

AD-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

 Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C.A. App. 6, §§101-112)

1. Person Reporting (Last name, first, middle initial) PARKER, BARRINGTON D., JR.	2. Court or Organization United States District Court for the Southern District of New York	3. Date of Report April 28, 1994
4. Title (Article III judges indicate active or senior status; Magistrate Judges indicate full- or part-time) Nominee - United States District Court Judge	5. Report Type (check appropriate type) ☒ Nomination, Date 4/26/94 ___ Initial ___ Annual ___ Final	6. Reporting Period 1/1/93 - 4/28/94
7. Chambers or Office Address Morrison & Foerster 1290 Avenue of the Americas New York, New York 10104	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations ___ Reviewing Officer Signature _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.*

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION
NAME OF ORGANIZATION/ENTITY
☐

NONE (No reportable positions)

<u>Partner</u>	<u>Morrison & Foerster</u>
<u>V.P. Board of Directors</u>	<u>NAACP Legal Defense and Educational Fund, Inc.</u>
<u>Trustee</u>	<u>New School for Social Research</u>

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE
PARTIES AND TERMS
☐

NONE (No reportable agreements)

<u>Morrison & Foerster</u>	<u>On departure from my firm, I am entitled to the return</u>
	<u>of my partnership capital and earned but unpaid income.</u>
	<u>The firm will continue as Trustee of my ER-10 Plan.</u>

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE
(Honoraria only)

SOURCE AND TYPE
GROSS INCOME
(yours, not spouse's)

☐

NONE (No reportable non-investment income) SEE NON-INVESTMENT INCOME ATTACHED.

1	_____	\$ _____
2	_____	\$ _____
3	_____	\$ _____
4	_____	\$ _____
5	_____	\$ _____

Barrington D. Parker, Jr.
 Form AO-10
 Financial Disclosure Report
 Initial Report

Part III, Non-Investment Income

<u>Date</u>	<u>Source and Type</u>	<u>Gross/Net Income</u>	<u>Descrip. of Duties/Services</u>
	Distributive Partnership Share:		
F/Y/E 12/31/92	Morrison & Foerster	241,700 (net)	Legal Counsel
F/Y/E 12/31/93	Morrison & Foerster	349,200 (net)	Legal Counsel
1/1/94 - 4/26/94	Morrison & Foerster	70,400 (net)	Legal Counsel
F/Y/E 12/31/92	Parker Auspitz Neeseeman & Delehanty	7,700 (net)	Legal Counsel
F/Y/E 12/31/93	Parker Auspitz Neeseeman & Delehanty	(700) (net)	Legal Counsel
1/1/94 - 4/26/94	Parker Auspitz Neeseeman & Delehanty	NONE (net)	Legal Counsel
8/1/1993	Misc. Comp.: Ford Foundation	5,000 (gross)	Legal Counsel

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

PARKER, BARRINGTON D., JR.

Date of Report

April 28, 1994

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE

DESCRIPTION

☐

NONE (No such reportable reimbursements or gifts)

1

EXEMPT

2

3

4

5

6

7

8

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No such reportable gifts)

1

EXEMPT

2

3

4

\$

\$

\$

\$

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1

Citibank, N.A.

Personal Credit Line

L

2

St. Paul's School

Tuition

K

3

Gilder Gagnon Howe & Co./

Margin Balance - Securities

M

4

Bear Stearns & Co.

5

6

7

8

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$200,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

