stock upon severance. The firm may take
as long as five years. Profit sharing plan participation will
terminate in accordance with terms of plan, switching to IRA or
other acceptable vehicle. Husband will remain a shareholder/
employee and participant in profit sharing plan.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(Yours, not spouse's)

(Husband only)

☐

NONE (No reportable non-investment income)

1	<u>Jones, Snead, Wertheim, Rodriguez & Wentworth</u>	\$
	(1993 salary)	
2	<u>Jones, Snead, Wertheim, Rodriguez & Wentworth</u>	\$
	(1992 salary)	34,873.38
3	<u>Jones, Snead, Wertheim, Rodriguez & Wentworth</u>	\$
	(1992 salary)	67,325.04
4	<u>Jones, Snead, Wertheim, Rodriguez & Wentworth</u>	\$
	(1991 salary)	62,999.92
5	<u>(S) Jones, Snead, Wertheim, Rodriguez & Wentworth,</u>	\$
	P.A. (Salary 1993, 1992, 1991)	
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

MARTHA A. VAZQUEZ

Date of Report

8-6-93

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-18 of instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

Exempt

2

3

4

5

6

7

8

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.18-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

Exempt

2

3

4

\$

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1

Jones Firm Profit Sharing

Loan

J

2

3

4

5

6

7

8

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

MARTHA A. VAZQUEZ

Date of Report

8-6-93

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including Trust Assets) Indicate, where applicable, owner of the asset by using the parenthetical (J) for joint ownership of reporting individual and spouse, (A) for separate ownership by spouse, (C) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. (A-B)	(2) Type (A-F) Int. or Div.	(1) Value (C-D)	(2) Value Method Code (C-E)	(1) Buy, Sell, or Redemption (A-F)	If not exempt from disclosure			(5) Identity of other sales (if private transaction)
					(2) Date: Month/Day	(3) Value Code (J-S)	(4) Gain Code (A-B)		
☐ NONE (No reportable income, assets, or transactions)					Exempt	EXEMPT	EXEMPT	EXEMPT	Exempt
¹ U.S. Savings Bonds (DC)		None	J	T					
² U.S. Savings Bonds (DC)		None	J	T					
³ U.S. Savings Bonds (DC)		None	J	T					
⁴ U.S. Savings Bonds (DC)		None	J	T					
⁵ Bank of Santa Fe Santa Fe, NM 87501 (J) A Int.			J	T					
⁶ Century Bank FSB Santa Fe, NM 87501 (J) A Int.			J	T					
⁷ Nicholas II Mutual Fund (J) A Div.			J	T					
⁸ 20th Century Select Mutual Fund (J) A Div.			J	T					
⁹ Oakwood Partners Pub. Ltd. Part. (J) A Div.			L	T					
¹⁰ Northland Cable Pub. Ltd. Part. (J) A Div.			J	T					
¹¹ Charter Bank for Savings Santa Fe, NM 87501 (J) A Int.			J	T					
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes:	A=\$1,000 or less (See Col. B1 & B4)	B=\$1,001 to \$2,500 F=\$10,001 to \$100,000	C=\$2,501 to 5,000 G=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 H=More than \$1,000,000
2 Value Codes:	J=\$15,000 or Less (See Col. C1 & C2)	K=\$15,001 to \$50,000 O=\$200,001 to \$1,000,000	L=\$50,001 to \$100,000 P=More than \$1,000,000	M=\$100,001 to \$250,000 N=\$250,001 to \$500,000
3 Value Method Codes:	Q=Appraisal (See Col. C2)	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

MARTHA A. VAZQUEZ

Date of Report

8-6-93

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 37 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature Martin KasperDate August 10, 1993

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSELIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 504, AND 28 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544