

FD-10
Rev. 3/79

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Recovery Act of 1989, Pub. L. No.
101-184, November 30, 1989
(5 U.S.C.A. App. 5, 55101-112)

1. Person Reporting (Last name, first, middle initial) GILLMOR, HELEN W.	2. Court or Organization United States District Court for the District of Hawaii	3. Date of Report Aug 26, 1994
4. Title (Article III: Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) District Court Judge (active)	5. Report Type (check appropriate type) ☒ Nomination, Date — Initial — Annual — Final	6. Reporting Period Jan. 1, 1993 to Jul 31, 1994
7. Chambers or Office Address Gillmor & Gillmor 2050 Pacific Tower 1601 Bishop St Honolulu, Hawaii 96813	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations — Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐ NONE (No reportable positions)

Officer/Director	Gillmor & Gillmor, AAL, ALC
Officer/Director (resigned)	Penobscot Trading Co., Ltd.
Fiduciary	Lee Minors (see attached for other positions)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☒ NONE (No reportable agreements)

Note: current employment: Gillmor & Gillmor, AAL, ALC

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Husband only)

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)
☐ NONE (No reportable non-investment income)

		1993
1	Gillmor & Gillmor, AAL, ALC	\$ 90,000.00
2	Gillmor & Gillmor, AAL, ALC (S)	\$ 25,000.00 (S)
3	Carlsmith, Ball, Wichman, Murray, Case, MUKAI & Ichiki (S)	\$ 41,326.00 (S)
4		\$
5	Spouse is an attorney.	\$

ADDENDUM TO PART I. POSITIONS
FINANCIAL DISCLOSURE REPORT OF HELEN GILLMOR

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
Commissioner in Foreclosure	<u>Beneficial v. Taruva</u>
Commissioner in Foreclosure	<u>Dieter v. Lagondino</u>

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

GILLMOR, HELEN W.

Date of Report

Aug 26, 1994

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements or gifts)

1

EXEMPT

2

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V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCEDESCRIPTIONVALUE☐

NONE (No such reportable gifts)

1

EXEMPT

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VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE☒

NONE (No reportable liabilities)

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2

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8

* VALUE CODES: J = \$15,000 or less S = \$15,001 to \$50,000 L = \$50,001 to \$100,000 H = \$100,001 to \$250,000
 M = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

GILLMOR, HELEN W.

Date of Report

Aug 26, 1994

VII. INVESTMENTS AND TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period 1993		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Am't. Code (A-B)	Type (S, DC) Net Int. [J-P]	Value Code (J-P)	Value Method Code (Q-W)	(1) Type (S, DC) Buy, Sell, Mortg., Transac- tion	(2) Date: Month Day	(3) Value: Code (J-P)	(4) Gain: Code (A-B)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 SEE ATTACHED ADDENDUM									
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1 Income/Gain Codes: A=\$1,000 or less (See Col. B1 & D4) E=\$15,001 to \$50,000 2 Value Codes: (See Col. C1 & D) J=\$15,000 or less K=\$15,001 to \$50,000 L=\$50,001 to \$100,000 M=\$100,001 to \$250,000 3 Value Method Codes: (See Col. C2) O=Appraisal P=Cost (real estate only) Q=Assessment R=Estimated N=\$1,001 to \$2,500 F=\$25,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$1,001 to \$15,000 I=\$15,001 to \$100,000 J=\$100,001 to \$250,000 K=\$250,001 to \$1,000,000 L=\$1,000,001 to \$5,000,000 M=\$5,000,001 to \$15,000,000 N=\$15,000,001 to \$50,000,000 O=\$50,000,001 to \$1,000,000,000 P=\$1,000,000,001 to \$5,000,000,000 Q=\$5,000,000,001 to \$10,000,000,000 R=\$10,000,000,001 to \$25,000,000,000 S=\$25,000,000,001 to \$50,000,000,000 T=\$50,000,000,001 to \$100,000,000,000 U=\$100,000,000,001 to \$250,000,000,000 V=\$250,000,000,001 to \$500,000,000,000 W=\$500,000,000,001 to \$1,000,000,000,000 X=\$1,000,000,000,001 to \$2,500,000,000,000 Y=\$2,500,000,000,001 to \$5,000,000,000,000 Z=\$5,000,000,000,001 to \$10,000,000,000,000									

