

50-10
Rev. 1/83

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1980, Pub. L. No.
96-354, November 30, 1980
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (last name, first, middle initial) Cote, Denise L.	2. Court or Organization United States District Court for the Southern District of New York	3. Date of Report
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) United States District Judge - Active	5. Report Type (check appropriate type) ☒ Nominations, Date _____ ☐ Initial ☐ Annual ☐ Final	6. Reporting Period January 1, 1983 to April 15, 1984
7. Chambers or Office Address 315 Riverside Drive, Apt. 83 New York, New York 10025	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable law and regulations _____ Revising Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY



NONE (No reportable positions)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS



NONE (No reportable agreements)

4/1/94 - present As of April 11, 1984 I began a leave of absence from the Dept. of Justice
1985 - present I have a 401K and an IRA account (jointly diversified; independently managed) with the law firm (Kaye Scholer Fierman Hays Handler) of which I worked from 1985 to 1991

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(yours, not spouse's)



NONE (No reportable non-investment income)

1/1/92 - present	Columbia University Law School - husband's salary	\$
Summer 1993	Rental income from Fair Island house	\$ 5,000
1/1/93 - 4/1/94	Income from installment sale of Queensboro property	\$ 3,500
		\$
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

Cote, Denise L.

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

Exempt

2

3

4

5

6

7

8

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

Exempt

2

3

4

5

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1

Chase Manhattan Bank

Mortgage on Fire Island Home

M

2

3

4

5

6

7

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Cote, Janice L.

Date of Report

VII. INVESTMENTS and TRUSTS - income, value, transactions. (Includes those of spouse and dependent children; see pp. 15-17 of Instructions.)

1. Description of asset (including date acquired)	2. Date acquired		3. Date sold		4. Transactions during reporting period						
	(11) MM/YY (12-8)	(12) MM/YY (12-8)	(13) MM/YY (12-8)	(14) MM/YY (12-8)	(15) MM/YY (12-8)	If not exempt from disclosure					
						(16) MM/YY (12-8)	(17) MM/YY (12-8)	(18) MM/YY (12-8)	(19) MM/YY (12-8)		
☐ NONE (No reportable income, assets, or transactions)											
1 Fore Island, N.Y. house	1	none	N	U							
2 Reimbursement real estate under contract sale	2	none	K	R							
3 Kings School 401 Kent St. 10	3	none	A	T							
4 Columbia Univ. pension (S)	4	none	N	T							
5 Slangard L.P.	5	real estate	J	T							
6 Carlyn Real Estate L.P.	6	real estate	J	T							
7 Park Tool Co. common stock	7	none	J	U							
8 Revell Lynch & Co.	8									(see items 9 through 14)	
9 A.L. Ready Asset Trust	9	dividend	J	T							
10 Penn. Trust Fund Co. NY	10	dividend	K	T							
11 C.D. 100 Nat Bk. of Boston	11	interest	J	T							
12 H. Fay T & T notes	12	interest	J	T							
13 C.D. 100 30 Richmond Va.	13	interest	J	T							
14 C.D. 100 Trust NY	14	interest	J	T							
15 A T & T Notes	15	interest	J	T							
16 N.Y. St. Dev. Auth. Notes	16	interest	J	T							
17 C.D. 100 30 New York	17	interest	J	T							
18 Smith Barney Savings 104	18									(see items 19 through 22)	
19 Amer. Govt. Fund	19	interest	J	T							
20 U.S. Treas. Bonds	20	interest	K	T							

1 Income/Value Codes:	2-\$1,000 or less	3-\$1,001 to \$2,500	4-\$2,501 to 5,000	5-\$5,001 to \$15,000
(See Vol. 1, A-1)	2-\$11,001 to \$25,000	3-\$25,001 to \$50,000	4-\$50,001 to \$100,000	5-\$100,001 to \$250,000
2 Value Codes:	1-\$11,000 or less	2-\$11,001 to \$25,000	3-\$25,001 to \$50,000	4-\$50,001 to \$100,000
(See Vol. 1, A-2)	5-\$100,001 to \$250,000	6-\$250,001 to \$500,000	7-\$500,001 to \$1,000,000	8-\$1,000,001 to \$2,500,000
3 Value Method Codes:	1-Original	2-Cost (Real Estate Only)	3-Market	4-Other
(See Vol. 1, C)	5-Book Value	6-Other	7-Other	8-Other

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Cote, Denise L.

Name of Report

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

VII.

21) Stearns Penn Fund A dividend JT22) Baker Equity L.P. A interest JT

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Denise CoteDate April 21, 1994

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	Sch. A	4,000	Notes payable to banks—secured		0
U.S. Government securities—add schedule	Sch. B	47,000	Notes payable to banks—unsecured		0
Listed securities—add schedule	Sch. C	26,000	Notes payable to relatives	20,000	
Unlisted securities—add schedule	Sch. D	200	Notes payable to others	0	
Accounts and notes receivable:			Accounts and bills due	1,000	
Due from relatives and friends		0	Unpaid income tax	0	
Due from others		0	Other unpaid tax and interest	0	
Doubtful		0	Real estate mortgages payable—add schedule	Sch. E	425,000
Real estate owned—add schedule	Sch. F	860,000	Chattel mortgages and other loans payable		0
Real estate mortgages receivable	Sch. F	7,000	Other debts—itemize:		0
Automobiles and other personal property		100,000			
Cash value—life insurance		0			
Other assets—itemize:					
Kaye, Scholer HR-10		133,000			
Kaye, Scholer 401K		130,000			
Scholar Retained Fund		383,000	Total Liabilities	446,000	
TIAA CREF		44,000	Net Worth	1,327,665	
Total Assets		1,473,000	Total Liabilities and net worth	1,473,000	
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor		0	Are any assets pledged? (Add schedule.)	No	
On leases or contracts		0	Are you defendant in any suits or legal actions?	No	
Legal Claims		0	Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax		0			
Other special debt		0			