

SD-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

 Report Required by the Ethics
 Reform Act of 1995, Pub. L. No.
 104-184, November 30, 1995
 5 U.S.C.A. App. 4, §§181-185

1. Person Reporting (Last name, first, middle initial) Shaw, Charles A.		2. Court or Organization United States District Court Eastern District of Missouri		3. Date of Report 10-25-93
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) United States District Judge		5. Report Type (check appropriate type) ☒ Nomination, Date _____ ____ Initial ____ Annual ____ Final		6. Reporting Period 1993
7. Chambers or Office Address United States District Court 1114 Market Street St. Louis, Missouri 63101		8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.				

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

NONE

☒ NONE (No reportable positions)

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE PARTIES AND TERMS

☒ NONE (No reportable agreements)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE (Honoraria only)	SOURCE AND TYPE	GROSS INCOME (Yours, not spouse's)
☒ NONE (No reportable non-investment income)		
1	(S) Earned Income The Equitable Life Assurance Company	\$ (S)
2		\$
3		\$
4		\$
5		\$
		\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Shaw, Charles A.

Date of Report

10-25-93

IV. REIMBURSEMENTS and GIFTS - transportation, lodging, food, entertainment.

(Each see those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of Instructions.)

SOURCEDESCRIPTION☒ X

NONE (No such reportable reimbursements or gifts)

1		
2		
3		
4		
5		
6		
7		

V. OTHER GIFTS. (Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of Instructions.)

SOURCEDESCRIPTIONVALUE☒ X

NONE (No such reportable gifts)

1		\$	
2		\$	
3		\$	
4		\$	
5		\$	

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parenthetical "(S)" for separate liability of spouse, "(J)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of Instructions.)

CREDITORDESCRIPTIONVALUE CODE☒ X

NONE (No reportable liabilities)

1			
2			
3			
4			
5			
6			

* VALUE CODES: J = \$15,000 or less S = \$15,001 to \$25,000 L = \$25,001 to \$50,000 H = \$50,001 to \$250,000
 0 = \$250,001 to \$500,000 6 = \$500,001 to \$1,000,000 7 = more than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Shaw, Charles A.

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VII. INVESTMENTS and TRUSTS - income, value, transactions. Include those of spouse and dependent children; see pp. 18-27 of instructions.

A. Description of Assets (Including Trust Assets) Indicate where applicable, owner of the asset or, using the parenthetical, the trust owning it. (Report all investments and assets, not separate ownership of spouse, and not ownership by dependent child.) Place "(X)" after each asset except from prior disclosure.	B. Income during reporting period		C. Gross Value at end of reporting period		D. Transactions during reporting period				
	(1) Int. (1-6)	(2) Type (1-6) Rent or Lease	(3) Value (1-6) (1-6)	(4) Value (1-6) (1-6)	(5) Type (1-6) Buy, Sell, Dividend, Redempt. Loan	(6) Date (1-6) Buy Sell	(7) Value (1-6) (1-6)	(8) Gain (1-6) (1-6)	(9) Country of Origin, unless is "USA"

☐ NONE (No reportable income, assets, or transactions)

Real Estate (Parcel 1)(U) D rent L W

Real Estate (Parcel 2)(U) D rent K W

1								
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								
16								
17								
18								
19								
20								

1. Income/Gain Codes:	A=\$1,000 or less See Col. C1 & D1	B=\$1,001 to \$2,500 See Col. C1 & D1	C=\$2,501 to \$5,000 See Col. C1 & D1	D=\$5,001 to \$15,000 See Col. C1 & D1
2. Value Codes:	J=\$1,000 or less See Col. C1 & D1	K=\$1,001 to \$10,000 See Col. C1 & D1	L=\$10,001 to \$100,000 See Col. C1 & D1	M=\$100,001 to \$1,000,000 See Col. C1 & D1
3. Value Method Codes:	U=Appraised See Col. C2	V=Book Value	W=Cost (Real Estate Only)	X=Estimated

FINANCIAL DISCLOSURE REPORT (cont'd)

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature


Date October 25, 1993

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544

FINANCIAL STATEMENT

NET WORTH

Provide a complete, current financial net worth statement which itemizes in detail all assets (including bank accounts, real estate, securities, trusts, investments, and other financial holdings) all liabilities (including debts, mortgages, loans, and other financial obligations) of yourself, your spouse, and other immediate members of your household.

ASSETS			LIABILITIES		
Cash on hand and in banks	15,000		Notes payable to banks—secured	0	
U.S. Government securities—add schedule			Notes payable to banks—unsecured	0	
Listed securities—add schedule			Notes payable to relatives	0	
Unlisted securities—add schedule			Notes payable to others	0	
Accounts and notes receivable:			Accounts and bills due	3,000	
Due from relatives and friends			Unpaid income tax	0	
Due from others			Other unpaid tax and interest	0	
Doubtful			Real estate mortgages payable—add schedule	120,000	
Real estate owned—add schedule	290,000		Chattel mortgages and other liens payable	0	
Real estate mortgages receivable			Other debts—itemize:	0	
Autom and other personal property	50,000				
Cash value—life insurance	1,000				
Other assets—itemize:					
			Total Liabilities	123,000	
			Net Worth	233,000	
Total Assets	356,000		Total Liabilities and net worth	356,000	
CONTINGENT LIABILITIES			GENERAL INFORMATION		
As endorser, cosmaker or guarantor	0		Are any assets pledged? (Add schedule.)	No	
On leases or contracts	0		Are you defendant in any suits or legal actions?	No	
Legal Claims	0		Have you ever taken bankruptcy?	No	
Provision for Federal Income Tax	0				
Other special debt	0				

Real Estate Schedule: (Over)