**ADDENDUM TO PART VII. INVESTMENTS AND TRUSTS
FINANCIAL DISCLOSURE REPORT OF HELEN GILLMOR**

A. Descrip. of Assets	INCOME DURING		GROSS VALUE		D. TRANS.
	REPORTING PERIOD	PERIOD	AT END OF REP. PERIOD	AT END OF REP. PERIOD	
A. Descrip. of Assets	B. (1) AMT. CODE	B. (2) TYPE	C. (1) VALUE CODE	C. (2) VALUE METH.	D. TRANS.
100 s/ Alum. Co. of Am.	A	DIV	J	T	Exempt
200 s/ Broken Hill Prop.	A	DIV	J	T	Exempt
100 s/ Cemex S A Sponsored ADRF	A	DIV	J	T	Exempt
1084 s/ First Hawaiian Inc.	B	DIV	K	T	Exempt
385 s/ Janus Mercury Fd.	A	DIV	J	T	Exempt
1088 s/ Invesco European Fd.	A	DIV	J	T	Exempt
746 s/ Gabelli Asset Fd.	A	DIV	J	T	Exempt
541 s/ Morgan Stanley Emerging Mkt. Fd.	A	DIV	J	T	Exempt
200 s/ Supercuts Inc.	A	DIV	J	T	Exempt
202 s/ GAP Inc.	A	DIV	J	T	Exempt
1504 s/ Bancorp Hawaii	B	DIV	L	T	Exempt
219 s/ Hawaiian Electric	A	DIV	J	T	Exempt
201 s/ WAL-MART	A	DIV	J	T	Exempt
446 units Warburg Pincus Intl. Eqty. Fd.	A	DIV	J	T	Exempt
400 s/ Mexico Equity & Income Fd. (S)	A	DIV	J	T	Exempt
190 s/ The New USA Mutual Fd. (S)	A	DIV	J	T	Exempt
1445 s/ GT Europe Growth Fd. (S)	A	DIV	K	T	Exempt

A. Descrip. of Assets	B. (1) AMT. CODE	B. (2) TYPE	C. (1) VALUE CODE	C. (2) VALUE METH.	D. TRANS.
806 s/ Kemper High Yield Fd. (S)	A	INT	J	T	Exempt
947 s/ Putnam High Yield Trust (S)	B	INT	J	T	Exempt
600 s/ Cent Me Pwr (S)	A	DIV	J	T	Exempt
400 s/ Cent Energy (S)	A	DIV	J	T	Exempt
600 s/ Jackpot Enterprises Inc. (S)	A	DIV	J	T	Exempt
600 s/ Jostens (S)	A	DIV	J	T	Exempt
600 s/ MTC Electronic Tech Newf. (S)	A	DIV	J	T	Exempt
200 s/ Telebras (S)	A	DIV	J	T	Exempt
1400 s/ Unvl. Health Rlty. Inc. Tr. Shrs. Ben. Int. (S)	B	DIV	K	T	Exempt
302 Fidelity Global Bond Fd. (S)	A	INT	J	T	Sold 1994
3271 units United Svc Gold Shares (S)					
500 s/ Cent Me Pwr (DC)	A	DIV	J	T	Exempt
400 s/ Mexico Fund Inc. (DC)					
600 s/ Unvl. Health Rlty. Inc. Tr. Shrs. Ben. Int. (DC)	A	DIV	J	T	Exempt
247 units Janus Worldwide Fd. (DC)	A	DIV	J	T	Exempt
459 units Vanguard Fixed Income High Yield Corp. P. (DC)	A	DIV	J	T	Exempt
500 s/ Cent Me Pwr (DC)	A	DIV	J	T	Exempt
600 s/ Unvl. Health Rlty. Inc. Tr. (DC)	A	DIV	J	T	Exempt
1154 units Vanguard Fixed Income High Yield Corp. P. (DC)	A	DIV	J	T	Exempt

A. Descrip. of Assets	B. (1) AMT. CODE	B. (2) TYPE	C. (1) VALUE CODE	C. (2) VALUE METH.	D. TRANS.
Schwab Money Mkt. Honolulu, HI	A	INT	K	U	Exempt
Schwab Money Mkt. Honolulu, HI (S)	A	INT	K	U	Exempt
Merrill Lynch Money Mkt. (S) Honolulu, HI	A	INT	J	U	Exempt
Schwab Money Mkt. (DC) Honolulu, HI	A	INT	J	U	Exempt
Schwab Money Mkt. (DC) Honolulu, HI	A	INT	J	U	Exempt
Haw. Empl. FCU (J) Honolulu, HI	A	INT	J	U	Exempt
Hawaii National Bank (DC) Honolulu, HI	A	INT	J	U	Exempt
Hawaii National Bank (DC)	A	INT	J	U	Exempt
A. S. Haley Loan (J)	A	INT	J	U	Paid 4/94
A. S. Haley Loan (DC)	A	INT	J	U	Paid 4/94
A. S. Haley Loan (DC)	A	INT	J	U	Paid 4/94
10,000 s/ Gillmor & Gillmor, Honolulu, HI (J)	A	DIV	L	E	Exempt
3500 s/ Penobscot Trading, Honolulu, HI	A	DIV	J	U	Exempt
1500 s/ Penobscot Trading (DC)	A	DIV	J	U	Exempt
NW Mutual Annuity	A	DIV	K	U	Exempt
15,000 P. R. Bond 12/1/06 (J)	A	INT	J	U	Sold 1993
New York Life Ins	B	DIV	K	U	Exempt
MONY Life Ins	A	DIV	J	U	Exempt
Washington Nat Life Ins (S)	A	DIV	J	U	Exempt

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

GILLARD, HELEN W.

Date of Report

Aug 26, 1994

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Helen W. Gillard

Date

Aug 26, 1994

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS.

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544