

FD-10
Rev. 1/83

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1980, Pub. L. No.
101-164, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial.) Castillo, Ruben	2. Court or Organization United States District Court Northern District of Illinois	3. Date of Report 2/1/94
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Status	5. Report Type (check appropriate type) ☒ Election, Date 1/27/94 ___ Initial ___ Annual ___ Final	6. Reporting Period 1/1/93-2/1/94
7. Chambers or Office Address 200 East Randolph Drive Suite 5900 Chicago, Illinois 60601	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Partner	Kirkland & Ellis (5/91 to Present)
Board Member	Business and Professional People for Public Interest (1991-7/93)
Board Member	Chicago Legal Clinic (1991-7/93)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐

NONE (No reportable non-investment income)

1 R. Castillo	Kirkland & Ellis (1992) - Law Firm Partner	\$ 190,000
2 R. Castillo	Kirkland & Ellis (1993) - Law Firm Partner	\$ 215,000
3 R. Castillo	Northwestern University School of Law (1994) Adjunct Professor	\$ 2,000
4 (S)	Tom Leo & Associates (1992) Social Therapist	\$
5 (S)	Tom Leo & Associates (1993) Social Therapist	\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Ruben CastilloDate of Report
2/1/94

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCEDESCRIPTION☒ X

NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCEDESCRIPTIONVALUE☒ X

NONE (No such reportable gifts)

1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITORDESCRIPTIONVALUE CODE☒ X

NONE (No reportable liabilities)

1		
2		
3		
4		
5		
6		
7		

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$51,000 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Ruben CastilloDate of Report
2/1/94

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-37 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (1), (2), (3) for ownership of reporting individual and spouse, (4) for separate ownership by spouse, (5) for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-E)	(2) Type (Int., Div., etc.)	(1) Value Code (J-P)	(2) Value Method Code (C-W)	(1) Type (e.g., Buy, Sell, Transfer, Redemption)	If not exempt from disclosure			
					(2) Date: Month Day	(3) Value Code (J-P)	(4) Gain Code (A-E)	(5) Identity of Buyer/Seller (if private transaction)	
☐ NONE (No reportable income, assets, or transactions)									
1 1st Nationwide Bank - IRA	A	Int.	J	T	None				
2 Illinois College Bonds	A	Int.	J	T	None				
3 1st Nationwide Bank - IRA(s)	A	Int.	J	T	None				
4 Putnam U.S. Govt. Income - IRA Rollover Plan(s)	A	Int.	J	T	Buy	2/1/93	J	A Putnam Investments	
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes:	A=\$1,000 or less (See Col. B1 & B4)	B=\$1,001 to \$2,500 P=\$10,001 to \$100,000	C=\$2,501 to 5,000 Q=\$100,001 to \$1,000,000	D=\$5,001 to \$15,000 R=More than \$1,000,000
2 Value Codes:	J=\$15,000 or less (See Col. C1 & C3)	K=\$15,001 to \$50,000 L=\$50,001 to \$100,000	M=\$100,001 to \$1,000,000 N=More than \$1,000,000	
3 Value Method Codes:	O=Appraised (See Col. C2)	U=Book Value	V=Cost (Real estate only) W=Other	X=Assessment Y=Cash/Market

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Ruben CastilloDate of Report
2/1/94

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

1. With respect to Part I, I have served since 1991 as a Board of Director of the Chicago Bar Foundation, a charitable arm of our local Bar Association.

2. With respect to item 5 in Part III, I began teaching a trial practice course at Northwestern University School of Law in January of 1994 as an Adjunct Professor. My teaching responsibilities will be completed in June of 1994.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Ruben Castillo

Date 2-1-94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

**ATTACHMENT FOUR
FINANCIAL STATEMENT**

NET WORTH -- RUBEN CASTILLO

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS		LIABILITIES	
Cash on hand and in banks	4,000	Notes payable to banks--secured	
U.S. Government securities--add schedule		Notes payable to banks--unsecured	
Listed securities--add schedule		Notes payable to relatives	
Unlisted securities--add schedule		Notes payable to others	
Accounts and notes receivable:		Accounts and bills due	10,000
Due from relatives and friends		Unpaid income tax	
Due from others		Other unpaid tax and interest	
Doubtful		Real estate mortgages payable--add schedule - <i>Personal Residence</i>	160,000
Real estate owned--add schedule - <i>Personal Residence</i>	260,000	Chattel mortgages and other liens payable	
Real estate mortgages receivable		Other debts--itemize:	
Autos and other personal property	15,000		
Cash value--Life insurance	4,000		
Other assets--itemize:			
<i>State College Bonds</i>	20,000		
<i>IRA Accounts</i>	20,000		
		Total liabilities	170,000
		Net Worth	153,000
Total Assets	323,000	Total liabilities and net worth	323,000
CONTINGENT LIABILITIES		GENERAL INFORMATION	
As endorser, cosigner or guarantor	None	Are any assets pledged? (Add schedule.)	NO
On leases or contracts		Are you defendant in any suits or legal actions?	NO
Legal Claims		Have you ever taken bankruptcy?	NO
Provision for Federal Income Tax			
Other special debt			

Ruben Castillo 9-22-93

Personal residence mortgage is held by Oak Trust Savings Bank (Chicago, Illinois)