

AO-10
Rev. 1/93**FINANCIAL DISCLOSURE REPORT**Report Required by the State
Barren Act of 1989, Pub. L. No.
101-194, November 30, 1989
(9 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) Lindsay, Reginald C.	2. Court or Organization U. S. District Court District of Massachusetts	3. Date of Report 10/28/93
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) U. S. District Judge (Nominee)	5. Report Type (check appropriate type) X Nomination, Date ____ Initial ____ Annual ____ Final	6. Reporting Period Jan. 1, 1992- Oct. 22, 1993
7. Chambers or Office Address Current address: Hill & Barlow, One International Place, Boston, MA 02110	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ____ Reviewing Officer Signature _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)**POSITION****NAME OF ORGANIZATION/ENTITY**☐**NONE** (No reportable positions)**Member of the Firm** Hill & Barlow, a Professional Corporation**Director** National Consumer Law Center**Director** Morgan Memorial Goodwill Industries (See continuation sheet 1)**II. AGREEMENTS.** (Reporting individual only; see p. 8-9 of Instructions.)**DATE****PARTIES AND TERMS**☒**NONE** (No reportable agreements)**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 9-12 of Instructions.)**DATE****SOURCE AND TYPE****GROSS INCOME**
(your, not spouse's)

(Honoraria only)

☐**NONE** (No reportable non-investment income)

1	DATE	SOURCE AND TYPE	GROSS INCOME
1	1991	Source: Hill & Barlow Nature: compensation from law practice	\$ 133,305
2	1992	Same as above	\$ 111,144
3	1993	Same as above	\$ 100,000
4			\$
5			\$

Continuation Sheet 1

FD-19
Rev. 1/73

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Reform Act of 1980, Pub. L. No.
101-164, November 30, 1980
(5 U.S.C.A. App. 6, 95101-112)

1. Person Reporting (Last name, first, middle initial) Lindsey, Raymond C.	2. Court or Organization	3. Date of Report 10/28/93
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ____ Annual ____ Final	6. Reporting Period
7. Chambers or Office Address	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable law and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of instructions.)

POSITIONNAME OF ORGANIZATION/ENTITY☐

NONE (No reportable positions)

Director

The 1667 Corporation

Treasurer

Greater Boston Healthcare College Award Association

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of instructions.)

DATEPARTIES AND TERMS☐

NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of instructions.)

DATE
(Honoraria only)SOURCE AND TYPEGROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income)

1

\$ _____

2

\$ _____

3

\$ _____

4

\$ _____

5

\$ _____

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Lindsey, Reginald C.

Date of Report

10/28/93

IV. REIMBURSEMENTS and GIFTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCE

DESCRIPTION

☐

NONE (No each reportable reimbursements or gifts)

1

Empty

2

3

4

5

6

7

8

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No each reportable gifts)

1

Empty

2

3

4

5

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☒

NONE (No reportable liabilities)

1

2

3

4

5

6

7

8

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Lindsey, Reginald C.Date of Report
10/28/93

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 18-27 of Instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical "(S)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(C)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period Exempt				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Am't: Code (A-E)	Type (e.g., div., int.)	Value: Code (J-P)	Value Method: Code (Q-W)	Type (e.g., buy, sell, transfer, realization)	Date: Month-Day	Value: Code (J-P)	Gain: Code (A-E)	Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Common stock in Hill & Barlow	A	-	K	U					
Note receivable from Hill & Barlow	C	int.	K	T					
2 Fidelity Cash Reserves (J)	A	Div.	J	T					
4 IDS High Yield Tax Exempt Fund (J)	A	Div.	J	T					
5 Shawmut Bank Now Account (J)	A	int.	J	T					
6 Hill & Barlow Target Benefit Retirement Plan	E	Div.	N	T					
7 Cash Value of Insurance Policies	A	-	J	T					
8 IDS Fixed Retirement Annuity	A	int.	J	T					
9 Cash Value of Insurance Policies (S)	A	-	J	T					
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									

1 Income/Gain Codes:	B-\$1,000 or less (See Col. E1 & E4)	B-\$1,001 to \$2,500 F-\$250,001 to \$500,000	C-\$2,501 to 5,000 G-\$100,001 to \$1,000,000	D-\$5,001 to \$15,000 H-more than \$1,000,000
2 Value Codes:	J-\$15,000 or less (See Col. C1 & C3)	K-\$15,001 to \$50,000 L-\$50,001 to \$100,000	M-\$100,001 to \$1,000,000 N-more than \$1,000,000	
3 Value Method Codes:	Q-Appraisal (See Col. C2)	R-Book Value U-Other	S-Cost (real estate only) V-Other	T-Cash/Market W-Estimated

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting
Lynch, Reginald C.Date of Report
10/28/93

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

This is a supplement to my response to Section VII of Form AO-10.

I am a member of a law firm, organized as a professional corporation.

The total assets of the firm fall within the "P" value code. I am one of 46 members of the firm, each of whom has an equal vote in directing the firm's affairs.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

Oct 28, 1993

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	16,046		Notes payable to banks—secured	9,344	
U.S. Government securities—add schedule			Notes payable to banks—unsecured		
Listed securities—add schedule			Notes payable to relatives		
Unlisted securities—add schedule	29,000		Notes payable to others		
Accounts and notes receivable:			Accounts and bills due	7,000	
Due from relatives and friends			Unpaid income tax		
Due from others	31,500		Other unpaid tax and interest		
Doubtful			Real estate mortgages payable—add schedule	265,500	
Real estate owned—add schedule			Chattel mortgages and other liens payable		
325,000			Other debts—itemize:		
Real estate mortgages receivable					
Automobile and other personal property	197,000				
Cash value—life insurance	10,000				
Other assets—itemize:					
Mutual Funds	23,482				
Time Share	15,000				
Funds in Retirement Plans	207,995		Total liabilities	213,327	
			Net Worth	726,037	
Total Assets	744,623		Total liabilities and net worth	744,623	
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosigner or guarantor*			Are any assets pledged? (Add schedule.)	No	
100,000*			Are you defendant in any suits or legal actions?	No	
On leases or contracts			Have you ever taken bankruptcy?	No	
Legal Claims					
Provision for Federal Income Tax					
Other special debt					

*See Schedule