Barrington D. Parker, Jr.
Form AO-10
Financial Disclosure Report
Initial Report

Part VII, Investments and Trusts

A. Description of Assets	B. Income During Amt Code	Reporting Period Type	C. Gross Value		D. Transactions
			Val Code	End of Rept. Period Val Meth	
	(1)	(2)	(1)	(2)	
LONG STOCK POSITIONS:					
Americredit Corp.		NONE	J	T	Exempt
AutoDesk Inc.	A	DIV	J	T	Exempt
Barefoot Inc.		NONE	L	T	Exempt
Berkley Corp., W R	A	DIV	J	T	Exempt
Blockbuster Enter. Corp.	A	DIV	J	T	Exempt
Brilliance China Automotive	A	DIV	J	T	Exempt
Cafe de Coral Holding	A	DIV	J	T	Exempt
Casino Data Systems		NONE	K	T	Exempt
Champion Technology	A	DIV	J	T	Exempt
Cheng Hseng Holdings	A	DIV	K	T	Exempt
Circus Circus Enterprises		NONE	L	T	Exempt
Cirrus Logic Inc		NONE	K	T	Exempt
Citic Pacific Ltd	A	DIV	K	T	Exempt
CP Polkphand Co. Ltd	A	DIV	J	T	Exempt
Dartmouth Bancorp		NONE	J	T	Exempt
DSG International	A	DIV	J	T	Exempt
First Colony Corp.	A	DIV	J	T	Exempt
Golden Resources Dev.	A	DIV	K	T	Exempt
Golden Resources Dev. Int'l		NONE	K	T	Exempt
Grupo Mexicano de Desarrollo SA		NONE	K	T	Exempt
Home Depot Inc.	A	DIV	J	T	Exempt
Hong Kong Telecom.	B	DIV	J	T	Exempt
Hung Hing Printing	A	DIV	J	T	Exempt
Mercury General Corp	A	DIV	J	T	Exempt
Mesa Airlines Inc.		NONE	L	T	Exempt
MGM Grand Inc.		NONE	K	T	Exempt
Ming Pao Enterprises		NONE	J	T	Exempt
Oasis Residential Inc.		NONE	K	T	Exempt
Penn Central Corp.	A	DIV	K	T	Exempt
Peregrine Invest Holdings		NONE	K	T	Exempt
Parusne Telefonos, Compania		NONE	K	T	Exempt
Petroleum Heat & Power	A	DIV	J	T	Exempt
Primadons Resorts Inc.		NONE	K	T	Exempt
Reasound Corp		NONE	K	T	Exempt
Rio Hotel & Casino		NONE	K	T	Exempt
Siu-Fung Ceramics Holdings		NONE	K	T	Exempt
Snapple Beverage		NONE	L	T	Exempt
South Sea Development		NONE	J	T	Exempt
Southwest Airlines	A	DIV	J	T	Exempt
Technology Resources Inds		NONE	K	T	Exempt
20th Century Hold.-CA	A	DIV	J	T	Exempt
UDL Holdings	A	DIV	J	T	Exempt
Wo Hse Hong Holdings	A	DIV	J	T	Exempt
Zenith Nat'l Insurance	A	DIV	K	T	Exempt

Barrington D. Parker, Jr.
Form AO-10
Financial Disclosure Report
Initial Report

A. <u>Description of Assets</u>	B. <u>Income During Reporting Period</u>		C. <u>Gross Value End of Rept. Period</u>		D. <u>Transactions</u>
	<u>Amt Code</u> (1)	<u>Type</u> (2)	<u>Val Code</u> (1)	<u>Val Meth</u> (2)	
PROCEEDS FROM SHORT SALES:					
Short Cash Balance			G		
SECURITIES SOLD SHORT:					
American Pacific Corp		NONE	J	T	Exempt
Best Buy Company Inc		NONE	L	T	Exempt
Boonstowa Inc		NONE	K	T	Exempt
Borland Int'l		NONE	K	T	Exempt
Boyd Gaming		NONE	K	T	Exempt
CML Group Inc		NONE	K	T	Exempt
Cobra Golf Inc		NONE	K	T	Exempt
Copley Pharmaceutical		NONE	K	T	Exempt
Country Wide Credit		NONE	J	T	Exempt
Dartmouth Bancorp		NONE	K	T	Exempt
Delta Airlines		NONE	J	T	Exempt
Duty Free Int'l		NONE	K	T	Exempt
Electronic Arts Inc		NONE	K	T	Exempt
50 Off Stores Inc		NONE	K	T	Exempt
Glaxo Holdings		NONE	J	T	Exempt
Glaxo Holdings		NONE	K	T	Exempt
Grand Casinos		NONE	K	T	Exempt
ICOS		NONE	K	T	Exempt
Kellogg		NONE	J	T	Exempt
Medicine Shoppe Int'l.		NONE	K	T	Exempt
Mercer Int'l Inc		NONE	J	T	Exempt
Mylan Labs		NONE	K	T	Exempt
Novacare Inc		NONE	K	T	Exempt
Pfizer Inc.		NONE	K	T	Exempt
Players Int'l Inc.		NONE	J	T	Exempt
Scimed Life Sys.		NONE	K	T	Exempt
Summit Tech Inc		NONE	K	T	Exempt
Tokios Medical Corp.		NONE	K	T	Exempt
Upjohn Co		NONE	J	T	Exempt
US Air Group		NONE	K	T	Exempt
Watson Pharmaceuticals Inc		NONE	K	T	Exempt
Zenith Labs Inc		NONE	K	T	Exempt

