

AD-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 10, 1989
(5 U.S.C.A. App. 6, §6101-112)

1. Person Reporting (Last name, first, middle initial) Koeltl, John G.	2. Court or Organization United States District Court Southern District of New York	3. Date of Report April 26, 1994
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) Article III Judge - Active (Nominated)	5. Report Type (check appropriate type) ☒ Nomination, Date April 26, 1994 ☒ Initial ☐ Annual ☐ Final	6. Reporting Period January 1, 1993 To March 31, 1994
7. Chambers or Office Address Current Business Address: C/O Debevoise & Plimpton 875 Third Avenue N.Y. N.Y. 10022	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions)

General Partner

Debevoise & Plimpton - Law Firm Partnership

General Partner

Pelf Partners - Real Estate/Oil&Gas Investment Partnership

Director

Cannon Point North, Inc. - Cooperative Corp. Personal Resid.

Vice President

Harvard Law School Association of New York

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATEPARTIES AND TERMS☐

NONE (No reportable agreements)

SEE ATTACHMENT PAGE # 1

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATESOURCE AND TYPEGROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐

NONE (No reportable non-investment income)

Calendar 1993	Debevoise & Plimpton - Business Income	\$ 775,000
1/1/94 - 3/31/94	Debevoise & Plimpton - Business Income (Estimated See Part VIII)	\$ 232,000
Calendar 1993	Pelf Partners - Business Income (Estimated See Part VIII)	\$ 3,000
1/1/94 - 3/31/94	Pelf Partners - Business Income (Estimated See Part VIII)	\$ 800
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Koeltl, John G.

Date of Report

April 26, 1994

IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children: use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

"EXEMPT"

1		
2		
3		
4		
5		
6		
7		
8		

V. OTHER GIFTS. (Includes those to spouse and dependent children: use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

"EXEMPT"

1		\$
2		\$
3		\$
4		\$

VI. LIABILITIES. (Includes those of spouse and dependent children: indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE*

☐

NONE (No reportable liabilities)

1	Pelf Partners	Negative Balance In Partnership Capital Acct.	K
2			
3	Debevoise & Plimpton	Contingent Liability	"See Part VIII"
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Koeltl, John G.

Date of Report
April 26, 1994

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (Including trust assets)	B. Income during reporting period	C. Gross value at end of reporting period	D. Transactions during reporting period					
(1) Indicate, where applicable, owner of assets by using the parenthetical (P) for joint ownership of report- ing individual and spouse, (S) for separate ownership of spouse, for ownership by dependent child.	(2) Type (e.g., dis- trib- ut-	(3) Value, Method Code (J-P)	(4) Value Method Code (Q-W)	(5) Type (e.g., S, W, M, L, M, P, R, C, B, W, R, C, L, P, R, C, L, P, R, C,	(6) If not exempt from disclosure (2) Month- Day	(7) Value Code (J-P)	(8) Gain/ Loss (A-B)	(9) Identify if private transaction
None (X) after each asset except from prior disclosure.	AMT. For (A-E)							
NONE (No reportable income, assets, or transactions)				EXEMPT				
All Tell Corp. - Common	A Div	K T						
AT&T - Common	A Div	K T						
Coca Cola - Common	A Div	K T						
Ericsm Lm Tel - Common	"None"	K T						
WR Grace - Common	A Div	K T						
Hanna MA Co. - Common	A Div	K T						
Johnson & Johnson - Common	B Div	K T						
Pfizer, Inc. - Common	A Div	K T						
Reuters - Common	A Div	*****	No Value-Sold					
Royal Dutch Petro - Common	B Div	K T						
Sonata, Inc. - Common	A Div	K T						
American Capital Emerging Growth Fund-Mutual Fund	C Div	K T						
B.P. Prudog Bay Royalty Trust-Unit Trust	B Royalty	K T						
ACM Managed Income Fund- Mutual Fund	B Div	*****	No Value-Sold					
Blackrock Income Fund- Mutual Fund	B Div	*****	No Value-Sold					
Houston Industries-Common	A Div	*****	No Value-Sold					
American Capital Income Fund-Mutual Fund	B Div	*****	No Value-Sold					
Putnam OTC Emerging Growth Fund-Mutual Fund	"None"	L T						
Merrill Lynch CIA Money Fund-N.Y., N.Y.	C Div	L T						
"CONTINUED ON ATTACHMENT PAGE #2"								
Income/Gain Codes: (See Col. B1 & D4) A-\$1,000 or less B-\$1,001 to \$50,000 C-\$51,001 to \$100,000 D-\$101,001 to \$500,000 E-\$500,001 to \$1,000,000 F-\$1,000,001 to \$5,000,000 G-\$5,000,001 to \$10,000,000 H-\$10,000,001 to \$50,000,000 I-\$50,000,001 to \$100,000,000 J-\$100,000,001 to \$500,000,000 K-\$500,000,001 to \$1,000,000,000 L-Cost (real estate only) M-Other								
Transaction Codes: (See Col. C2) A-Acquired B-Sale C-Exchange D-Redemption E-Distribution F-Exercise G-Rollover H-Transfer I-Conversion J-Call K-Market L-Liquidation M-Other								

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Koeltl, John G.Date of Report
April 26, 1994

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

Part III- Non-Investment Income (1) Partnership income for Debevoise & Plimpton for the period 1/1/94 - 3/31/94 has been estimated based upon a pro-rata allocation of projected firm income for 1994.

(2) Partnership income for Peil Partners for calendar 1993 and the period 1/1/94 - 3/31/94 have been estimated. Form K-1 for 1993 is not yet available.

Part VI - Liabilities - Contingent Liability as general partner of Debevoise & Plimpton for debts of partnership. This contingent liability will be terminated upon Mr. Koeltl's withdrawal from the law firm partnership.

Part VII-Investments and Trusts- Attachment page 3, items 9-16)- Reports from administrators of retirement accounts do not segregate earnings on accounts (i.e., interest, dividends, realized gains and losses, etc.) from net unrealized gains and losses. Amounts reported in column B for income during period represents the net increase in the fund's account balance for the reporting period attributable to the earnings and unrealized appreciation or depreciation of each fund.

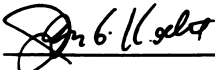
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

April 29, 1994

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544