

AO-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1995, Pub. L. No.
101-194, November 30, 1995
(5 U.S.C.A. App. 5, §6101-112)

1. Person Reporting (Last name, first, middle initial) WILKEN, CLAUDIA A.	2. Court or Organization U. S. District Court for the No. District of California	3. Date of Report 10/08/93
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) U. S. Magistrate Judge Full-Time	5. Report Type (check appropriate type) XX Nomination, Date 10/07/93 ___ Initial ___ Annual ___ Final	6. Reporting Period 01/01/92 to 10/01/93
7. Chambers or Office Address 450 Golden Gate Ave., Box 36002 San Francisco, CA 94102	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Reviewing Officer Signature _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Member, Board of Directors

Berkeley Community Law Center

Trustee

Trust for Dependent Children

Director and Secretary

Federal Magistrate Judges Association

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐

NONE (No reportable non-investment income)

1			
2	Spouse's Legal Aid Society Salary (S)	\$	
3		\$	
4		\$	
5		\$	
		\$	

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

WILKEN, CLAUDIA A.

Date of Report

10/08/93

✓. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

EXEMPT

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

EXEMPT

1		\$	
2		\$	
3		\$	
4		\$	
5		\$	

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.12-13 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☒

NONE (No reportable liabilities)

1

1			
2			
3			
4			
5			
6			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

WILKEN, CLAUDIA A.

Date of Report

10/08/93

vii. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children, see pp. 15-27 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J), for Joint ownership, (S) for individual and spouse, (D) for dependent child, or (P) for ownership by dependent child. Place "(J)" after each asset. Complete line prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Div. (1-2)	(2) Type (div., stock or bond)	(1) Value (3-3)	(2) Value (3-3)	If not exempt from disclosure				
	(1) Type (div., stock or bond)	(2) Value (3-3)	(3) Value (3-3)	(4) Value (3-3)	(5) Value (3-3)	(6) Value (3-3)	(7) Value (3-3)	(8) Value (3-3)	
☐ NONE (No reportable income, assets, or transactions)									EXEMPT
1 IRA: Dreyfus Mutual Fund	A	Div	J	T					
2 IRA: 20th Century Mutual Fund	A	Div	J	T					
3 IRA: Fidelity Mutual Fund	A	Div	J	T					
4 IRA: Fidelity Mutual Fund (S)	A	Div	J	T					
5 401k GALTIC Annuity (S)	A	Div	J	T					
6 Trust: 20th Cent. Mut. Fd (DC)	A	Div	J	T					
7 IRA: Schwab Money Market (S)	A	Div	J	T					
8 IRA: Janus Mutual Fund (S)	A	Div	J	T					
9 401k Pax Mutual Fund (S)	A	Div	J	T					
10 Dreyfus Gen'l Muni Bond Fd(J)	A	Div	J	T					
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Value Codes:	A-\$1,000 or less	B-\$1,001 to \$2,500	C-\$2,501 to \$5,000	D-\$5,001 to \$10,000
2 (See Col. B1 & B2)	B-\$25,001 to \$50,000	F-\$50,001 to \$100,000	G-\$100,001 to \$1,000,000	H-\$1,000,001 to \$10,000,000
3 Value Codes:	J-\$10,000 or less	K-\$10,001 to \$25,000	L-\$25,001 to \$50,000	M-\$50,001 to \$100,000
4 (See Col. C1 & C2)	N-\$100,001 to \$250,000	O-\$250,001 to \$500,000	P-\$500,001 to \$1,000,000	Q-\$1,000,001 to \$10,000,000
5 Value Method Codes:	Q-Original	R-Cost (real estate only)	S-Adjusted	T-Cash/Market
6 (See Col. C2)	U-Book Value	V-Other	W-Settlement	X-Settlement

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

WILKEN, CLAUDIA A.

Date of Report

10/08/93

III. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

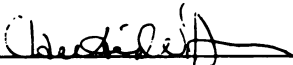
IX. CERTIFICATION.

I am in compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

Oct 8, 1993

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

CLAUDIA WILKEN

FINANCIAL STATEMENT

NET WORTH

Provide a complete current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities, including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks	6	000	00	Notes payable to banks—secured			
U.S. Government securities—add schedule				Notes payable to banks—unsecured			
Listed securities—add schedule	54	000	00	Notes payable to relatives			
Unlisted securities—add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due			
Due from relatives and friends				Unpaid income tax			
Due from others				Other unpaid tax and interest			
Doubtful				Real estate mortgages payable—add schedule	290	000	00
Real estate owned—add schedule	385	000	00	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts—itemize:			
Autos and other personal property	30	000	00				
Cash value-life insurance							
Other assets—itemize							
				Total liabilities	290	000	00
				Net Worth	185	000	00
Total Assets	475	000	00	Total liabilities and net worth	475	000	00
CONTINGENT LIABILITIES	None			GENERAL INFORMATION			
As endorser, cosigner or guarantor				Are any assets pledged? (Add schedule)	No		
On leases or contracts				Are you defendant in any suits or legal actions?	No		
Legal claims				Have you ever taken bankruptcy?	No		
Provision for Federal Income Tax							
Other special debt							