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A. Description of Assets	B. Income During Reporting Period		C. Gross Value End of Rept. Period		D. Transactions
	Am't Code (1)	Type (2)	Val Code (1)	Val Meth (2)	
MORRISON & FOERSTER RETIREMENT TRUST FBO BARRINGTON PARKER					
<u>LONG STOCK POSITIONS:</u>					
Americredit Corp.		NONE	J	T	EXEMPT
Autodesk Inc	A	DIV	J	T	EXEMPT
Barefoot Inc.		NONE	L	T	EXEMPT
Berkley Corp., W R	A	DIV	K	T	EXEMPT
Blockbuster Enter.	A	DIV	J	T	EXEMPT
Boston Bancorp	A	DIV	J	T	EXEMPT
Brilliance China Automotive	A	DIV	J	T	EXEMPT
Cafe De Coral Holding	A	DIV	J	T	EXEMPT
Cheng Hoong Holdings	A	DIV	J	T	EXEMPT
Circus Circus Enterprises		NONE	L	T	EXEMPT
Cirrus Logic Inc		NONE	K	T	EXEMPT
Citic Pacific Ltd	A	DIV	L	T	EXEMPT
CP Polkphand Co. Ltd	A	DIV	J	T	EXEMPT
DSG Int'l Ltd	A	DIV	J	T	EXEMPT
Fairwood Holdings	A	DIV	J	T	EXEMPT
First Colony	A	DIV	J	T	EXEMPT
Glandale Federal Bank		NONE	K	T	EXEMPT
Golden Resources Dev.	A	DIV	K	T	EXEMPT
Golden Resources Dev. Int'l		NONE	K	T	EXEMPT
Home Depot Inc	A	DIV	J	T	EXEMPT
Hong Kong Telecom.	B	DIV	J	T	EXEMPT
Mesa Airlines Inc.		NONE	K	T	EXEMPT
MGM Grand Inc.		NONE	K	T	EXEMPT
Oasis Residential Inc		NONE	K	T	EXEMPT
Penn Central Corp.	A	DIV	K	T	EXEMPT
Peregrine Invest Holdings		NONE	K	T	EXEMPT
Platinum Software		NONE	K	T	EXEMPT
Primadonna Resorts Inc.		NONE	K	T	EXEMPT
Silicon Graphics		NONE	K	T	EXEMPT
Seaple Beverage		NONE	L	T	EXEMPT
Southwest Airlines	A	DIV	M	T	EXEMPT
Technology Resources Inds		NONE	K	T	EXEMPT
20th Century Hold.-CA	A	DIV	K	T	EXEMPT
Wo Hse Hong Holdings		NONE	K	T	EXEMPT
Zenith Nat'l Insurance	A	DIV	J	T	EXEMPT

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting	Date of Report
PARKER, BARRINGTON D., JR.	April 28, 1994

VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

I	Director	The Harlem School of the Arts
	Trustee	Federal Bar Council
	Director	The Governance Institute

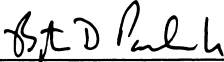
IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date April 28, 1994

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

5. Please complete the attached financial net worth statement in detail (Add schedules as called for).

See attached Net Worth Statement.

6. Have you ever held a position or played a role in a political campaign? If so, please identify the particulars of the campaign, including candidate, dates of the campaign, your title and responsibilities.

I have attended political fund raisers over the years.

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS				LIABILITIES			
Cash on hand and in banks		2	000	Notes payable to banks—secured			
U.S. Government securities—add schedule				Notes payable to banks—unsecured		60,000	
Listed securities—add schedule SEE pp. 18 e, f		765	000	Notes payable to relatives			
Unlisted securities—add schedule				Notes payable to others			
Accounts and notes receivable:				Accounts and bills due		10,000	
Due from relatives and friends		12	000	Unpaid income tax			
Due from others				Other unpaid tax and interest			
Doubtful				Real estate mortgages payable—add schedule HOME MORTGAGE		350,000	
Real estate owned—add schedule (PERSONAL RESIDENCE)		1,000	000	Chattel mortgages and other liens payable			
Real estate mortgages receivable				Other debts—itemize:			
Autos and other personal property							
Cash value—life insurance		30	000				
Other assets—itemize:							
HR-10 ACCOUNT SEE p. 18g		881	000				
MISC. PERSONAL PROPERTY		150	000				
LAW FIRM CAPITAL		40	000	Total Liabilities		420,000	
LIMITED PARTNERSHIPS		50	000	Net Worth	2,	510,000	
Total Assets		2,930	000	Total Liabilities and net worth	2,	930,000	
CONTINGENT LIABILITIES				GENERAL INFORMATION			
As endorser, comaker or guarantor NO				Are any assets pledged? (Add schedule.) NO			
On leases or contracts NO				Are you defendant in any suits or legal actions? YES			
Legal Claims NONE				Have you ever taken bankruptcy? NO			
Provision for Federal Income Tax ALL TAXES CURRENT							
Other special debt NONE							