

20-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Policy Act of 1980, Pub. L. No.
96-359, November 20, 1980
(5 U.S.C. App. G, §§811-113)

1. Person Reporting (Last name, first, middle initial) O'Malley, Kathleen M.	2. Court or Organization United States District Court, Northern District of Ohio	3. Date of Report Sept. 20, 1994
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) District Court Judge, Active	5. Report Type (check appropriate type) ☒ Nomination, Date Sept. 20, 1994 ___ Initial ___ Annual ___ Final	6. Reporting Period Jan. 1., 1993 - Sept. 20, 1994
7. Chambers or Office Address United States Courthouse 201 Superior Avenue, N.E. Cleveland, Ohio 44114	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Reviewing Officer Signature _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions)

Chief Counsel

Ohio Attorney General (April 1991 - March 1993)

Chief of Staff

Ohio Attorney General (March 1993 - Present)

Board Member

Ohio Legal Assistance Foundation (Jan. 1994 - Present)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATEPARTIES AND TERMS☒

NONE (No reportable agreements)

At termination of my current employment (upon confirmation to the Court), participation
in the Public Employees Retirement System of Ohio will also be terminated.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATESOURCE AND TYPEGROSS INCOME

(Honoraria only)

(You, not spouse's)

☐

NONE (No reportable non-investment income)

1	1993 Salary - Ohio Attorney General	\$ 72,526.00
2	1994 Salary to date - Ohio Attorney General	\$ 69,003.39
3	1993 spouse salary - Vorys, Sater, Seymour & Pease (Law Firm) (S)	\$
4	1994 spouse salary to date - Vorys, Sater, Seymour & Pease (Law Firm) (S)	\$
5	Capital Gain on 1991 sale of residence (realized 10/93) (J)	\$ 2,883.00

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

Sept. 20, 1994

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No cash reportable reimbursements or gifts)

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Exempt

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V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No cash reportable gifts)

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Exempt

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• VALUE CODES: J = \$15,000 or less K = \$15,001 to \$25,000 L = \$25,001 to \$50,000 M = \$50,001 to \$100,000
 N = \$100,001 to \$250,000 O = \$250,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Date of Report

O'Malley, Kathleen M.

Sept. 20, 1994

VII. INVESTMENTS and TRUSTS - Income, value, transactions. (Includes those of spouse and dependent children; see pp. 13-27 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (S) for joint ownership of reporting individual and spouse, (S) for separate ownership by spouse, (D) for ownership by dependent child. Place "(K)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
	Am't Code (A-D)	Type (1) or Dis. (2) Int.	Value Code (J-F)	Value Method Code (Q-W)	(1) Type (e.g., L, S, D, etc.)	(2) Date Month-Day	(3) Value Code (J-F)	(4) Gain Code (A-B)	(5) Identity of type/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Ohio Public Employees Retirement System	A	None	K	T	Exempt				
2 Vorys, Sater, 401(k) Seymour & Pease	A	None	D	T	Exempt				
3 Vorys, Sater, Seymour & Pease Retirement Plan	A	None	D	T	Exempt				
4 (Profit Sharing Component)									
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3 Income/Gain Codes: (See Col. B1 & B4)	A=\$1,000 or less B=\$1,001 to \$2,500 C=\$2,501 to \$5,000 D=\$5,001 to \$15,000 E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$250,000 H=\$250,001 to \$500,000 I=\$500,001 to \$1,000,000 J=\$1,000,001 to \$2,500,000 K=\$2,500,001 to \$5,000,000 L=\$5,000,001 to \$10,000,000 M=\$10,000,001 to \$25,000,000 N=\$25,000,001 to \$50,000,000 O=\$50,000,001 to \$100,000,000 P=\$100,000,001 to \$250,000,000 Q=\$250,000,001 to \$500,000,000 R=\$500,000,001 to \$1,000,000,000 S=\$1,000,000,001 to \$2,500,000,000 T=\$2,500,000,001 to \$5,000,000,000 U=\$5,000,000,001 to \$10,000,000,000 V=\$10,000,000,001 to \$25,000,000,000 W=\$25,000,000,001 to \$50,000,000,000 X=\$50,000,000,001 to \$100,000,000,000 Y=\$100,000,000,001 to \$250,000,000,000 Z=\$250,000,000,001 to \$500,000,000,000 AA=\$500,000,000,001 to \$1,000,000,000,000 AB=\$1,000,000,000,001 to \$2,500,000,000,000 AC=\$2,500,000,000,001 to \$5,000,000,000,000 AD=\$5,000,000,000,001 to \$10,000,000,000,000 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FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

O'Malley, Kathleen M.

Date of Report

Sept. 20, 1994

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

PART III NON-INVESTMENT INCOME

	SOURCE AND TYPE	GROSS INCOME
6.	IRA Distribution - 1993	\$7,500.00
7.	401(k) Distribution - 1994 (S)	
8.	Ohio State Tax Refund (J)	5,001.00

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Kathleen M. O'Malley

Date

9/20/94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544