

JD-10
Rev. 1/93

FINANCIAL DISCLOSURE REPORT

Report Required by the Ethics
Revised Act of 1988, Pub. L. No.
101-161, November 30, 1988
(5 U.S.C.A. App. 6, 50161-112)

1. Person Reporting (Last name, first, middle initial) Russell, Thomas B.	2. Court or Organization U.S. District Court Western District of Kentucky	3. Date of Report 9/14/94
4. Title (Article III Judges indicate active or senior status; Magistrate Judges indicate full- or part-time) Active - Article III	5. Report Type (check appropriate type) ☒ Election, Date 9/14/94 ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/93 - 9/14/94
7. Chambers or Office Address 300 Broadway P.O. Box 995 Paducah, KY 42002-0995	8. On the basis of the information contained in this Report, it is, in my opinion, in compliance with applicable laws and regulations _____ Reviewing Officer Signature _____	

IMPORTANT NOTICE: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each section where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 7-8 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Partner

Whitlow, Roberts, Houston & Russell

Partner

Old National Bank Building Partnership

Director

Kentucky Bar Foundation

Director & Vice President

Lawyers Mutual Insurance Co. of Kentucky

II. AGREEMENTS. (Reporting individual only; see p. 8-9 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

See Attached

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 9-12 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

(Honoraria only)

(yours, not spouse's)

☐

NONE (No reportable non-investment income)

1	1/1/93-12/31/93	Whitlow, Roberts, Houston & Russell Income from Practice of Law	\$ 158,603.00
2	1/1/94-9/14/94	Whitlow, Roberts, Houston & Russell Income from Practice of Law	\$ 90,377.50
3	1/1/93-9/14/94	Old National Bank Building Partnership Real Estate Partnership Income (Net Rent Income)	\$ 994.00
4	1/1/93-8/31/94	Paducah Board of Education Spouse's Employment as a Teachers' Aide (S)	\$ 13,315.60
5			\$

FINANCIAL DISCLOSURE REPORT (cont'd)

Name of Person Reporting

Russell, Thomas B.

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IV. REIMBURSEMENTS and GIFTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp.13-15 of instructions.)

SOURCE

DESCRIPTION

☐

NONE (No each reportable reimbursements or gifts)

1 Exempt

2		
3		
4		
5		
6		
7		

V. OTHER GIFTS.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate other gifts received by spouse and dependent children, respectively. See pp.15-16 of instructions.)

SOURCE

DESCRIPTION

VALUE

☐

NONE (No each reportable gifts)

1 Exempt

2		\$	
3		\$	
4		\$	
5		\$	

VI. LIABILITIES.

(Includes those of spouse and dependent children; indicate where applicable, person responsible for liability by using the parentheticals "(S)" for separate liability of spouse, "(D)" for joint liability of reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp.16-18 of instructions.)

CREDITOR

DESCRIPTION

VALUE CODE

☐

NONE (No reportable liabilities)

1	Peoples First National Bank	See Attached	N
2			
3			
4			
5			
6			
7			

* VALUE CODES: J = \$15,000 or less K = \$15,001 to \$50,000 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000
 N = \$250,001 to \$500,000 O = \$500,001 to \$1,000,000 P = More than \$1,000,000

FINANCIAL DISCLOSURE REPORT (cont'd)

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VII. INVESTMENTS and TRUSTS – income, value, transactions. (includes those of spouse and dependent children; see pp. 12-27 of instructions.)

A. Description of Assets (including trust assets) Indicate, where applicable, owner of the asset by using the parenthetical (J), (S), for joint ownership of reporting individual and spouse, (S) for separate ownership by spouse, (DC) for ownership by dependent child. Place "X" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-B)	(2) Type (e.g., div., rent or int.)	(1) Value Code (J-F)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, transfer, redemption)	If not exempt from disclosure			
						(2) Date Month-Day	(3) Value Code (J-F)	(4) Gain/Loss Code (A-B)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
¹ Checking Acct., Peoples First Nat'l Bank.									
² Paducah KY (J)		None	J	T	Exempt.....				
³ Money Fund, Peoples First Nat'l Bnk., Paducah (J)	A	Int.	J	T	"				
⁴ IRA, Peoples First Nat'l Bank, Paducah KY	A	Int.	J	T	"				
⁵ IRA, Peoples First Nat'l Bank, Paducah KY (S)	A	Int.	J	T	"				
⁶ IRA, PaineWebber Mutual Fund, Paducah KY	A	Div.	K	T	"				
⁷ IRA, PaineWebber Mutual Fund, Paducah KY (S)	A	Div.	J	T	"				
⁸ Profit Sharing Plan Peoples First Nat'l Bnk.									
⁹ Paducah, KY See VIII.	D	Int.	M	T	"				
¹⁰ Office Bldg, 3 Parcels, 300 Broadway, Paducah.									
¹¹ McCracken Co., KY, Jointly Owned by									
¹² Parties	A	Rent	N	R	"				
¹³ Whitlow, Roberts, Houston & Russell Capital Acct.		None	K	U	"				
¹⁴ Savings Acct., Peoples First Nat'l Bank.									
¹⁵ Paducah KY (S)	A	Int.	J	T	"				
¹⁶ Common Stock, Peoples First Corp., Paducah (J)	A	Div.	J	T	"				
¹⁷ Savings Acct., Peoples First Nat'l Bank.									
¹⁸ Paducah KY (DC)	A	Int.	J	T	"				
¹⁹ Cash Value Life Ins., Northwestern Mutual									
²⁰ Life Ins. Co., Paducah KY		None	L	T	"				
¹ Income/Gain Codes: A=\$1,000 or less (See Col. B1 & D4) B=\$1,001 to \$2,500 C=\$2,501 to 5,000 D=\$5,001 to \$15,000 ² Value Codes: E=\$15,001 to \$50,000 F=\$50,001 to \$100,000 G=\$100,001 to \$1,000,000 H=\$1,000,001 to \$5,000,000 ³ Value Method Codes: I=\$15,000 or less J=\$250,001 to \$500,000 K=\$500,001 to \$1,000,000 L=\$1,000,001 to \$250,000 M=Coat (real estate only) N=Assessment O=Book Value P=Other Q=Estimated R=Cash/Market									

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

VII. (8) Income to profit sharing plan came from Whitlow, Roberts, Houston & Russell Partnership contributions pursuant to plan and the interest and investments of the plan.

IX. CERTIFICATION.

In compliance with the provisions of 28 U.S.C. § 455 and of Advisory Opinion No. 57 of the Advisory Committee on Judicial Activities, and to the best of my knowledge at the time after reasonable inquiry, I did not perform any adjudicatory function in any litigation during the period covered by this report in which I, my spouse, or my minor or dependent children had a financial interest, as defined in Canon 3C(3)(c), in the outcome of such litigation.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C.A. app. 7, § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Thomas B. Russell

Date

9-14-94

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSELIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C.A. APP. 6, § 104, AND 18 U.S.C. § 1001.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Judicial Ethics Committee
Administrative Office of the
United States Courts
Washington, DC 20544